

## **Community Digital Sound Programme**

# (C-DSP) licence

## Application form – Part A (public)

Name of applicant (i.e. the body corporate that will hold the licence):

Aycliffe Radio CIC

Proposed service name:

Aycliffe Radio

Radio multiplex service(s) on which the proposed C-DSP service is to be provided (note this must be a small-scale multiplex area either previously advertised or <u>currently being advertised</u> by Ofcom as shown in the multiplex licence advertisement)

Darlington and Bishop Auckland

Public contact details (i.e. Contact name and/or company name, company address, telephone number(s) and email):

Aycliffe Radio Suite 11 Blue Bridge Centre St Cuthberts Way Newton Aycliffe Co. Durham DL5 6DS Telephone: 07931 701444 Email: info@ayclifferadio.co.uk

Publication date: 1 June 2021

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# 1. Overview

You should complete this form if you are applying for a community digital sound programme licence ("C-DSP"). You can find further information about C-DSP services in the <u>guidance notes for licensees</u> <u>and applicants</u>.

This application form is divided into two parts – **Part A** (which we will publish on our website) and **Part B** (which will be kept confidential). This document constitutes Part A; <u>Part B of the application</u> form is available on our website.

If you encounter any issues using these forms, please contact <u>broadcast.licensing@ofcom.org.uk</u>.

## The purpose of this form

- 1.1 You should complete this form if you are applying for a Community Digital Sound Programme (C-DSP) licence.
- 1.2 A digital sound programme service intended for broadcast by means of a local or smallscale radio multiplex service requires either a C-DSP licence or a local DSP licence. Ofcom's published guidance notes set out some of the key issues that potential applicants need to consider in deciding which type of licence is suitable for them. In summary, C-DSP services are not run for financial gain and are required to provide social gain. C-DSP licences therefore include strict conditions to ensure that happens, and provide less flexibility than a local DSP licence. However, they do provide access to capacity that small-scale radio multiplex service providers are required to reserve solely for C-DSP services.
- 1.3 A C-DSP licence will be required even if the same programme service is also provided on any other platforms (e.g. FM, satellite), as separate licences are required for those.
- 1.4 As noted above, small-scale radio multiplex services will have reserved capacity for C-DSP services. Issue of a C-DSP licence does not, however, guarantee carriage on a small-scale (or local) radio multiplex service. That is a matter for agreement between the C-DSP licensee and the multiplex service provider, and there may be more C-DSP licences issued in a locality than there are reserved slots on the small-scale radio multiplex service. Note that a C-DSP service does not necessarily have to broadcast using reserved capacity. It can use unreserved capacity on a small-scale radio multiplex service or capacity on a local radio multiplex service, again subject to agreement with the multiplex service provider.
- 1.5 An application for a C-DSP licence will be accepted only once Ofcom has advertised the licence for the small-scale radio multiplex service upon which the proposed C-DSP service is intended to be provided. There is no closing-date by which an application for a C-DSP licence must be submitted (i.e. it can be submitted at any time after the licence for the relevant small-scale radio multiplex licence has been advertised).
- 1.6 You can find further information about how to determine if a service requires a C-DSP licence in Section 2 of the guidance notes for applicants and licensees.

## **Provision of information**

- 1.7 Of com requires complete and accurate information to assess applications. This is so that we can assess your application against statutory criteria, consider whether those involved in the body applying for a licence are 'fit and proper' to hold a licence, and determine whether their involvement with other organisations disqualifies them from participation in a licence.
- 1.8 It is an offence under the Broadcasting Act 1996 (as amended) to provide false information or withhold relevant information during the application process, and may be grounds for revocation of a licence subsequently granted.

### Publication of information about applications and licensed services

- 1.9 Information provided in **Part A** of the application form will typically be published by Ofcom in our Monthly Radio Update publication the month following the submission of your application. This may take longer if the application is received late in the month. Information provided in **Part B** will not be published.
- 1.10 In submitting this application you agree that, should a licence be granted, Ofcom may publish contact details for the licensee (specified in Section 2 of Part B of the application form), which may include personal data, on the Ofcom website and/or in other relevant publications. If you have any questions about the information that we publish, or there are any changes to this information, you should contact the Broadcast Licensing team by email (broadcast.licensing@ofcom.org.uk).
- 1.11 Ofcom considers issued C-DSP licences to be public documents and copies of licences will be made available to third parties on request albeit, other than the Key Commitments which are tailored to the service, C-DSP licences are standard form documents. A brief description of the licensed service will be published on the Ofcom website, along with the Key Commitments which form part of the licence.
- 1.12 Of com publishes a <u>monthly radio licensing update</u> which lists new services licensed, new applications, licences revoked, licence transfers, and changes to licensed services during the past month.

### **Data protection**

1.13 We require the information requested in this form in order to carry out our licensing duties under the Broadcasting Act 1990, Broadcasting Act 1996 and Communications Act 2003. Please see Ofcom's <u>General Privacy Statement</u> for further information about how Ofcom handles your personal information and your corresponding rights.

## Keeping up to date with broadcasting matters

- 1.14 We strongly recommend that the appropriate person at the applicant body signs up to receive Ofcom's regular email updates on broadcasting matters including notification when the Broadcast and On Demand Bulletin is published.
- 1.15 To sign up to receive these communications, you must visit <u>the email updates area of our</u> website and select 'Broadcasting.'

## 2. Applicant's details

#### About this section

In this section we are asking you for details about the applicant company. This must be a body corporate which is not profit distributing.

In the first part of this section, we are asking for basic details about the applicant. These include company registration number and contact information.

In the second part of this section we are asking for details of the applicant's officers (directors or, in the case of LLPs, designated members), its shareholders and participants. Where applicable, we are also asking for details of the officers of the applicant's parent and associated companies or LLPs etc.

If any of the individuals named in your responses are known by more than one name/version of their name, all names must be provided.

Certain persons are disqualified from holding a C-DSP licence. This section asks the questions which enable us to consider this for those types of disqualification which apply specifically to bodies corporate. It also asks questions which are relevant to our assessment of the applicant's fitness and properness to hold a C-DSP licence.

Before completing this section of the form, you should read <u>Ofcom's guidance on the def-</u> <u>inition of 'control' of media companies</u>. Throughout this section, "control" has the meaning it is given in Part I of Schedule 2 of the Broadcasting Act 1990.

The response boxes and tables should be expanded or repeated where necessary, or provided in a separate annex.

'Officerships' in this section refers to: directorships of bodies corporate, designated memberships of LLPs, or membership of a governing body of an unincorporated association (including partnerships).

## **Applicant information and contact details**

2.1 Name of applicant (i.e. the body corporate that will hold the licence):

Aycliffe Radio CIC

2.2 Company registration number stated on Companies House:

11455404

2.3 For UK registered companies, the address of the applicant's registered office stated on Companies House.

For non-UK registered companies, the principal office address:

Suite 11 Blue Bridge Centre St Cuthberts Way Newton Aycliffe Co. Durham DL5 6DS

2.4 If a UK registered company, is the **current** Memorandum and Articles of Association document available on the Companies House website?

Yes (delete as appropriate)

If no, please submit the up to date document and indicate you have done so in the checklist in Section 4 of Part B.

2.5 Contact details of the individual duly authorised by the applicant for the purposes of making this application. This individual should be the company secretary, a director or (if an LLP) designated member.

(If you are an agent completing the form on behalf of the applicant please do not enter your details here – see paragraph 2.25 of the <u>guidance notes</u>).

Full name	Paul Howarth
Job title	Director
Address	Suite 11 Blue Bridge Centre St Cuthberts Way Newton Aycliffe Co. Durham DL5 6DS
Telephone	07931 701444
Mobile phone	07931 701444
Email	info@ayclifferadio.co.uk

2.6 If the proposed Licensed Service has/will have a website, please provide the website address below.

www.ayclifferadio.co.uk

2.7 How will the service be financed? If the applicant is receiving, or is likely to receive, any form of funding and/or financial assistance to establish and maintain the service, please provide details of who is providing that funding/financial assistance and the extent of it.

If you are receiving funding from, or on behalf of, a source that could be considered a political organisation or a religious body, you must set out the nature of that organisation here.

The stations existing online service is partnered with the landlords of Newton Aycliffe Town Centre to encourage residents to shop local and support local businesses.

We also have local businesses who sponsor shows on the station including Whitehouse Funeral Service, Dalecare, Shake n Cake and McDonalds.

We have also received charitable grants to purchase equipment, especially outside broadcast items.

The station will be entirely operated by volunteers

## Ownership and control of the company which will hold the licence

### Details of officers, participants and shareholders of the applicant

2.8 Complete the following table, expanding it if necessary, to provide the following details for each director or designated member of the applicant (i.e. the body corporate that will hold the licence):

Full name of individ- ual	Correspondence address <sup>1</sup>	Country of residence	Other officerships held (and nature of the business concerned)	Other employ- ment
Paul Howarth	18 Mill Lane Redworth Newton Aycliffe Co. Durham	UK	Director	Newton Press, print, publish- ing and media
	DL5 6NP		Director	Durham Digital (operator of SSDAB multi- plex)
			Director	Ayclive Events Annual festival for local
			Director	Event organiser for smaller events

<sup>&</sup>lt;sup>1</sup> This should be the same address as is held and published by Companies House.

			Director Director	Aycliffe Radio CIC Newton Broad- cast Ltd
Paul Mooney	13 North Road Aycliffe Village Newton Aycliffe Co. Durham	UK	Director Director Director	Selrec Trading Ltd Aycliffe Radio CIC Newton Broad- cast Ltd

2.9 Complete the following table, expanding it if necessary, to list all bodies which hold or are beneficially entitled to shares, or who possess voting powers, amounting to more than 5% in the applicant ("participants"). If you are unable to provide a complete answer to this question in relation to beneficial owners, please state whether you have any reason to suspect the existence of any beneficial owners.

Full name of >5% partici- pant (existing and pro- posed)	Number of shares	Total invest- ment (£s)	Total invest- ment (%)	% of voting rights
N/A				
Comments				

2.10 Complete the following table, expanding if necessary, to identify any entities with which the applicant is affiliated. By affiliated, we mean companies that are related through ownership, either with one company being a minority shareholder in the other, or through multiple companies being owned by a third party.

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of the entity	Address
N/A	

2.11 Complete the following table, expanding it if necessary, to list any bodies corporate which are controlled by the applicant, and their affiliates:

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of entity	Address	Affiliates
N/A		

### Details of persons who control the applicant

2.12 Complete the following table, expanding it if necessary, to list all persons who control the applicant, together with their affiliates. If any persons or bodies control the applicant jointly because they act together in concert (e.g. because of a shareholder's agreement), each such person must be identified here:

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of individ- ual or body	Address	Affiliates
N/A		

2.13 Complete the following table, expanding it if necessary, to list all officerships in other bodies that are held by any individual listed in response to question 2.12, and any affiliates of those bodies. An "officership" refers to being a director of a body corporate, designated member of a limited liability partnership, or member of the governing body of an unincorporated association:

(If this question is not applicable to the applicant please respond "N/A" in the table)

Full name of individual	Name of body in which of- ficership held	Affiliates of that body
N/A		

2.14 Complete the following table, expanding it if necessary, to list all bodies corporate which are controlled by any body corporate listed in response to question 2.12, and their affiliates:

(If this question is not applicable to the applicant please respond "N/A" in the table)

Full name of body corpo- rate listed in 2.11	Body corporate controlled	Affiliates of body corporate controlled
N/A		

2.15 In relation to each body corporate identified in response to question 2.12, complete the following table, expanding it if necessary, to list all bodies which hold or are beneficially entitled to shares, or who possess voting powers, amounting to more than 5% in the body corporate concerned (i.e. "participants"). You may, but are not required to, exclude from this table any bodies listed in response to question 2.12. If you are unable to provide a complete answer to this question in relation to beneficial owners, please state whether you have any reason to suspect the existence of any beneficial owners.

(If this question is not applicable to the applicant please respond "N/A" in the table)

Name of body corporate identified in response to question 2.11				
Full name of >5% partici- pant	Number of shares	Total invest- ment (£s)	Total invest- ment (%)	% of voting rights
N/A				

Comments		

### Involvement of the applicant in specified activities

2.16 Please state below whether the applicant, or any of the directors, shareholders or other individuals named above, including their associates (i.e. directors of their associates and other group companies), is, or is involved in, any of the below, and the extent of that interest.

Activity/involvement	Yes or No	Please state who is involved; the name of the body/individual/agency they are involved with; and the extent of their involvement
A local authority	No	
A body whose objects are wholly or mainly of a political nature, or which is affiliated to such a body	No	
A body whose objects are wholly or mainly of a religious nature; <sup>2</sup>	No	
An individual who is an officer of a body falling within (b) or (c);	No	
A body corporate which is an associate (as defined in para- graphs 1(1) and 1(1A) of Part I of Schedule 2 to the Broad- casting Act 1990) of a body falling within (b) or (c);	No	
An advertising agency or an associate of an advertising agency	No	

<sup>&</sup>lt;sup>2</sup> Please refer to Sections 3 to 5 of <u>Ofcom's religious guidance note</u> for details on how we determine the eligibility of religious bodies to hold certain broadcasting licences.

### Details of applications, licences and sanctions

2.17 Is the applicant (i.e. the body corporate that will hold the licence) a current licensee of Ofcom?

No (delete as appropriate).

If yes, please provide the licence details expanding the table if necessary:

Licence num-	Name of multiplex
ber	
N/A	

2.18 Has the applicant (i.e. the body corporate that will hold the licence) held an Ofcom broadcasting licence before?

**No** (delete as appropriate).

If yes, please provide the details expanding the table if necessary:

Licence number	Name of service or multiplex
N/A	

2.19 Has anyone involved in the proposed service held an Ofcom broadcasting licence or been involved in an Ofcom-licensed broadcast service before?

No (delete as appropriate).

If yes, please provide the details expanding the table if necessary:

Dates licence	Licence num-	Name of service or multiplex
was held or	ber (if known)	
dates of in-		
volvement		
N/A		

2.20 Does the applicant (i.e. the body corporate that will hold the licence) control an existing Ofcom licensee?

No (delete as appropriate).

If yes, please provide the licence details expanding the table if necessary:

Licence number	Name of service or multiplex
N/A	

2.21 Is the applicant (i.e. the body corporate that will hold the licence) controlled by an existing licensee or by any person who is connected (within the meaning of Schedule 2 to the Broadcasting Act 1990) with an existing licensee (i.e. as a "participant")?

No (delete as appropriate).

If yes, please provide the following information, expanding the table if necessary:

Licence number	Name of service or multiplex
N/A	

2.22 Has the applicant – or any person(s) controlling the applicant - made any other application to Ofcom (or its predecessor broadcast regulators – the Independent Television Commission and the Radio Authority) for any licence which has since been surrendered by the licensee or revoked by Ofcom (or one of its predecessor regulators)?

No (delete as appropriate).

If yes, please provide the following information, expanding the table if necessary:

Licence number	Name of service or multiplex
N/A	

2.23 Is the applicant – or any person(s) controlling the applicant - subject to any current or pending investigation by any statutory regulatory or government body in the United Kingdom or abroad in respect of any broadcast-related matter?

#### No (delete as appropriate).

If yes, please provide the following details expanding the table if necessary:

Licence number (or equivalent)	Name of service or multiplex	Details of the investigation
N/A		

2.24 Has the applicant – or any person(s) controlling the applicant – ever been subject to a statutory sanction for contravening a condition of a broadcasting licence in the UK or any other jurisdiction?

**No** (delete as appropriate).

If yes, please provide the following details relating to each sanction expanding the table if necessary:

Licence number (or equivalent)	Name of service or multiplex	Nature of the breach	Sanction im- posed	Date sanction imposed
N/A				

2.25 Has the applicant – or any person(s) controlling the applicant – ever been convicted of an unlicensed broadcasting offence?

No (delete as appropriate).

If yes, please provide the following details:

Full name	Date of conviction/action (dd/mm/yy)	Penalty
N/A		

2.26 Please provide any further information you hold, relating to the past conduct of the applicant or those individuals listed, in regulatory matters or in matters going to honesty and/or compliance, which may be relevant to Ofcom's consideration of whether or not the applicant is fit and proper to hold a broadcast licence. If the applicant or the form signatory fails without reasonable excuse at this point to declare any matter of which Ofcom subsequently becomes aware, and which we do consider to be relevant to the applicant's eligibility to hold a licence, we will take it into account in determining the question of whether the applicant/licensee remains fit and proper to hold a licence.

If you have no information to provide, please respond "N/A".

N/A

# 3. The proposed service

#### About this section

This section asks you to describe your proposed service, including the Key Commitments you propose to include in your licence. This includes your service name, multiplex name and character of service, in addition to standard commitments that all C-DSP licensees need to abide by. Holders of an existing analogue community radio licence to be a simulcast on the proposed C-DSP service can replicate the existing analogue key commitments as it is our expectation that the key commitments for simulcast services are to be in keeping with one another. If a licence is granted, the information you provide in this section will be used to form the basis of the annex to your licence. You will only be authorised to broadcast what is detailed in the annex of the licence.

In this section, you will also need to set out how your service will provide social gain, community participation and how you will be accountable to the target community. This is in line with statutory requirements for the granting of C-DSP licences. **The information provided in this section is also the basis on which decisions are made.** 

If you hold, or intend to hold, multiple C-DSP licences, the answers given in this section and the intended delivery of your Key Commitments must apply to the locality in which your proposed service will broadcast (as set out in the Draft Key Commitments in this application form).

## Your proposed service and target community

3.1 What is the proposed service name?

Aycliffe Radio

3.2 On which radio multiplex service do you intend to broadcast? If the relevant radio multiplex licence has not yet been awarded, please state the name of the area that the multiplex service is intended to cover, as defined in the multiplex licence advertisement.

Darlington and Bishop Auckland

3.3 Where is your proposed studio located? Please note that this must be located within the coverage area of the small-scale radio multiplex service identified in answer to 5.2 (or the advertised area for a small-scale radio multiplex service that has not yet been awarded).<sup>3</sup>

Suite 11 Blue Bridge Centre St Cuthberts Way Newton Aycliffe Co. Durham DL5 6DS

3.4 If the proposed service is a simulcast or corresponding service of an existing licensed radio service, please list that below and provide the licence number.

No

3.5 What is the target community of the service? Please include the geographical area that you wish to serve in addition to the interests or characteristics that define your target community. The area you wish to serve must be geographically located within the coverage area of the radio multiplex service on which you intend to broadcast. **Answer in fewer than 400 words**.

Our webcast service is currently aimed at DL5 and DL6 post code areas with appeal across the south of County Durham.

However, we realise that the SS-DAB for this area covers a larger local area and we would be delighted to share our station with the other villages and towns surrounding Newton Aycliffe.

3.6 How will you ensure that your proposed C-DSP service is run on a not-for-profit basis? Please give details of specific measures or arrangements in place to ensure this, and how any profit will be wholly and exclusively used for securing or improving the future provision of the service, or for the delivery of social gain. **Answer in fewer than 400 words.** 

<sup>&</sup>lt;sup>3</sup> If you propose to provide your service on a local, rather than a small-scale, radio multiplex service, there is no requirement for your studio to be located within the licensed area of that local radio multiplex service.

Aycliffe Radio is a not for profit organisation, a CIC, with the following objectives: Promote Newton Aycliffe Promote wellbeing among residents Promote events across County Durham Raise awareness of local organisations and charities Promote local businesses

## Social gain

3.7 What community benefits will your service bring to your target community(ies) and, if applicable, the general public. Please include summaries of evidence to support your answer, including details about other organisations you intend to work with. Answer in fewer than 500 words. Please do not provide names of individuals in your answer.

By extending our broadcasting (which is currently online only) to the local SS-DAB we intend to make our local community engagement stronger, engaging with all parts of the community and easily available to all.

Our focus will be on local content and promoting goodwill and charities across south County Durham, with involvement from our MP (weekly update/message), Churches, Councillors and local organisations, health practices and community groups.

For example, during Covid we provided all seven care homes in Newton Aycliffe with an Alexa Show and broadcast a memory lane show especially for the residents, which they joined in with on a regular basis with requests and dedications. Our local MP provides a weekly update which is broadcast at noon, Monday to Friday. St Clare's Church provide a weekly sermon which is broadcast on a Sunday morning along with a selection of modern church songs.

Charities, organisations and groups in the area are also invited to come along and promote their club and activities.

3.8 Please summarise how your service will facilitate discussion and the expression of opinion. Answer **in fewer than 200 words**. Most presenters and contributors will live in or be from the local area. We will continue to help local organisations by attending events with our outside event equipment and promoting them on-air.

All programmes will be open to feedback from the community and weekly discussion programme will give residents the opportunity to contribute via social media platforms and email.

3.9 How will you ensure that members of your target community(ies) can gain access to the facilities used to provide your service, and receive training in using these? In particular, please set out how this will be done practically, formally and/or informally. **Answer in fewer than 400 words**.

Volunteering as a presenter is open to all ages and currently range from 25 to 94 year old.

We intend to set up a project to include local senior schools with a 'take over' scenario this is being discussed with the two local senior schools and their music departments.

Aycliffe Radio has two studios available for presenters and training. The main studio is live, whilst the second is for presenters to pre-record shows and interviews as well as training.

However, in common with most stations, since Covid a lot of our presenters pre-record their shows from their home studios. We assist in the setting-up of these facilities and provide training in how to produce a good quality and appropriate programming using them.

3.10 How will your service provide better understanding of your target community and the strengthening of links within it? **Answer in fewer than 200 words**.

This will reduce isolation and keep some of the more disadvantaged up to date with information about local developments and services.

The Aycliffe Radio studios are based in the heart of Newton Aycliffe and were established in 2017. Member of the public who may feel excluded from the internet, which is a good percentage of the local residents, will now be able to access our service. This will reduce social isolation and keep some of the more disadvantaged up to date with information about local developments and services.

In addition, many local residents feel little affinity with nearby larger towns and areas such as Darlington, Teesside and Durham City, our programmes intend to give them a local perspective on events, happenings and opportunities in the wider region.

3.11 Please summarise the relevant experience of the group or its members in activities related to the provision of social gain or other relevant non-broadcast areas (such as third sector, training or education). **Answer in fewer than 200 words**.

Aycliffe Radio prides itself on being fully immersed in local activities and events, because of a partnership with the town centre landlords, we assist them in promoting the local shops and encourage residents to shop local. We do this by holding events during the summer such as Sports Day (for local sports organisations), Blue Light Day with the local emergency services, organisations day for local community organisations and charity. We also hold an Easter and Christmas celebration.

Aycliffe Radio have also been involved with local sports clubs, charities and schools by attending their events such as presentations, open days and fund raisers.

Paul Howarth, a director of Aycliffe Radio, is also the editor of the local community magazine which has been distributed to all homes in Newton Aycliffe since the town began in 1948.

And, as a CIC, we do all this for free to help the associated group raise funds.

## **Participation**

3.12 How do you propose to ensure that members of your target community(ies) are given opportunities to participate in the operation and management of the service? **Answer in fewer than 400 words**.

As a volunteer operated service, opportunities will always be available for presenters and volunteers, including journalism, reporting, fund raising, technical operation and marketing. All volunteers are provided with studio training and given guidance on rules and regulations

"The Aycliffe Radio committee will work together with local residents and listeners, listen to their ideas and encourage involvement at all levels, especially to identify shortcomings or gaps in provision. These opportunities will be promoted on air, via social media and the local press.

### Accountability

3.13 How will members of your target community contact your service and influence its operation? **Answer in fewer than 300 words**.

Members of the public are able contact Aycliffe Radio in many different ways: they can call and leave a message or text our studio; they can email us; they can complete a form on our website; they can use social media – we are active on Twitter and Facebook – and they can talk to our volunteers and committee members at community events that we attend.

# 3.14 How will suggestions and/or criticisms from members of your target community(ies) be considered and acted upon? **Answer in fewer than 300 words**.

We are always open to suggestions and constructive criticism that will improve the service we provide and/or increase the charitable benefit we provide. We will always respond to such input where possible. All our volunteers are trained to pass any suggestions and/or criticisms of our service they receive to the Feedback Department, Paul Mooney.

Where a more formal complaint is received, it will be investigated and the complainant will receive a formal response from Paul Mooney.

## **Draft Key Commitments**

Below is an example of the licence annex where the Key Commitments appear. Should a licence be awarded, the entries you provide below will form the Key Commitments section of your licence. Holders of a community radio analogue licence that is to be simulcast, or a corresponding service, are expected to provide key commitments that are in line with their existing service(s). As such, applicants may refer to the existing key commitments of the relevant simulcast or corresponding service(s) to ensure that the draft below is in line with those of the existing service(s).

Please provide entries where specified in **BOLD** below. The information you enter here should reflect your answers to Sections 3 and 4 of the Part A of your completed Application Form. This will form the basis of your Key Commitments alongside the mandatory text in italics. Do not amend the text in italics as every service is required to comply with these requirements, but the details of how each service does so do not need to be included in the Key Commitments.

#### ANNEX TO LICENCE

#### LICENSED SERVICE NO

tbc

Licensed Service	Service Description	Transmission Sched- ule	Multiplex
Aycliffe Radio	AYCLIFFE RADIO is a radio service intended to serve NOTE: The next 3 headings below are the components of the 'character of service'. Residents living in the DL5, DL6 areas as well as other local villages and towns in the South of County Durham area. Providing a service to help improve health and wellbeing, and reduce social isolation, offering music and speech to entertain and engage the local community.	<mark>24 hours a day</mark>	Darlington and Bishop Auckland

The studio of the Licensed Service is located within the coverage area of the Small-	
Scale Radio Multiplex Service identified above (n.b. the Licensee will not be in breach of this requirement if an existing studio ceases to fall within the coverage area merely as a result of technical changes to the Small-Scale Radio Multiplex Service outside the control of the Licensee).	
The Licensed Service shall have the characteristics of a Community Digital Sound Pro- gramme Service as set out in the 2019 Order and, in so doing, shall achieve the fol- lowing objectives:	
• the facilitation of discussion and the expression of opinion,	
• the provision (whether by means of programmes included in the service or other- wise) of education or training to individuals not employed by the person provid- ing the service, and	
• the better understanding of the particular community and the strengthening of links within it.	
Members of the target community shall contribute to the operation and manage- ment of the service.	
The service shall have mechanisms in place to ensure it is accountable to its target community in the specific area or locality.	

## 4. Compliance of the service

#### About this section

This section asks you to describe the compliance arrangements for the proposed licensed service, i.e. the arrangements which the applicant will put in place to ensure that the content it proposes to broadcast will comply with the relevant regulatory codes and rules for programming and advertising. These include:

- The Ofcom Broadcasting Code
- The BCAP Code: the UK Code of Broadcast Advertising
- The Phone-paid Services Authority Code of Practice

Condition 17 of a C-DSP licence requires that you have compliance procedures in place, and this section asks that you demonstrate your ability to meet this licence condition.

Before completing this section of the form you should read Section 4 of the <u>C-DSP guidance notes</u>, where you will also find links to the codes and rules listed above.

4.1 Please give details of all compliance training (including dates) the person named in response to either question 2.3 or 2.5 in Part B (i.e. the individual who holds overall responsibility for compliance of the service) has received in the relevant codes and rules (for example, those referred to in the box at the start of this section).

> Even though Aycliffe Radio is not currently an Ofcom licenced station, our policies have always included the core principles behind the Broadcasting Code. Before going live on SSDAB we will commission further training for our Compliance Lead in the relevant codes and rules from a local industry expert Brian Lister.

4.2 Please give details of any practical compliance experience (including dates) the person named in response to either question 2.3 or 2.5 in Part B (i.e the individual who holds overall responsibility for compliance of the service) has with respect to the relevant codes and rules. Our Compliance Lead has been responsible for the online content of Aycliffe Radio since 2017. During this time we have imposed rules and guidance which equal or surpass the Ofcom Broadcasting Code in most respects. As a result he, and the rest of the team, have had a valuable opportunity to build their skills in not exceeding the expectations of our local listeners or the rules applying to licensed broadcasters.

As a shareholder and board-member of Durham Digital Limited we can also call upon a wealth of experience and knowledge from our colleagues who will be operating the new Darlington and Bishop Auckland SSDAB MUX. In particular, our Compliance Lead will be expected to consult with our very experienced industry friends if in any doubt, including Dave Brown, John Potts, Jonathan Morrell and Brian Lister

4.3 For each role within your compliance team please provide job title and a brief description of the functions of the role specific to ensuring compliance of the proposed service. Please do not give names of individual members of staff – this question relates to job roles rather than currently employed individuals.

Compliance and Training Lead, Responsible for:

Overall compliance and advises the on these matters.

Monitoring of output regarding compliance.

Design and deliver of training programmes and refresher/update training.

New volunteers when they join the station and arranging their training from the start of their tenure through to the completion of their initial training.

Working with all to further their knowledge, skills and understanding of the broadcast regulations that should be followed as a presenter.

Monitoring of pre-recorded programmes for compliance.

Programme Controller Lead Responsible for:

Programme scheduling.

Monitoring output regarding compliance.

Working with the Compliance and Training Lead to ensure that programmes are fully compliant.

Monitoring programme content from external sources, e.g syndicated programmes.

### 4.4 How does the applicant intend to formally train staff in compliance procedures? Please include details of the compliance training that will be given to those responsible for live programming, including compliance staff, presenters and producers.

All volunteers go through a training programme which includes understanding the principles and practice of the Ofcom Broadcasting Code. Every member receives a copy the Code or a digital link to a copy. They also receive a Summary of the Code.

Compliance training is provided by the Compliance and Training Lead. Only those volunteers who complete their training to the correct standards and show that they fully understand their responsibilities under the Ofcom Code are allowed to present live shows.

All volunteers will be encouraged to sign up to receive Ofcom's Broadcast Bulletin and will be sent a link to the Bulletin by email. They will be updated on relevant matters raised in these Bulletins. 4.5 Will the training described in response to question 4.5 be mandatory for all staff and volunteers? If not, outline who will receive it.

> As detailed above, training is mandatory for all volunteers, with enhanced training provided as appropriate, in line with requirements on charities that personal benefits to staff and volunteers (including training) is limited to that necessary for the role being undertaken.

- 4.6 It is a licence requirement that a licensee must ensure that all programming on its service (broadcast at any time of the day or night) complies with Ofcom's codes and rules (e.g. Ofcom's Broadcasting Code, which sets requirements on standards to be observed in programme content for the protection of the public).
  - a) Set out in detail below the systems the applicant intends to have in place to ensure it will be able to comply with the codes and rules when the service is broadcasting live content. Your response should include details of what you will do to prepare presenters and guests pre-broadcast and the process for ensuring that any non-complaint content is dealt with swiftly during the broadcast.

Only our experienced presenters are permitted to broadcast live. As well as ensuring that they understand the requirements of the Broadcasting Code, we require that they receive additional training regarding the screening and preparation of guests, for reacting to non-compliances on-air, and how to deal with emergency situations which might result in compliance issues. Until such time as the volunteer has gained experience of broadcasting live, such live programming is only undertaken under supervision of an experienced presenter.

The Compliance and Training Lead is contactable by members and regularly assists with queries about programme delivery.

b) Set out in detail below how the applicant intends to ensure that pre-recorded material will comply with Ofcom's codes and rules. Pre-recorded content could include, for example, material obtained from, or streamed from, third party sources as well as content produced by the licensee. All music loaded into our playout systems are checked for compliance with the requirements of the Broadcasting Code, as it applies to pre-recorded music. Only a few presenters are authorised to add music to our playout systems or to use their own music collections, and then only for specific purposes; the requirements of the Broadcasting Code, as it applies to pre-recorded music is re-emphasised to these volunteers. Pre-recorded interviews are reviewed by a member the Compliance Lead or the Programme Controller, prior to being uploaded to the playout system. Externally produced or syndicated programmes and features are subject to the same process.

4.7 Please set out how you will ensure ongoing compliance with your Key Commitments that relate to what you will broadcast on the station, including how you will monitor that these are being delivered e.g. who will be responsible for monitoring this, how often will they monitor it, how you ensure this information is published.

The Compliance Lead is responsible for monitoring ongoing compliance with Key Commitments, providing reports to the Directors. Directors are ultimately responsible the station's compliance, as part of its overall governance role within the organisation.

4.8 Please set out how you will ensure ongoing compliance with your Key Commitments that relate to the station's off-air social gain activities, including how you will monitor that these are being delivered e.g. who will be responsible for monitoring this, how often will they monitor it, how you ensure this information is published.

Monitoring of compliance with off-air social gain is the responsibility of the Directors, as part of its overall governance role within the organisation. Performance against the Key Commitments will be included in the Annual Report.

4.9 What language(s) does the applicant intend to broadcast in?

English

4.10 For each language listed in response to question 4.9 please provide details of how many compliance team member(s) are fluent in each language and will be responsible for ensuring that content broadcast in that language complies with the Ofcom's code and rules.
Please do not give names of individual members of staff.

English - All our presenters and therefore the Compliance members are English speakers.

## 5. Declaration

#### About this section

This form must be submitted by the applicant named in response to question 2.2. An agent may not sign the **form.** 

The person authorised to make the declaration on behalf of the applicant must print their name and must be one of the following :

- A director of the company or the company secretary where the applicant is a company.
- A designated member where the applicant is a Limited Liability Partnership.

The declaration must also be dated.

- 5.1 I hereby apply to Ofcom for the grant of a licence for the community digital sound programme service described above and declare that the information given in this application form is, to the best of my knowledge and belief, correct.
- 5.2 I further declare and warrant:
  - a) that I am not a disqualified person within the meaning of that expression as defined in Part II of Schedule 2 to the Broadcasting Act 1990, as amended, or as a result of a disqualification order under Section 145 of the Broadcasting Act 1996;
  - b) that having made all reasonable enquiries neither the applicant nor any person controlling the applicant, as a result of the grant to me of the licence, breach any requirement of Schedule 14 to the Communications Act 2003 with regard to the accumulation of interests in broadcasting services or to the restrictions on cross-media interests; and
  - c) that the applicant is not disqualified by virtue of the provisions of section 143 (5) of the Broadcasting Act 1996 in relation to political objects and the provisions of section 144 (3) of the Broadcasting Act 1996 in relation to the provision of false information or through the withholding of information with the intention of misleading Ofcom; and
  - d) that no director or person concerned directly or indirectly in the management of the applicant is subject to a disqualification order as defined by section 145 (1) of the Broadcasting Act 1996.
- 5.3 I understand that Ofcom reserves the right to revoke the licence (if granted) if at any time any material statement made to Ofcom is found to be false and to have been by the applicant or any member or officer thereof knowing it to be false. I also understand that under sections 144 and 145 of the Broadcasting Act 1996, the provision of false information could incur a criminal conviction and a disqualification from the holding of a Broadcasting Act licence. I further certify that, to the best of my knowledge, any matters which might influ-

ence Ofcom's judgement as to whether the directors and any other individuals and/or bodies corporate with substantial involvement in this application are fit and proper persons to participate in a radio licence, have been made known to Ofcom.

Full name (BLOCK CAPITALS) of the applicant or person authorised to make the application of behalf of the applicant:

PAUL HOWARTH

Date of application:

I am authorised to make this application on behalf of the applicant in my capacity as (**delete as appropriate**):

Company secretary / company director / designated member (in the case of a Limited Liability Partnership)

You also need to complete the <u>confidential section (Part B) of the application</u> form