

| 1. Station Name                    | Guidance Notes  |
|------------------------------------|---|
| What is the proposed station name? | <i>This is the name you expect to use to identify the station on air.</i> |
| RADIO CAROLINE                     |   |

| 2. Community to be served  | Guidance Notes  |
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| <p>Define the community or communities you are proposing to serve. Drawing from various sources of data (e.g. from the Office of Population, Census and Survey) and in relation to your proposed coverage area, please determine the size of the population concerned and the make-up of the population as a whole, along with any relevant socio-economic information that would support your application. (Please tell us the sources of the information you provide.)</p> <p><b>Answer in fewer than 300 words:</b></p>   | <p><i>It is a legislative requirement that a service is intended primarily to serve one or more communities (whether or not it also serves other members of the public) and we need to understand who comprises that community or communities. The target community will also be specified in the licence, if this application is successful.</i></p> <p><i>The legislation defines a 'community' as: people who live or work or undergo education or training in a particular area or locality, or people who have one or more interests or characteristics in common.</i></p> |
| <p>We wish to serve a community of interest who may have grown up listening to Radio Caroline or those who may wish to hear the format we provide. Being aged predominantly from 45+ they will have little or no interest in current pop music or trends such as Rap, Dance. etc., or previous fads such as Glam Rock, Bubblegum etc.. Indeed, were they so interested, their needs would be covered by existing radio services. At this time they may have largely given up listening to music radio as their tastes are not currently catered for.</p> <p>They are not always at the cutting edge of technology and therefore may not be able to listen to Radio Caroline via computer or phone App, but will still have a home and in-car AM radio. In addition they are tolerant of and used to the limitations of AM Mono radio and may listen to an imperfect signal because of the content provided.</p> <p>It should be remembered that when the station operated at sea (1964-1990) the first landfall of the signal was Essex and Suffolk. Further, at times when signal strength was poor, these may have been the only areas covered.</p> <p>As it is not possible to say precisely the range of our proposed signal it is equally difficult to assess how many over 45s there may be, but ONS figures suggest up to 55,000 in the age group we will target. However, all age groups in the area, aside from the very young, will know that there 'once was' something called Radio Caroline, although they maybe unaware that the station continued in various forms after leaving AM.</p> <p>Our web site has a facility for persons who have rediscovered Caroline to leave their memories and current comments and this presently runs to 42 pages of recollections.</p> |   |

| 3. Proposed area   | Guidance Notes   |
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| What is the area you propose to serve?   | <p><i>It is Ofcom policy that community radio stations usually serve an area of up to a 5 kilometre radius from the transmission site. See 'Coverage and planning policy for analogue radio broadcasting services'.</i></p> <p><a href="http://stakeholders.ofcom.org.uk/binaries/broadcast/radio-ops/coverage/analogue-coverage-policy.pdf">http://stakeholders.ofcom.org.uk/binaries/broadcast/radio-ops/coverage/analogue-coverage-policy.pdf</a></p> |
| <p>Radio Caroline is seeking an AM community radio licence to cover parts of East Anglia, the heartland of the station's historical coverage area. We note the "typical maximum licensed power" (20 to 70 Watts) and believe that, given a suitable frequency, we would be able to operate a viable service within the limited coverage provided by such a radiated power level.</p> |  |

We would however seek permission to operate at a considerably higher power level outside the "typical" limits suggested. Radio Caroline, is not a traditional community radio station seeking to serve a small geographical "community of place". As set out elsewhere in this application, Radio Caroline can best be described as a "community of interest" station, with potential listeners spread throughout East Anglia. This means that our coverage requirements are therefore atypical.

We note that Ofcom has previously recognised the atypical coverage requirements of stations seeking to serve a community of interest, such as Resonance FM in London. Assuming the availability of suitable frequency resources, and assuming a minimal opportunity cost associated with their use by Radio Caroline, ideally we would seek to operate our service with an e.m.r.p. of greater than one kilowatt.

Assuming the use of a suitable frequency towards the lower end of the Medium Wave Band, this would allow us to serve a higher percentage of our target community. As AM coverage is dictated by a variety of factors beyond simple radiated power levels, should our application be successful we would welcome the opportunity to discuss various options thereafter.

## Programmes and programme output:

### Definitions:

Studio location: The studio from which the service will be broadcast should be located within the licensed area.

Locally-produced: Locally-produced output is output made and broadcast from within the service's licensed coverage area. (It is anticipated that most stations will produce the bulk of their output themselves, in the locality. However, for some target communities it may be appropriate for fairly high levels of output to originate from outside the licensed area.)

Live output: is that which is produced by a presenter in the studio at the time of broadcast. (The amount of live output may vary between different services depending on the needs or expectations of the target community.)

Original output: output that is first produced for and transmitted by the service, and excludes output that was transmitted elsewhere before. Original output can be live or voice-tracked. Repeat broadcasts of original output do not count towards the minimum requirement.

Peak time: it may be helpful to state what material will be broadcast in 'peak time'. If you do, please say what you consider peak time to be for your target community (this may vary from station to station).

Automated output/voice-tracked material: most stations will carry automated output at some time. The amount of automated or voice-tracked material does not need to be specified in the key commitments.

Sustaining service/third party produced material: if you intend to broadcast material produced by a different organisation please state what it is and how much (per day or week as appropriate).

| 4. Programming output   | Guidance Notes  |
|---|---|
| <p>Please fill-in all three questions below</p> <p>Question A asks you to <u>describe your programme service</u>.</p> <p>Question B relates to how your output will serve the <u>tastes and interests</u> of your target community.</p> <p>Question C is about how your service will <u>broaden the range of local</u> (non-BBC) <u>services</u> available in your area.</p> <p>Later in this form you will be asked to draft your own 'key commitments'. This will form the basis of your licence and is what Ofcom will judge you against. It should include a summary of your proposed programme output as set out below and reflect your commitment to cater for relevant tastes and interests, to broaden the range of relevant programmes and services and to provide a service with a distinct nature and content as set out in the Guidance Notes in the column opposite.</p> | <p>The law requires applicants to state their proposals for providing a service that would:</p> <ul style="list-style-type: none"> <li>(i) cater for the tastes and interests of members of its target community or communities (or for any particular tastes and interests in those communities);</li> <li>(ii) broaden the range of programmes available on non-BBC local services (commercial and community stations) to members of the relevant community or communities;</li> <li>(iii) broaden the overall range of such non-BBC local services provided in the area concerned; and</li> <li>(iv) have a distinct nature, or provide distinct content, from any non-BBC local services with which it would overlap.</li> </ul> <p>Ofcom is required to consider the extent to which an applicant's proposals will cater for the tastes and interests of members of its target community or communities.</p> <p>Ofcom is also required to consider the extent to which</p> |

*proposals for a community radio station would broaden the range of programmes available by way of non-BBC local services (both commercial and community stations) in the area concerned (especially, the extent to which a proposed service would have a distinct nature or provide distinct content from any overlapping non-BBC local services).*

*The information given here should be more detailed than what you set out in your draft key commitments (later in this form), and will help us gain a fuller understanding of how you will serve your target community and what the station will sound like.*

#### 4. Programming output

**A: Please tell us about your programme service. The following questions may help you describe your programme service (but should only be used as a guide):**

- What will the service sound like?
- What music will you play?
- What are the main speech elements of the service and how will the social gain/community benefits you propose be reflected on-air?
- What is the likely music to speech ratio?
- Will this vary at different times of the day or week (or year)?
- Do you intend to broadcast live output? If so when and how much do you propose to do?
- Will you broadcast in languages other than English (what languages and how much)?
- Will your output be original i.e. specifically produced for your service?
- Will it be locally produced?
- Do you intend to repeat material?

**Answer in fewer than 1,000 words:**

Radio Caroline devised an album format in the early seventies when it was realised that there was no point in competing with Radio 1. (created as a replacement for Radio Caroline) but further that albums contained music of an arguably superior quality and greater variety to that contained within the Top 40. Thus, the station mostly ignored Disco, Glam Rock, Bubblegum Pop and other fads and sought music from skilled musicians who would create good melodies and credible lyrics.

The range / quantity of bands and artists is huge but examples are Al Stewart, Bob Dylan, Moody Blues, Ray Davies & The Kinks, Barclay James Harvest, Rick Wakeman & Yes, Cat Stevens, Harry Chapin, Dire Straits, Bruce Springsteen, Crosby Stills Nash & Young and Dutch bands such as Kayak, Golden Earring, focus etc.. This is a tiny proportion of the available music. Radio Caroline will of course play major bands such as the Beatles and Rolling Stones etc., but not the obvious tracks. Some of the above artists (and many more) are still recording. In addition many new bands are creating music in the style of these iconic artists (as one example, Oasis were modelled on the Beatles). So as not to be a specialised Gold station, we do include new material of this sort.

As we are not playing the most obvious tracks, our speech content veers towards discussing the music, such as the album that the track was taken from, who were the personnel of the band at the time, what did they do before and after. Is the track and original or a cover, did other artists cover the same material. We will feature band interviews where relevant. In addition as we have such a large staff of broadcasters each contributing maybe 3 hours a week and as they may well have jobs and hobbies of interest, they are encouraged, albeit concisely, to make mention of these things.

Considering the above, we would estimate our music to speech ratio as averaging 80% music & 20% speech.

Our aim is to be consistent, thus with minor variation, music content will not vary other than that very long tracks i.e. Arlo Guthrie ' Alice's Restaurant' and Bob Dylan ' Hurricane ' better suit overnight transmission. Again there are many more examples.

Our output is predominantly live. As stated, we can do this from having such a large presenter base.

However, five hours a week (one hour each weekday morning) is devoted to a voice tracked programme where a listener chooses their favourite tracks that we play in order of choice. As well as giving 250 listeners per annum the chance to be selected, this also steers us to seeing if we are offering the ideal music selections during the rest of the time. This show is our most popular item.

Live presentation will otherwise be heard between 7am to 11pm, but in saying this, the overnight hours will prove a suitable training period for new presenters.

We expect to broadcast all of our output in English.

The output will be predominantly original and for this service alone. There may however, be occasional programming sourced from other providers. For example, at present, each week we broadcast a one-hour programme focusing on the music of Elvis Presley as well as a two-hour programme of Country / Americana.

In general, we do not intend to repeat material aside from the Listeners Top 15 programme, which is played again overnight.

The localness of the output is covered elsewhere in this application (Please see Part B of this section (below)).

To summarise, the listeners will hear album music programmes not replicated on any station in the area. They will be in part the same listeners who heard Caroline in previous eras or mature new listeners who stay tuned for longer periods than is the norm and will listen attentively rather than having background music. The presenters will be for the most part the same people they heard broadcasting from the sea. Indeed at certain times they will be effectively 'at sea' broadcasting from our ship Ross Revenge.

**B. What is the address of the proposed studio (if known)?**

The station's main transmission studios are currently located at:

Radio Caroline, 2<sup>nd</sup> Floor, 111, High Street, Strood, Rochester, Kent, ME2 4TJ.

Owing to the availability of Internet connectivity at our proposed transmission site, we would propose that much of our programming output would continue to be produced in Strood. However, taking advantage of Internet delivery, streaming and routing technologies we already have individual programmes produced and broadcast from other studios in a variety of locations.

If required, as a condition of a Community Radio Licence, it would be possible for core elements of programming to be delivered from within the coverage area of the new AM service. There will also be the opportunity to use the studios on board the MV Ross Revenge, utilising the 4G Network to stream output for onward AM broadcast.

We note the suggestion that studio facilities should be located within the service area of the proposed Community Radio service. However, at this stage, the precise coverage area of any licence awarded has yet to be clearly defined and it is therefore not yet possible to develop concrete plans for additional studio facilities, should these be required. Were Radio Caroline to be offered a Community Radio Licence, we would welcome the opportunity to discuss how best to ensure compliance with studio location requirements.

**C: Based on what you have said above, how will your proposed radio service cater for the tastes and interests of your target community/communities? Answer in fewer than 400 words:**

Unlike virtually all commercial radio stations, Radio Caroline is not tightly formatted nor play-listed. Giving the presenter a pre chosen list of tracks to play in which order, almost negates the point of having a presenter there at all and removes the need for them to concentrate on and shape what they are doing. Some commercial radio staff are, frankly, disinterested in what they are playing.

Thus within the style of the station and a broad template, our presenter has free choice. In deciding to play a particular album there may be twelve or more tracks to choose from, a decision based around

our intention that we are mostly there to entertain and to a lesser degree to educate. Having to make these choices encourages the individual presenters to become more musically knowledgeable themselves, and to describe the merit of particular tracks, i.e. "I am playing this piece of music because ...".

However, to avoid looking to the most obscure choices we say that the listener should also hear something he / she already is likely to be more familiar with, at least to a degree, as a counter-balance to the music they may be less likely to know. An attentive presenter creates an attentive listener and while our output may be unpredictable, listeners tell us and have done so for a long period that our music has influenced their own personal music collections.

We have a far larger library, many times over, than other stations normally have and since our listeners stay tuned for long periods, we do not repeat tracks within a few hours, but maybe not for days or more likely for weeks.

**D: How will your proposed community radio service add to the range of programmes available on non-BBC local services (commercial and community stations) to members of the relevant community or communities, add to the overall range of such services in the area concerned and be different and distinct from them? Please tell us which non-BBC local services your station will overlap with.**

**Answer in fewer than 400 words:**

No other service within the area we are proposing to cover plays anywhere near the breadth and depth of music that is played on Caroline and no other service even comes close to replicating our sound.

Our format offers the listener a completely different listening experience from anything else that is available in the proposed region of Suffolk / East Anglia.

We are aware that there are already thirteen non-BBC stations in Suffolk with varying reception areas. These are a mix of seven Community stations, one independent station (Town FM – 'Current and Classic Hits') and five local 'outlet's for 'national stations' e.g. Smooth, Gold, Capital, Heart and Kiss. The output of these stations is described variously as 'Relaxing Music Mix', 'Oldies and Classic Hits From the 60s and 70s', 'Top 40 Chart Music', 'Adult Contemporary Music' and 'Contemporary and Classic' Hits'.

Our musical output was described in our answer to Questions 4A and 4C. Consequently, the output of Radio Caroline does not overlap with any of the above listed stations. Our 'album format' programming is unique in the United Kingdom.

## Social gain

| 5. Social gain  | Guidance Notes   |
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| <p>Community radio is required to be for the public good and to bring community benefits, or social gains, to listeners and participants. The requirement for 'social gain,' is one of the key factors that makes community radio stations different from other radio services.</p> <p>Please tell us what community benefits your service will bring to your target community(ies) and/or the general public. Please include summaries of evidence to support your answer.</p> <p>Your draft 'key commitments' (later in this form) should include a summary of your proposed social gain set out below.</p> <p><b>Answer in fewer than 1,500 words:</b></p> | <p><i>Broadcasting legislation specifies a number of 'characteristics of community radio services'. Licence holders need to ensure their services conform to these characteristics, including in this case the delivery of social gain.</i></p> <p><i>The legislation sets out social gains that stations must provide. These are the achievement, in respect of individuals or groups of individuals in the target community, or in respect of other members of the public, of the following objectives –</i></p> <ul style="list-style-type: none"> <li><i>(a) the provision of a radio service to individuals who are otherwise underserved by such services,</i></li> <li><i>(b) the facilitation of discussion and the expression of opinion,</i></li> <li><i>(c) the provision (whether by means of programmes included in the service or otherwise) of education or training to individuals not employed by the station, and</i></li> </ul> |

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|  | <p><i>(d) the better understanding of the particular community and the strengthening of links within it.</i></p> <p><i>Social gain may also include the achievement of other objectives of a social nature.<sup>1</sup></i></p> <p><i>Applicants are required to provide evidence that their service will result in significant social gain to the public or the target community(ies). Copies of letters or research reports etc. should not be submitted, but brief relevant extracts from them, which represent an accurate and comprehensive summary, may be included. If we wish to see the source material, we will ask for it.</i></p> <p><i>Ofcom is required to have regard to the extent to which your proposed service would result in the delivery of social gain to the public or target community.</i></p> |
| <p>We already broadcast material that fits perfectly into the social gain and community benefit criteria. We have tried to promote various concepts of social cohesion ranging from, at the most basic, encouraging peace and understanding through to promoting the work of various charities and bodies whose very existence is as a result of a desire to make a better society. Organisations such as R.N.L.I, Mountain Rescue, Greenpeace and MacMillan Cancer Support cannot function without financial and other support from the general public and Radio Caroline has promoted the work of these organisations and various others over many years.</p> <p>The concept is very simple and we have always maintained a non-political stance in our on-air promotions. On a practical level, our approach promotes the multitude of individuals and organisations who are trying to create a happier society. It is our intention to actively seek out organisations, such as those described, across our transmission area and beyond to offer them airtime to promote their activities.</p> <p>Our radio-ship, the M.V. Ross Revenge is a historic vessel on many levels and very much part of the 52 year heritage of Radio Caroline. She is the last remaining example of the ‘super’ trawlers that fished deep Arctic waters for weeks on end and landed record catches. She is a veteran of the so called ‘cod wars’ of the 1970s with many of her original fixtures and fittings still in place today. She offers a window on to an important period of the UK’s maritime history. In addition to this the ‘Ross’ is the only surviving fully functional radio ship still afloat. She has been Radio Caroline’s home since 1983 and much of the broadcasting equipment installed during her conversion is still present. The ship is a living museum with endless potential for educational and heritage related roles.</p> <p>Because of the availability of the fully functional radio studio on board the ‘Ross’ we propose offering operational broadcast training opportunities to young people (and those more mature) from within our proposed transmission area. We currently run regular ‘sightseeing’ trips to and on-board the ship for visitors to learn about the history of the ship and the radio station. The River Blackwater is a relatively short distance (approximately 25 miles) from Ipswich.</p> <p>Aligned to this, we will extend our promotion of emerging bands to specifically include those based in East Anglia through on-air interviews, advertising their performances and playing their music.</p> |  |

### Access and participation:

| 6. Participation in the service   | Guidance Notes  |
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| <p>How do you propose to ensure that members of your target community (or communities) are given opportunities to participate in the operation and management of the service?</p> | <p><i>Legislation specifies a number of ‘characteristics of community radio services’. Licence holders need to ensure their services conform to these characteristics, including in this case providing opportunities to participate in the operation and management of the</i></p> |

<sup>1</sup> See Article 2(3) of the Community Radio Order 2004 and Ofcom’s Notes of guidance for community radio applicants and licensees.

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| <p>Your draft 'key commitments' (later in this form) should include a summary of your proposed participation objectives as set out below.</p> <p><b>Answer in fewer than 400 words:</b></p>   | <p><i>service. Ofcom requires information as to how this would be achieved.</i></p> <p><i>What will be the involvement of members of the target community in the radio station? How many volunteers do you anticipate will be involved in your service per year?</i></p> |
| <p>For over 20 years, we have been supported by the 'Radio Caroline Support Group'. This is a voluntary fund raising organisation, which exists to maintain the radio station. Owing to the Suffolk area being within the 'heartland' of Radio Caroline's historical broadcast activities, we have members of the RCSG who live within the area.</p> <p>We will develop the role of the RCSG with it electing at least one member on an annual basis to serve on the Board of Radio Caroline AM Broadcasting Ltd. These persons will represent the views of the RCSG and will play their part in the day-to-day management of the station and the shaping of future developments. We view this as a natural extension of the RCSG's activities.</p> <p>Communications with our listeners (as set out in Section 8 (below)) are designed to ensure that we get diverse feedback concerning our programmes and other activities. We have previously obtained volunteers resulting from an initial e-mail or other form of electronic contact and we would expect further volunteers to emerge via this route. We welcome comments and suggestions from listeners and these too can influence our operational activities.</p> <p>At present we operate completely as a volunteer organisation and, as such, volunteers make all the decisions on the running of the station and indeed, volunteers physically make the programmes. Whilst we would like to advance to a position of being able to pay for some services rendered, we don't ever envisage a situation where the majority of people running the station will be paid and therefore we will always rely on volunteer input.</p> |  |

| 7. Access to facilities and training  | Guidance Notes   |
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| <p>Set out the practical (formal and informal) mechanisms which you will use to ensure that members of your target community can gain access to your facilities that would be used for the provision of your service and to receive training in their use.</p> <p>Your draft 'key commitments' (later in this form) should include a summary of your proposed access and objectives for training people in the use of the facilities as set out below.</p> <p><b>Answer in fewer than 400 words:</b></p>  | <p><i>Ofcom is required by the legislation to have regard to the provision each applicant proposes to make to allow for access by members of the target community to the facilities to be used for the provision of the service and for their training in the use of those facilities.</i></p> |
| <p>Given the relatively short distance from our proposed reception area and the historical significance of our radio-ship, the M.V. Ross Revenge, we propose offering opportunities to listeners living in the area to undertake broadcast training in our ship-board studios. We would invite younger listeners, but not to the exclusion of more mature individuals, to take part in this initiative. Those whom we consider suitable would be offered the opportunity to make programmes for Radio Caroline, either on a recorded basis or 'live' from the ship based studios when such occasions are planned. The ship has fully equipped sleeping accommodation and food preparation facilities and can be accessed by a 20 minute boat trip from either Bradwell Marina or West Mersea.</p> <p>In addition to the use of facilities on the M.V. Ross Revenge, Radio Caroline has also developed links with other Community Radio services already operational in the East Anglia Region. We have discussed the possibility of carrying out training activities in conjunction with some of these existing broadcasters, sharing our skills and collaborating to enhance training provision.</p> |  |

**Ensuring accountability:**

| 8. Accountability   | Guidance Notes   |
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| <p>Please set out your proposed community accountability mechanisms. These should cover matters such as:</p> <p>How will members of your target community:</p> <ul style="list-style-type: none"> <li>• make contact with your service, and</li> <li>• influence the operation of the service?</li> </ul> <p>How will suggestions or criticisms from members of your target community (or communities) be considered and acted upon?</p> <p>Your draft 'key commitments' (later in this form) should include a summary of your proposed accountability measures as set out below.</p> <p><b>Answer in fewer than 500 words:</b></p> | <p><i>Broadcasting legislation specifies a number of 'characteristics of community radio services'. Licence holders need to ensure their services conform to these characteristics. One is that, in respect of the provision of the service, the provider makes itself accountable to the community (or communities) the service is intended to serve.</i></p> <p><i>Ofcom is required to have regard to the provision each applicant proposes to make in order to ensure its accountability to the relevant community (or communities).</i></p> |
| <p>Listener contact with Radio Caroline is by e-mail, Facebook, Twitter, Skype (non-video) and by post to our Strood address. We do not propose altering these practises at the present time.</p> <p>We would be very interested to receive comments and feedback from the community within our transmission area. These would be carefully considered and acted upon subject to being first reviewed by our Management Committee.</p> <p>In the event of complaints concerning station output, the Programme Manager will be the first point of contact, escalating to the management team where necessary.</p>                    |  |

**Guidance notes: station's draft key commitments**

Please draft the Key Commitments for your proposed service. There is a blank key commitments template overleaf. If your organisation is awarded a licence, the key commitments you draft here will be included in your licence. (Please note: Ofcom may require amendments if, for example, we feel changes are required to better reflect your application proposals).

Your draft Key Commitments should be consistent with, and, where appropriate, summarise the answers you have already given in this application form.

It is important that you draft your own commitments rather than just copying what may have been done by others. You should keep in mind that it will be a condition of any licence to meet the Key Commitments: something you must do at all times and for breach of which you could face sanctions including revocation of the licence. So, you should think carefully about what you will be committing to.

**We would expect key commitments to be brief and no more than one page long.**

**KEY COMMITMENTS: to be completed by the applicant**

*[Guidance is given in italics.]*

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| <p><b>Station name</b><br/><i>[As in section 1 of this application]</i></p>  | RADIO CAROLINE  |
| <p><b>Licence area</b><br/><i>[State the proposed coverage area as set out in section 3 of this form.]</i></p>   | By using an AM frequency, a proposed area bounded by Ipswich in the South, Bury St Edmunds and Stowmarket in the West, Saxmundham to the East and Diss to the North |
| <p><b>Description of character of service</b><br/><i>[Describe in no more than 80 words in total]:</i></p> <ul style="list-style-type: none"> <li>- the target community/communities <i>[describe the community or communities you will serve; this should be a summary of your answer in section 2 of this form]:</i></li> <li>- the main purpose of the service</li> <li>- the station's primary functions or activities.</li> </ul> |   |

A 'community of interest', namely adults, both male and female, aged 45+ who wish to hear music from a wide range of albums from the 1960s through to the present day. That is, album music tracks from across the various genres that evolved during their youth and continued to evolve up to the present day but not including contemporary or 'gold' 'Top 40' hits.

The purpose is to provide a music format that is unique to the proposed transmission area but also in the whole of the UK.

Radio Caroline is 24-hour music radio service serving a unique mix of album tracks to a discerning listener base.

The service broadcasts: *[these bullet points should be a summary of the answers you have given in section 4 of this form]:*

- Music: Album tracks, loosely categorised as 'Rock' music featuring material that is or has featured in or close to the Official UK Album Chart over the years. Some material has never featured in official charts because it is or was produced by bands unfamiliar to the general public but which would be familiar to our discerning listener base.
- The main types of speech output<sup>2</sup> broadcast over the course of each week are: That spoken in the normal course of programme presentation, supplemented by proposed interviews and features about the region's musicians. Some local items of news or interest.
- Over the course of each week programming is broadcast in English only.
- The service provides original output<sup>3</sup> for a minimum of 20 hours per day.
- Radio Caroline presenters are able to dial-in to the main studio and present their programmes from their own locations. Two regular presenters are based in Suffolk and are effectively our local studios for the proposed AM service. They currently supply 9 hours per week.

The service provides a range of community benefits (social gain objectives mandated by statute) for the target community, both on-air and off-air, and in doing so, achieves the following objectives:

- We would envisage inviting applicants to use our studio facilities onboard Ross Revenge to train-up to broadcast standards, with a view to them initially broadcasting off-peak hours. Further training and experience would hopefully lead to inclusion in peak-time programme hours.

Members of the target community contribute to the operation and management of the service.

The service has mechanisms in place to ensure it is accountable to its target community.

[Please note: If awarded a licence, the key commitments you draft here will be included in your licence. (However, Ofcom may require amendments if, for example, we feel changes are required to better reflect your application proposals).]

## About your organisation:

| 9. Company details  | Guidance Notes  |
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| <p>The legislation requires that:</p> <ul style="list-style-type: none"> <li>• Community radio services should not be provided primarily for commercial reasons or for the financial or other material gain of the body providing the service or the individuals involved in it</li> <li>• Any profits produced may only be used to either improve the future provision of the service or for the delivery of social gain to members of the public or the target community</li> </ul> |   |
| <p>Provide the name of the body corporate (e.g. company) submitting this application and the type of body corporate.<br/>Please provide copies of the company's</p>   | <p><i>Only a body corporate can hold a community radio licence. A body corporate is almost always a (registered) company, although it can also include, for example, some bodies created by statute (an individual or a</i></p> |

<sup>2</sup> e.g. local news, what's on etc.

<sup>3</sup> Original output is output that is first produced for and transmitted by the service, and excludes output that was transmitted elsewhere before. Original output can be live or voice-tracked. Repeat broadcasts of original output do not count towards the minimum requirement.

|   |  |
|---|--|
| Memorandum, Articles of Association and Certificate of Incorporation (or, where it is another type of body corporate, its founding documents and those which set out its objectives and rules of operation) | <i>registered charity on its own is not a body corporate). Your application must therefore be submitted on behalf of a registered company (or other body corporate). There are different types of company (e.g. a company limited by shares, a community interest company, a company limited by guarantee) and we need to know what kind of company is applying for a licence. We will not consider a licence award to a company if it has not yet been registered. If the application is successful the licence will be awarded to the body corporate (e.g. company) submitting this application.</i> |
| <b>Company (or other body corporate) name:</b>  | RADIO CAROLINE (AM) BROADCASTING LTD   |
| <b>Date of registration:</b>  | 4 March 2011.  |
| <b>Company registration number:</b>   | 07552492   |
| <b>Type of company (or other body corporate):</b>   | Private company limited by guarantee without share capital   |
| <b>Where your body is not a company, please explain how it meets the requirement to be a body corporate:</b>  | N/A  |

| 10. Ownership  | Guidance Notes   |
|--|--|
| <p>Please provide details of who controls and who owns the body corporate (e.g. company) applying for this licence, and on what basis this control and ownership is achieved. (If this will be different once the station starts broadcasting please say so (a licence is not issued until broadcasting starts.)</p> <p>The information provided must include:</p> <ul style="list-style-type: none"> <li>all the subscribers, members, shareholders, or whatever is appropriate for the applicant company (or body corporate), and state the extent of their interest (e.g. % shareholding) (if the company will be controlled by members, but the members are not yet recruited, please say so). (You will also be asked about the board of directors in 11 &amp; 12 below.)</li> <li>details of any individual, company or other body that may exert control over the applicant.</li> </ul> | <p><i>Ofcom needs to know about the ownership of the company (or other body corporate) applying for this licence, and any issues regarding its control. We will also consider how the company (or body corporate) will be run (this will usually be specified in the Articles of Association of the company).</i></p> <p><i>In relation to exerting control over the applicant, the information provided should include the name and address of any individuals or corporate bodies in accordance with whose wishes the applicant's affairs are or will be conducted in most cases or in significant respects.</i></p> |
| <p>Radio Caroline is currently 'owned' and controlled by one individual, Peter Moore who is also the sole Director of Radio Caroline AM Broadcasting Limited. It is not envisaged at this time that any other individual or body corporate would exert control over the applicant. However, subject to this application being approved an appropriate management and company structure will be put in place (please see also Section 11 (below)).</p>  |  |

### Ability to maintain the service:

| 11. Management and operations   | Guidance Notes   |
|---|--|
| <p>With regard to the applicant please provide:</p> <ul style="list-style-type: none"> <li>A list of directors, indicating who is the managing director and/or chair (<b>please see question 12 regarding directors' details</b>).</li> <li>An indication of management structure (e.g. management committee or equivalent, if</li> </ul> | <p><i>In addition to the notes above on ownership, Ofcom is also required to evaluate the human resources involved in the running of a community radio station. Answers should demonstrate how those who have relevant experience will work for the station. In addition, a diagram or organisation chart may help paint a clearer</i></p> |

|   |                        |
|---|------------------------|
| <p>applicable), and/or</p> <ul style="list-style-type: none"> <li>Information about who would be responsible for the day to day management and running of the station (there is a separate question regarding other staff below). Explain the role(s) in the station, job titles, and indicate whether paid or voluntary, full or part-time (and the number of hours).</li> </ul> | <p><i>picture.</i></p> |
|---|------------------------|

The Chairman and Managing Director will be Peter Moore.

Should Radio Caroline be awarded a Community Radio Licence, the current informal operational activities carried out by members of the Management Team (as listed below under Sections 12 & 13) would be formalised into a management structure that would ensure compliance with the requirements of the Community Radio Order & Communications Act.

Because Radio Caroline has been operating for very many years, much of the structure required to expand our operations into a Community Radio Licence is already in place. We are aware, however, that some of the licensing elements, for example around the delivery of Social Gain in particular, may require somewhat more formal structures in order to ensure their effective delivery.

| 12. Management and operations  | Guidance Notes  |
|--|---|
| <p>Please provide information regarding each director as set out below (some or all of which may be regarded as confidential).</p> <p>In addition if any individuals have been identified for management roles at the proposed station, please give further information in this section.</p> <p><b>Answer (for each director):</b></p>   | <p><i>Ofcom needs to know about the proposed directors and chair of the applicant. <b>Some or all of the information given in this section will usually be removed from the publicly available copy of this application form.</b> (All community radio applications forms will be made available on our website.) If you request that any information be removed, please indicate which (and why) by email to <a href="mailto:community.radio@ofcom.org.uk">community.radio@ofcom.org.uk</a>.</i></p> |
| <p><b>Peter Moore:</b></p> <p><b>Employment:</b> Retired Engineer/Businessman. Author/Technical Author</p> <p><b>Other directorships:</b> None</p> <p><b>Relevant experience or qualifications:</b> Has managed Radio Caroline full time since 1991 having started assisting the station in 1978. He has managed all aspects of the Broadcasting Ship Ross Revenge, since 1991.</p> <p>Company Secretary and finance manager.</p> <p><b>Stephen Taplin:</b></p> <p>Employment: Retired Bank Executive</p> <p>Other directorships: None.</p> <p>Relevant experience: Mr Taplin entered the banking industry from school in 1968 and managed various branches. [...]. On retiring he offered his services to Radio Caroline.</p> <p>If any individuals (not necessarily directors) have been identified for management roles please state what experience identified individuals have to undertake the role in question.</p> |   |
| <p>Finance: <b>Stephen Taplin.</b> (Retired banking executive).</p> <p>Programming: <b>Peter MacFarlane.</b> (Director Red Kite Radio &amp; Media Ltd. Former Programmer at BFBS, TLRC, Southern Radio Group).</p> <p>Studio Engineering; <b>Robert Ashard.</b> (Former Sound supervisor at ITV Studios, South Bank, London).</p> <p>Legal and Compliance: <b>Lawrie Hallett.</b> (University of Bedfordshire and Director of Future Digital</p>   |   |

Norfolk Limited / Future Projects Limited, formerly with Ofcom's Radio Licensing Team).  
 Transmission Engineering: **Alan Beech**. (Commtronix Limited).  
 Transmitter Site Manager: **David Wilding**.

**13. Staffing structure****Guidance Notes**

What is the staffing structure of your proposed radio service? Provide a job title for each position and indicate whether the individual will be paid or will work in a voluntary capacity, will be full or part-time (indicate the number of hours for part-time posts). This may be provided as a diagram or organisation chart.

*The legislation requires that Ofcom has regard to the applicant's ability to maintain the proposed service (as well as to ensure the applicant is fit and proper to hold the licence). As well as its ownership, management and operations, the proposed staffing of the station is relevant to these considerations.*

**Answer in fewer than 400 words, plus organisation chart (optional):**

Managing Director - **Peter Moore**.

Finance Manager - **Stephen Taplin**.

Programming - **Peter MacFarlane**.

Studio Engineering - **Robert Ashard**.

Legal and Compliance - **Lawrie Hallett**.

TX Engineering and Site Manager - **David Wilding**.

All the above named individuals have agreed to work part-time, on a voluntary and unpaid basis.

Radio Caroline operates with a large number of volunteer presenters. Their contributions to the station's output and other activities are managed and overseen by Peter MacFarlane.

**14. Applicant's experience****Guidance Notes**

Please describe the history of your group, and any relevant experience of the group, or the individuals within the group, by answering the questions below.

*Ofcom is required to consider whether an applicant has the ability to run a community radio station for the licence period (up to five years). To aid our consideration we want to know about any relevant experience the applicant group as a whole, or the individuals involved, may have.*

**A. Please provide a brief history of your group.**

**Answer in fewer than 300 words:**

The history of Radio Caroline is a matter of Public Record. After nearly 30 years of broadcasting from ships anchored in international waters, these activities ceased in 1991 when the ship came ashore. The radio-ship, M.V. Ross Revenge is still maintained and is staffed and anchored in the River Blackwell in Essex.

Since then Radio Caroline has remained more or less continuously 'on-air' through the means of a series of RSLs, satellite broadcasting and for the past nearly 20 years, webcasting via the internet. More recently, Radio Caroline has begun taking part in the small-scale DAB trials, currently with outlets in five areas of the UK.

**B. Please summarise the group's broadcasting experience (e.g. internet radio, Restricted Service Licences (RSLs)).**

**Answer in fewer than 150 words:**

Radio Caroline has a legacy of broadcasting experience going back to 1964. This has included the international use of various AM frequencies during the 1960s, 70s and 80s and the completion of numerous RSLs during the 1990s and 2000s. The station currently webcasts 24 hours a day, seven days a week on the world wide web and simulcasts to five locations in the UK being a participant in

the on-going small-scale DAB trials.

**C. Please summarise the relevant experience of the group or its members, in relevant non-broadcast areas (such as third sector, local business, fund-raising, training or education).**

**Answer in fewer than 150 words:**

Those involved in the on-going operation of Radio Caroline come from a wide variety of backgrounds and bring a diverse range of expertise and experience from beyond the world of broadcasting. The station is able to call upon financial expertise from the corporate and the small-business sectors. Other volunteers bring relevant experience of the wider voluntary / third sector at both operational and managerial levels.

Individuals within the 'Caroline Organisation' have educational and training expertise to assist with the delivery of specific social gain objectives, for example in relation to building volunteer skills linked to radio programming and broadcasting technologies.

For 25 years Radio Caroline has been able to continue because of funding provided by donations and sponsorship from a variety of sources. Our experience and skills base ensures that such support will continue to be available allowing us to generate sponsorship and advertising for inclusion within the station's programming.

**D. Please summarise the radio broadcasting experience of key individuals in the applicant group (only if not already described above). Answer in fewer than 150 words:**

Please see Section 13, above.

**Evidence of demand:**

| 15. Demand and/or support  | Guidance Notes  |
|--|---|
| <p>Please provide a summary of evidence of demand and/or support for your proposed service. This may include a variety of information, for example:</p> <ul style="list-style-type: none"> <li>summary of support from statutory or voluntary sector organisations which expect to contribute practically and/or financially to your operations, or which would expect to collaborate with you in joint activities;</li> <li>evidence of interest generated through radio activity (e.g. RSLs, internet radio), community activity, training, voluntary sector work etc;</li> <li>results of research;</li> <li>summary of support from local politicians, councils, educational or religious bodies etc;</li> <li>summary of support from local business or other sectors;</li> <li>evidence of support from your proposed target community.</li> </ul> <p><b>Answer in fewer than 1,000 words:</b></p> | <p><i>When considering community radio licence applications, Ofcom is required to take into account the extent of local demand for, or support for, the provision of the proposed service.</i></p> <p><i>It is for applicants to decide what evidence of demand or support they wish to submit. However, Ofcom does not believe that generic support for the establishment of a new radio service is as meaningful as evidence of considered support for a specific applicant's proposals. This means that Ofcom does not attach great value to numerous examples of similar generic or form letters of support by your group, or to petitions.</i></p> <p><i>Copies of letters or research reports etc. should not be submitted. However, your response here may include brief relevant extracts from such material and must represent an accurate and comprehensive summary of any such supporting evidence. If we wish to see the source material, we will ask for it.</i></p> |
| <p>With a charismatic name and colourful 52 year history, Radio Caroline starts from a position of having many advantages. Having carried out several RSL test broadcasts and having co-operated with local BBC stations we always get a positive reaction from the public and we repeat that everyone knows 'something of 'Radio Caroline' even though there may be gaps in their knowledge. We have come to expect that there will be a fund of goodwill for Caroline and have never been disappointed. One RSL was sponsored in full by Maldon Council and another by Tendring Council who described it as 'the best money they have ever spent'.</p> <p>The fact that we have allocated nothing for Marketing and Promotion is since we know there will be no</p>  |   |

need. Assuming that a licence is granted we will gain, even without asking, substantial press, radio and TV coverage, based around the fact that we will be bringing back to the community in the area, that which they previously enjoyed so much in their younger days. We have made a point so far of promoting at no charge, the Maldon Town Regatta. also the charitable Mersea Island Carnival and similar events at Brightlingsea and Clacton. This type of promotion would continue.

It should be remembered that we have been supported by our listeners / enthusiasts fully since 1991, albeit from small beginnings, and that these people willingly donated even when there was no radio service for them to hear. They took the view that we would correct this situation to the best of our abilities as indeed we have done. As already stated, our heartland is Essex / Suffolk and while our programmes cover a wide area by other means, we have not had the chance until now to broadcast to an area where we are most appreciated.

Since 1991 to the present day we have been trusted to spend a great deal of money by making it clear that we are all volunteers who will not benefit financially in any way. So there is an unspoken contract between staff and listeners that if we seek their help we will always deliver on our promise. As an example, substantial work was required on the ship Ross Revenge to make her fit for public visits and to be Insured for this purpose. A gift was offered in return for a donation and £8000 was raised in four days. Ross Revenge is now refurbished and Insured and visits are taking place.

Elsewhere we are asked what might happen to any surplus of income. We are not particularly seeking a profit other than to be able to cover our costs and build a fund in case of unforeseen events. In saying this we are already well funded.

It is our usual practice to offer on air promotion at no cost if there is a listener benefit. For example we always promote a yearly five-day music festival in Cambridge. The festival has bands that our core audience will know, or tribute bands who recreate the music they will know. We make and will make no charge for this but will request that a substantial number of free tickets are set-aside for our listeners. In the same way we presently have Messrs Wilkins & Sons (Tiptree Preserves) as sponsors, again without charge on the understanding that they will give our listeners gifts from their extensive product range.

In the event that we gain a licence we would expect to expand their involvement, but equally the area is rich in National Trust properties, formal Gardens, preserved railway lines, airfields and other heritage sites.

Contra-deals would be sought with such operations always to provide a direct benefit to our listeners (many of them will be retired) of enjoyable free outings or appropriate gifts.

## Ability to maintain the service – financial information:

### 16. Pre-launch financial information

In assessing an applicant's ability to maintain the service we need to know what funds and assets it holds, how much it intends to spend getting the station on-air (pre-launch expenditure), and predicted funding for the pre-launch period. Please note 'in-kind' income is dealt with in 18 below.

Tick this box if you request Ofcom to withhold this information from the public copy of your application.

### 17. Year 1 financial information – income

Please provide information on the predicted income for your first year of broadcasting. N.B. there is a legislative restriction on income from on-air advertising and sponsorship/commercial references (in summary, each station is allowed a 'fixed revenue allowance' of £15,000 per year from paid-for advertising and sponsorship; some stations may also be allowed up to 50% of their total relevant information per year (i.e. disregarding the fixed revenue allowance). See the 'Invitation of applications for community radio licences' for your region for further information).

Tick this box if you request Ofcom to withhold this information from the public copy of your application.

### 18. Financial information – in-kind support

Please provide totals that reflect the in-kind support you expect to receive for the pre-launch period, and for year one. You may count some volunteer input as in-kind support – please see our guidance on this <http://stakeholders.ofcom.org.uk/binaries/broadcast/radio-ops/volunteerinput.pdf>

Tick this box if you request Ofcom to withhold this information from the public copy of your application.

### 19. Year 1 financial information – outgoings

Please summarise year 1 outgoings – your expected operational expenditure to cover the first 12 months after the service has commenced broadcasting. (Add rows if necessary).

Tick this box if you request Ofcom to withhold this information from the public copy of your application.

### 20. Year 1 financial information – commercial activities on air

Tick this box if you request Ofcom to withhold this information from the public copy of your application.

### 21. Pre-launch financial information – contingency

Tick this box if you request Ofcom to withhold this information from the public copy of your application.

### 22. Year 1 financial information – contingency

Tick this box if you request Ofcom to withhold this information from the public copy of your application.

### 23. Financial information – loans and other borrowings

Tick this box if you request Ofcom to withhold this information from the public copy of your application.

### 24. Financial information – alternative funding streams

Tick this box if you request Ofcom to withhold this information from the public copy of your application.

## Broadcasting engineering:

### Engineering notes

We require preliminary radio frequency (r.f.) engineering information and applicants are advised that this section is not intended to be exhaustive. We are asking for the basic engineering parameters necessary to determine the extent of frequency availability in an applicant's chosen area (after taking account of the requirements of neighbouring broadcasters); and to determine whether the proposed service area can be adequately served from this site. As a result, applicants should note the importance of identifying a transmission site that is suitable for the area they wish to serve.

While Ofcom would not wish applicants to go to unnecessary expense (we do not guarantee the availability of a suitable frequency and/or our ability to allocate it for broadcasting from the chosen transmission site), we do require precise details about the transmission site chosen. The questions following relate to this.

Applicants should provide evidence of negotiations or agreement with the site owner (e.g. whether a provisional agreement is in place). Any licence award will be based on the coverage area from the site identified in the application. If a licence is offered, Ofcom may not be able to agree to a change from the site put forward in this application (and any request for a change will need to be justified).

Applicants should read and be aware of the relevant part of the Ofcom Site Engineering Code which may be found at: [http://stakeholders.ofcom.org.uk/broadcasting/guidance/tech-guidance/eng\\_code/](http://stakeholders.ofcom.org.uk/broadcasting/guidance/tech-guidance/eng_code/)

Community radio stations typically have a coverage area of up to a 5 kilometre radius. Please see Ofcom's 'Coverage and planning policy for analogue broadcasting services' (including community radio) <http://stakeholders.ofcom.org.uk/binaries/broadcast/radio-ops/coverage/analogue-coverage-policy.pdf>

## 25. Engineering information

Note: there are fundamental differences between FM (VHF) and AM (MF) transmission systems. These include differences in mast and antenna size, transmitter site requirements, site location, installation cost, audio quality and night-time interference. Before completing this section, applicants may wish to take professional advice to ensure they understand these differences and their implications.

|  |   |   |
|--|---|---|
| Waveband:  | Is the application for an FM licence?   | No  |
|  | Is the application for an AM licence?   | Yes                                       |
|  | Should a suitable FM frequency be unavailable, would a frequency on AM be acceptable?<br><i>If you have answered YES to this last question please complete the questions below separately for both an FM transmission site and an AM transmission site.</i>   | N/A                                       |
|  | Do you understand the fundamental differences between FM (VHF) and AM (MF) services and have you incorporated these below and in, for example, your start-up and other costs, detailed earlier?   | Yes                                       |
| Transmission site address:   | What is the postal address and post code:   | IP14 6BZ                                  |
| National Grid Reference (NGR):   | The NGR is a reference code comprising two letters and two groups of three numbers (e.g. SW 123 456). It is available from Ordnance Survey. Further information can be found at:<br><a href="http://www.ordnancesurvey.co.uk/oswebsite/index.html">http://www.ordnancesurvey.co.uk/oswebsite/index.html</a> | TM 144 607                                |
| About the site's dimensions:   | What is the height of the site, in metres, Above Ordnance Datum (AOD)?  | 58m                                       |
|  | In metres, what is the total height of the mast / building Above Ground level (AGL)?  | 33m                                       |
|  | What is the height, in metres, of the transmitting antenna AGL?   | 33m                                       |
|  | Please supply photographs of the transmitter mast / building and aerial location.   | <input type="checkbox"/> Tick if enclosed |
| About the transmission site:   | Who owns the site and what are their full contact details?  |   |
|  | Is this site already used for broadcasting and if so by whom?   | No  |
| Transmission site availability:  | Delete as appropriate the yes/no answers which describe your situation regarding proposed site (it may be that you cannot answer all four questions):   |   |
|  | Site identified?  | Yes                                       |
|  | Provisional agreement with site owner in place?   | Yes                                       |
|  | Or under negotiation?   | No  |
|  | Applicant group owns site?  | No  |
|  | Other – please specify.   |   |
| <b>(Note: we cannot consider an application if no site has been identified.)</b> |   |   |

### How to contact you:

| 26. Public contact details  | Guidance Notes  |
|---|---|
| Provide the name of the person who will deal with enquiries from the press and public and the contact details for them. | <i>Ofcom will publish community radio licence applications, and from time to time statements which may include public contact details, on its website. A point of contact is required to deal with press and other enquiries from interested parties.</i> |

|                 |                               |
|-----------------|-------------------------------|
| <b>Name:</b>    | Peter Moore                   |
| <b>Phone:</b>   | 020 8340 3831                 |
| <b>Email:</b>   | caroline_pirate@btconnect.com |
| <b>Website:</b> | www.radiocaroline.co.uk       |

**27. Contact details for Ofcom****Guidance Notes**

Provide the name of the person who will be Ofcom's primary contact and their contact details:

*Ofcom will need to contact your group in relation to this application.*

*PLEASE ENSURE YOU ADVISE OFCOM OF ANY CHANGES TO YOUR CONTACT DETAILS*

**Concluding declaration:****28. Declaration****APPLICANT'S, DIRECTORS' AND OWNERS' OTHER INTERESTS**

1. In relation to the applicant and any body's and/or individuals whose details are given in sections 11, 12 and 13 of this application, please state whether the applicant, any body or individual is (and if so identify that body or individual) involved in any of the activities set out in the table below, and the extent of the involvement or interest. For these purposes, the applicant includes associates of the applicant (i.e. directors and their associates and other group companies): (Note: if none of the following categories in this section apply, this must be clearly indicated by writing "none" in any appropriate box.)

| <b>Activity/involvement</b>  | <b>By the applicant and/or a shareholder or member (section 11 of this application form)</b> | <b>By a director (section 12 of this application form)</b> |
|--|--|--|
| a) Local authorities   | None   | None   |
| b) Bodies whose objects are wholly or mainly of a political nature, or which are affiliated to such a body   | None   | None   |
| c) Bodies whose objects are wholly or mainly of a religious nature   | None   | None   |
| d) An individual who is an officer of a body falling within (c) above  | None   | None   |
| e) A body corporate which is an associate (as defined in paragraphs 1(1) and 1(1a) of Part I of Schedule 2 to the Broadcasting Act 1990) or a body falling within (b) or (c) above | None   | None   |
| f) An advertising agency or an associate of an advertising agency  | None   | None   |
| g) Other broadcasting interests (including radio, television, satellite and cable broadcasting and allied activities).   | None   | None   |

**Other interests**

2. Give details of any other interest or activity of the applicant which is or could be incompatible with the requirements imposed by or under Schedule 2 to the Broadcasting Act 1990 (as modified by the Community Radio Order 2004) and Articles 6 and 7 of the Community Radio Order 2004. Please also give details of any other participant in the applicant whose interest is or could be incompatible with those requirements. (You may need to seek advice about these matters)

None

**Other matters**

3. In pursuance of its duties under Section 86(4) of the Broadcasting Act 1990 (as amended), Ofcom requires that the applicant should notify Ofcom of any matters which might influence Ofcom's judgement as to whether:
- (i) the applicant;
  - (ii) any director of the applicant;
  - (iii) any individual, or any director of a company, who will have an interest of 5 per cent or more in the

applicant;  
 may not be considered a 'fit and proper person' to participate in a radio licence. Such matters would include, for example, the following (whether they occurred in the UK or any other country): any unspent criminal conviction, any undischarged insolvency and/or bankruptcy orders, any disqualification from being a director of a limited company, and any adverse findings made by Ofcom (or its predecessor broadcast regulators), any other regulatory authority and/or any court or tribunal in respect of any regulatory matters.

**Answer:**

Do you confirm, to the best of your knowledge and belief, that:

4. The applicant is not a disqualified person in relation to the licence by virtue of the provisions of section 143 (5) of the Broadcasting Act 1996 (relating to political objects);
5. The applicant is not otherwise a disqualified person in relation to the licence by virtue of Part II of Schedule 2 to the Broadcasting Act 1990 or any other rule prohibiting its holding the licence;
6. No director, member or other person involved directly or indirectly in the management of the applicant group is the subject of a disqualification order as defined by section 145 (1) of the Broadcasting Act 1996;
7. No person (body corporate or individual) involved in the application has been convicted within the past five years of an unauthorised broadcasting offence and that the applicant will do all it can to ensure that no person (body corporate or individual) so convicted will be concerned in the provision of the service, the making of programmes included in it, or the operation of the radio station if the applicant is granted a licence; and
8. Any matters which might influence Ofcom's judgement as to whether the directors or members of the applicant group are fit and proper persons to participate in a radio licence have been made to Ofcom.

**Applicants should note that Ofcom reserves the right to revoke a licence if at any time any material statement made is found to be false and to have been made by the applicant or any member or officer thereof knowing it to be false, and that in the circumstances of section 144 of the Broadcasting Act 1996, the provision of false information or the withholding of relevant information with the intention of misleading Ofcom could incur a criminal conviction and a disqualification from the holding of a licence.**

✓ Please tick this box to confirm that the applicant agrees with the above statements.

Please tick the boxes below to indicate which additional documentation is included as part of this application. We are not willing to accept additional information with this application, other than that set out below:

- Memorandum & Articles of Association
- Certificate of Incorporation
- Application Payment (UK £ 600.00) non-returnable
- Photographs of the transmitter mast / building and aerial location.

**I hereby apply to Ofcom for the grant of a community radio licence and declare that the information given in this application and any additional documentation is, to the best of my knowledge and belief, correct.**

Peter Moore \_\_\_\_\_ *(Name of person)*

Chairman & Managing Director \_\_\_\_\_ *(Title or position in the applicant group)*

23rd October 2016 \_\_\_\_\_ *(Date)*