

Community Digital Sound Programme (C-DSP) licence

Application form – Part A (public)

Name of applicant (i.e. the body corporate that will hold the licence):

Radio Shields NE Community Interest Company

Proposed service name:

Radio Shields

Radio multiplex service(s) on which the proposed C-DSP service is to be provided (note this must be a small-scale multiplex area either previously advertised or <u>currently being advertised</u> by Ofcom as shown in the multiplex licence advertisement)

Tyneside Community Digital

Public contact details (i.e. Contact name and/or company name, company address, telephone number(s) and email):

Radio Shields,

59 Westoe RD

South Shields

NE33 4LU

TEL: 0191 435 6310 Email: admin@radioshields.co.uk

Publication date: 1 June 2021

Contents

Section	
1. Overview	3
2. Applicant's details	6
3. The proposed service	16
4. Compliance of the service	23
5. Declaration	28

1. Overview

You should complete this form if you are applying for a community digital sound programme licence ("C-DSP"). You can find further information about C-DSP services in the <u>guidance notes for licensees</u> and applicants.

This application form is divided into two parts – Part A (which we will publish on our website) and Part B (which will be kept confidential). This document constitutes Part A; Part B of the application form is available on our website.

If you encounter any issues using these forms, please contact broadcast.licensing@ofcom.org.uk.

The purpose of this form

- 1.1 You should complete this form if you are applying for a Community Digital Sound Programme (C-DSP) licence.
- 1.2 A digital sound programme service intended for broadcast by means of a local or small-scale radio multiplex service requires either a C-DSP licence or a local DSP licence. Ofcom's published guidance notes set out some of the key issues that potential applicants need to consider in deciding which type of licence is suitable for them. In summary, C-DSP services are not run for financial gain and are required to provide social gain. C-DSP licences therefore include strict conditions to ensure that happens, and provide less flexibility than a local DSP licence. However, they do provide access to capacity that small-scale radio multiplex service providers are required to reserve solely for C-DSP services.
- 1.3 A C-DSP licence will be required even if the same programme service is also provided on any other platforms (e.g. FM, satellite), as separate licences are required for those.
- 1.4 As noted above, small-scale radio multiplex services will have reserved capacity for C-DSP services. Issue of a C-DSP licence does not, however, guarantee carriage on a small-scale (or local) radio multiplex service. That is a matter for agreement between the C-DSP licensee and the multiplex service provider, and there may be more C-DSP licences issued in a locality than there are reserved slots on the small-scale radio multiplex service. Note that a C-DSP service does not necessarily have to broadcast using reserved capacity. It can use unreserved capacity on a small-scale radio multiplex service or capacity on a local radio multiplex service, again subject to agreement with the multiplex service provider.
- 1.5 An application for a C-DSP licence will be accepted only once Ofcom has advertised the licence for the small-scale radio multiplex service upon which the proposed C-DSP service is intended to be provided. There is no closing-date by which an application for a C-DSP licence must be submitted (i.e. it can be submitted at any time after the licence for the relevant small-scale radio multiplex licence has been advertised).
- 1.6 You can find further information about how to determine if a service requires a C-DSP licence in Section 2 of the guidance notes for applicants and licensees.

Provision of information

- 1.7 Ofcom requires complete and accurate information to assess applications. This is so that we can assess your application against statutory criteria, consider whether those involved in the body applying for a licence are 'fit and proper' to hold a licence, and determine whether their involvement with other organisations disqualifies them from participation in a licence.
- 1.8 It is an offence under the Broadcasting Act 1996 (as amended) to provide false information or withhold relevant information during the application process, and may be grounds for revocation of a licence subsequently granted.

Publication of information about applications and licensed services

- 1.9 Information provided in **Part A** of the application form will typically be published by Ofcom in our Monthly Radio Update publication the month following the submission of your application. This may take longer if the application is received late in the month. Information provided in **Part B** will not be published.
- 1.10 In submitting this application you agree that, should a licence be granted, Ofcom may publish contact details for the licensee (specified in Section 2 of Part B of the application form), which may include personal data, on the Ofcom website and/or in other relevant publications. If you have any questions about the information that we publish, or there are any changes to this information, you should contact the Broadcast Licensing team by email (broadcast.licensing@ofcom.org.uk).
- 1.11 Ofcom considers issued C-DSP licences to be public documents and copies of licences will be made available to third parties on request albeit, other than the Key Commitments which are tailored to the service, C-DSP licences are standard form documents. A brief description of the licensed service will be published on the Ofcom website, along with the Key Commitments which form part of the licence.
- 1.12 Ofcom publishes a <u>monthly radio licensing update</u> which lists new services licensed, new applications, licences revoked, licence transfers, and changes to licensed services during the past month.

Data protection

1.13 We require the information requested in this form in order to carry out our licensing duties under the Broadcasting Act 1990, Broadcasting Act 1996 and Communications Act 2003. Please see Ofcom's General Privacy Statement for further information about how Ofcom handles your personal information and your corresponding rights.

Keeping up to date with broadcasting matters

- 1.14 We strongly recommend that the appropriate person at the applicant body signs up to receive Ofcom's regular email updates on broadcasting matters including notification when the Broadcast and On Demand Bulletin is published.
- 1.15 To sign up to receive these communications, you must visit the email updates area of our website and select 'Broadcasting.'

2. Applicant's details

About this section

In this section we are asking you for details about the applicant company. This must be a body corporate which is not profit distributing.

In the first part of this section, we are asking for basic details about the applicant. These include company registration number and contact information.

In the second part of this section we are asking for details of the applicant's officers (directors or, in the case of LLPs, designated members), its shareholders and participants. Where applicable, we are also asking for details of the officers of the applicant's parent and associated companies or LLPs etc.

If any of the individuals named in your responses are known by more than one name/version of their name, all names must be provided.

Certain persons are disqualified from holding a C-DSP licence. This section asks the questions which enable us to consider this for those types of disqualification which apply specifically to bodies corporate. It also asks questions which are relevant to our assessment of the applicant's fitness and properness to hold a C-DSP licence.

Before completing this section of the form, you should read <u>Ofcom's guidance on the definition of 'control' of media companies</u>. Throughout this section, "control" has the meaning it is given in Part I of Schedule 2 of the Broadcasting Act 1990.

The response boxes and tables should be expanded or repeated where necessary, or provided in a separate annex.

'Officerships' in this section refers to: directorships of bodies corporate, designated memberships of LLPs, or membership of a governing body of an unincorporated association (including partnerships).

Applicant information and contact details

2.1 Name of applicant (i.e. the body corporate that will hold the licence):

Radio Shields NE Community Interest Company

2.2 Company registration number stated on Companies House:

12134708

2.3 For UK registered companies, the address of the applicant's registered office stated on Companies House.

For non-UK registered companies, the principal office address:

59 Westoe Road, South Shields, NE33 4LU

2.4 If a UK registered company, is the **current** Memorandum and Articles of Association document available on the Companies House website?

Yes

If no, please submit the up to date document and indicate you have done so in the checklist in Section 4 of Part B.

2.5 Contact details of the individual duly authorised by the applicant for the purposes of making this application. This individual should be the company secretary, a director or (if an LLP) designated member.

(If you are an agent completing the form on behalf of the applicant please do not enter your details here – see paragraph 2.25 of the <u>guidance notes</u>).

Full name	Kyle Scott
Job title	Director
Address	59 Westoe Road,
	South Shields
	NE33 4LU
Telephone	0191 435 6310
Mobile	07539226142
phone	
Email	kyle@radioshields.co.uk

2.6 If the proposed Licensed Service has/will have a website, please provide the website address below.

www.radioshields.co.uk

2.7 How will the service be financed? If the applicant is receiving, or is likely to receive, any form of funding and/or financial assistance to establish and maintain the service, please provide details of who is providing that funding/financial assistance and the extent of it.

If you are receiving funding from, or on behalf of, a source that could be considered a political organisation or a religious body, you must set out the nature of that organisation here.

Radio Shields has been broadcasting online since 2019 and since then the station has been surviving from volunteer membership subscriptions, grants and donations to our organisation to assist the core running costs and overheads. Recently Radio Shields has received local authority funding and other funding to support its activities on the South Shields and Tynemouth Small Scale DAB Service. The radio station also receives a small amount of advertising and sponsorship from local businesses across our transmission areas.

As discussed above these costs cover the main running costs of our Radio Station's building facilities based in South Shields town centre. We are in regular contact with South Tyneside Council local government and they sometimes book our services to broadcast live from local community events. In return the council pay for our services to cover core costs.

Ownership and control of the company which will hold the licence

Details of officers, participants and shareholders of the applicant

2.8 Complete the following table, expanding it if necessary, to provide the following details for each director or designated member of the applicant (i.e. the body corporate that will hold the licence):

Full name of individ- ual	Correspondence address ¹	Country of residence	Other officerships held (and nature of the business concerned)	Other employ- ment
Kyle Scott	59, Westoe Road South Shields NE33 4LU	UK	N/A	Social Worker/ Support Worker
Jade Rose Haley	59, Westoe Road	UK	N/A	Office and Finance Manager

 $^{^{\}rm 1}\,{\rm This}$ should be the same address as is held and published by Companies House.

	South Shields NE33 4LU			
Wayne	Westoe RD,	UK	N/A	Project Man-
Pyle	South Shields			ager
	NE33 4LU			

2.9 Complete the following table, expanding it if necessary, to list all bodies which hold or are beneficially entitled to shares, or who possess voting powers, amounting to more than 5% in the applicant ("participants"). If you are unable to provide a complete answer to this question in relation to beneficial owners, please state whether you have any reason to suspect the existence of any beneficial owners.

Full name of >5% participant (existing and proposed)	Number of shares	Total invest- ment (£s)	Total invest- ment (%)	% of voting rights
N/A				
Comments				

2.10 Complete the following table, expanding if necessary, to identify any entities with which the applicant is affiliated. By affiliated, we mean companies that are related through ownership, either with one company being a minority shareholder in the other, or through multiple companies being owned by a third party.

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of the entity	Address
N/A	

2.11 Complete the following table, expanding it if necessary, to list any bodies corporate which are controlled by the applicant, and their affiliates:

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of entity	Address	Affiliates
N/A		

Details of persons who control the applicant

2.12 Complete the following table, expanding it if necessary, to list all persons who control the applicant, together with their affiliates. If any persons or bodies control the applicant jointly because they act together in concert (e.g. because of a shareholder's agreement), each such person must be identified here:

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of individ- ual or body	Address	Affiliates
N/A		

2.13 Complete the following table, expanding it if necessary, to list all officerships in other bodies that are held by any individual listed in response to question 2.12, and any affiliates of those bodies. An "officership" refers to being a director of a body corporate, designated member of a limited liability partnership, or member of the governing body of an unincorporated association:

(If this question is not applicable to the applicant please respond "N/A" in the table)

Full name of individual	Name of body in which of- ficership held	Affiliates of that body
N/A		

2.14 Complete the following table, expanding it if necessary, to list all bodies corporate which are controlled by any body corporate listed in response to question 2.12, and their affiliates:

(If this question is not applicable to the applicant please respond "N/A" in the table)

Full name of body corpo-	Body corporate controlled	Affiliates of body corporate
rate listed in 2.11		controlled
N/A		

In relation to each body corporate identified in response to question 2.12, complete the following table, expanding it if necessary, to list all bodies which hold or are beneficially entitled to shares, or who possess voting powers, amounting to more than 5% in the body corporate concerned (i.e. "participants"). You may, but are not required to, exclude from this table any bodies listed in response to question 2.12. If you are unable to provide a complete answer to this question in relation to beneficial owners, please state whether you have any reason to suspect the existence of any beneficial owners.

(If this question is not applicable to the applicant please respond "N/A" in the table)

Name of body corporate				
identified in response to				
question 2.11				
Full name of >5% partici-	Number of	Total invest-	Total invest-	% of voting
pant	shares	ment (£s)	ment (%)	rights
N/A				
Comments				

Involvement of the applicant in specified activities

2.16 Please state below whether the applicant, or any of the directors, shareholders or other individuals named above, including their associates (i.e. directors of their associates and other group companies), is, or is involved in, any of the below, and the extent of that interest.

Activity/involvement	Yes or No	Please state who is involved; the name of the body/individual/agency they are involved with; and the extent of their involvement
A local authority	NO	
A body whose objects are wholly or mainly of a political	NO	

nature, or which is affiliated to such a body	NO	
A body whose objects are wholly or mainly of a religious nature; ²	NO	
An individual who is an officer of a body falling within (b) or (c);	NO	
A body corporate which is an associate (as defined in paragraphs 1(1) and 1(1A) of Part I of Schedule 2 to the Broadcasting Act 1990) of a body falling within (b) or (c);	NO	
An advertising agency or an associate of an advertising agency	NO	

Details of applications, licences and sanctions

2.17 Is the applicant (i.e. the body corporate that will hold the licence) a current licensee of Ofcom?

Yes

If yes, please provide the licence details expanding the table if necessary:

Licence number	Name of multiplex
CDP103979BA/1	MUX ONE LIMITED

2.18 Has the applicant (i.e. the body corporate that will hold the licence) held an Ofcom broadcasting licence before?

Yes

² Please refer to Sections 3 to 5 of <u>Ofcom's religious guidance note</u> for details on how we determine the eligibility of religious bodies to hold certain broadcasting licences.

If yes, please provide the details expanding the table if necessary:

Licence number	Name of service or multiplex
CDP103979BA/1	MUXONE LIMITED

2.19 Has anyone involved in the proposed service held an Ofcom broadcasting licence or been involved in an Ofcom-licensed broadcast service before?

Yes

If yes, please provide the details expanding the table if necessary:

Dates licence was held or dates of in- volvement	Licence number (if known)	Name of service or multiplex
18/08/2021	CDP103979BA/1	MUXONE LIMITED

2.20 Does the applicant (i.e. the body corporate that will hold the licence) control an existing Ofcom licensee?

Yes

If yes, please provide the licence details expanding the table if necessary:

Licence number	Name of service or multiplex
CDP103979BA/1	MUXONE LIMITED

2.21 Is the applicant (i.e. the body corporate that will hold the licence) controlled by an existing licensee or by any person who is connected (within the meaning of Schedule 2 to the Broadcasting Act 1990) with an existing licensee (i.e. as a "participant")?

Yes

If yes, please provide the following information, expanding the table if necessary:

Licence number	Name of service or multiplex
CDP103979BA/1	MUXONE LIMITED

2.22 Has the applicant – or any person(s) controlling the applicant - made any other application to Ofcom (or its predecessor broadcast regulators – the Independent Television Commission and the Radio Authority) for any licence which has since been surrendered by the licensee or revoked by Ofcom (or one of its predecessor regulators)?

No

If yes, please provide the following information, expanding the table if necessary:

Licence number	Name of service or multiplex

2.23 Is the applicant – or any person(s) controlling the applicant - subject to any current or pending investigation by any statutory regulatory or government body in the United Kingdom or abroad in respect of any broadcast-related matter?

No

If yes, please provide the following details expanding the table if necessary:

Licence number (or equivalent)	Name of service or multiplex	Details of the investigation

2.24 Has the applicant – or any person(s) controlling the applicant – ever been subject to a statutory sanction for contravening a condition of a broadcasting licence in the UK or any other jurisdiction?

No

If yes, please provide the following details relating to each sanction expanding the table if necessary:

C-DSP licence: Application form (Part A)

Licence number (or equivalent)	Name of service or multiplex	Nature of the breach	Sanction imposed	Date sanction imposed

2.25 Has the applicant – or any person(s) controlling the applicant – ever been convicted of an unlicensed broadcasting offence?

No

If yes, please provide the following details:

Full name	Date of conviction/action (dd/mm/yy)	Penalty

2.26 Please provide any further information you hold, relating to the past conduct of the applicant or those individuals listed, in regulatory matters or in matters going to honesty and/or compliance, which may be relevant to Ofcom's consideration of whether or not the applicant is fit and proper to hold a broadcast licence. If the applicant or the form signatory fails without reasonable excuse at this point to declare any matter of which Ofcom subsequently becomes aware, and which we do consider to be relevant to the applicant's eligibility to hold a licence, we will take it into account in determining the question of whether the applicant/licensee remains fit and proper to hold a licence.

If you have no information to provide, please respond "N/A".

3. The proposed service

About this section

This section asks you to describe your proposed service, including the Key Commitments you propose to include in your licence. This includes your service name, multiplex name and character of service, in addition to standard commitments that all C-DSP licensees need to abide by. Holders of an existing analogue community radio licence to be a simulcast on the proposed C-DSP service can replicate the existing analogue key commitments as it is our expectation that the key commitments for simulcast services are to be in keeping with one another. If a licence is granted, the information you provide in this section will be used to form the basis of the annex to your licence. You will only be authorised to broadcast what is detailed in the annex of the licence.

In this section, you will also need to set out how your service will provide social gain, community participation and how you will be accountable to the target community. This is in line with statutory requirements for the granting of C-DSP licences. **The information provided in this section is also the basis on which decisions are made.**

If you hold, or intend to hold, multiple C-DSP licences, the answers given in this section and the intended delivery of your Key Commitments must apply to the locality in which your proposed service will broadcast (as set out in the Draft Key Commitments in this application form).

Your proposed service and target community

3.1 What is the proposed service name?

Radio Shields

3.2 On which radio multiplex service do you intend to broadcast? If the relevant radio multiplex licence has not yet been awarded, please state the name of the area that the multiplex service is intended to cover, as defined in the multiplex licence advertisement.

Tyneside Community Digital

3.3 Where is your proposed studio located? Please note that this must be located within the coverage area of the small-scale radio multiplex service identified in answer to 5.2 (or the advertised area for a small-scale radio multiplex service that has not yet been awarded).³

³ If you propose to provide your service on a local, rather than a small-scale, radio multiplex service, there is no requirement for your studio to be located within the licensed area of that local radio multiplex service.

Radio Shields			
59, Westoe RD			
South Shields			
NE33 4LU			

3.4 If the proposed service is a simulcast or corresponding service of an existing licensed radio service, please list that below and provide the licence number.

CDP103979BA/1

3.5 What is the target community of the service? Please include the geographical area that you wish to serve in addition to the interests or characteristics that define your target community. The area you wish to serve must be geographically located within the coverage area of the radio multiplex service on which you intend to broadcast. **Answer in fewer than 400 words**.

Our vision for a cross platform community media service draws on North Tyneside, South Tyneside's and parts of Newcastle and Gateshead's history and culture of creativity and innovation. In music, art, design and manufacturing South Shields, North Shields parts of Newcastle and Gateshead's authentic stories to tell of a rich and fascinating local heritage, a dynamic current environment full of talent and ambition, and exciting prospects for future development.

Our goal is to establish an inclusive and sustainable local media service that shows what is vibrant and distinctive about South Tyneside, that meets the public interest in local news and information, and that offers a platform for local opinion and creative expression. Radio Shields NE will inform, educate and entertain. To these core purposes of public service broadcasting we will add opportunities to participate - "Made in Shields - Made by You" - providing public access to facilities, programme maker support, professional training and mentoring.

Broadcasting on DAB to Newcastle and Gateshead will complement our existing offer and help us reach more people within our community not covered by our existing CDSP licence for Tynemouth and South Shields.

3.6 How will you ensure that your proposed C-DSP service is run on a not-for-profit basis? Please give details of specific measures or arrangements in place to ensure this, and how any profit will be wholly and exclusively used for securing or improving the future provision of the service, or for the delivery of social gain. **Answer in fewer than 400 words.**

No-one connected to Radio Shields receives a wage or expenses. All money received, whether by monthly subs or advertising rates, is strictly controlled and only used for purposes that would benefit the station i.e. equipment upgrades or payment of any service bills, running costs; rent and licences required to continue broadcasting.

Any payments out have to be signed by two authorised people and the money can't just be dipped into by unauthorised people. Any surplus generated will be invested in the station.

As set out in our business plan Radio Shields will apply for funding for support staff who would be employed as a community support worker to meet our companies aims and objectives.

Social gain

3.7 What community benefits will your service bring to your target community(ies) and, if applicable, the general public. Please include summaries of evidence to support your answer, including details about other organisations you intend to work with. **Answer in fewer than**500 words. Please do not provide names of individuals in your answer.

Our organisation provides a platform for local groups and societies to promote their events and organisations through us both on air, our website and on social media. We are also keen to get involved with community events and providing entertainment; Radio Shields specialises in its local and unique talent across South Shields, Tynemouth and already we have programmes that dedicate airtime for each musician, poet or whatever their talent maybe to be heard. We are located in the heart of the town centre therefore we are happy to support local businesses and the local authorities getting their message across to our listeners.

Our focus on the Newcastle and Gateshead multiplex will be to emulate and build on the success we've had on the South Shields and Tynemouth Multiplex and reach even more people in areas our current coverage doesn't reach. We will also be able to expand our deliverance in local news and information reaching to parts of Hebburn, Jarrow and Eastern parts of Newcastle which our current transmitter doesn't reach.

3.8 Please summarise how your service will facilitate discussion and the expression of opinion.

Answer in fewer than 200 words.

All of our shows are presented in a non-biased way and offer listeners various ways to interact and get involved in discussions & shows. We also have regular studio guests from the community who get the chance to promote their own services on air no matter what they are. We currently have facilities where the presenters engage with their listeners whether it be over the phone, social media or email. All presenters will adhere to Ofcom policy and remain non-biased throughout any discussions on live radio.

3.9 How will you ensure that members of your target community(ies) can gain access to the facilities used to provide your service, and receive training in using these? In particular, please set out how this will be done practically, formally and/or informally. **Answer in fewer than 400 words**.

Our volunteers come from a large variety of backgrounds, with no specific entry requirements regarding education or experience. We particularly welcome applications from marginalised groups, including (but not limited to):

- Members of ethnic minorities.
- Non-English speakers.
- Economically marginalised people.
- People marginalised by sexuality or identity.
- People with alternative lifestyles.
- People with disabilities.
- Those whose interests and hobbies are not represented on non BBC local and national radio.
- Those whose musical tastes are not represented on non BBC local and national radio.

All volunteers must undergo a formal application process, which includes:

- Completion of an application form,
- An interview with members of the management team
- References from at least two previous employers
- A DBS check to ensure we are recruiting suitable people to work with us and in the community to which we serve

We hold a recruitment campaign twice a year but accept applications through the year. Current roles include:

- Station Manager
- Programme Manager
- Training Manager
- Station Engineer
- Presenters
- Producers
- What's On Guide Producer
- Press Office
- Social Media Officers
- IT Coordinator
- Administrators
- Publicity Assistants

The station's training programme varies for each individual member, depending on their personal capabilities and commitment. However, there are several core modules which all volunteers must undertake.

These include:

- Induction
- Station Rules and Procedures For on-air and editorial volunteers:
- OFCOM and ASA regulations
- Law for Broadcasters
- Presenting skills
- Production skills
- Computer playout system
- Technical training
- Station rules and procedures

The training programmes are delivered by the management team and a small team of core volunteers who have significant experience in community, commercial and BBC radio. Following the completion of their training, each presenter and producer will have an understanding of their responsibility as a broadcaster. The Programme Manager will monitor the output of all material broadcast to ensure that standards are maintained and will take the appropriate training or disciplinary action if required.

3.10 How will your service provide better understanding of your target community and the strengthening of links within it? **Answer in fewer than 200 words**.

We want to take part in as many community activities whether it be working with the local authorities, businesses or charities our slogan is "what's important to you, is important to us" so no matter how big or small an event is we want to know about it, as it's important to us as a pillar of the local community.

Any local business who is looking to launch, can contact us for promotional packages and we have the equipment and trained presenters available to provide live promotion on site with music. We can also offer tailored packages for example we have recently launched a package where a local business has their own advert, logo on our website and social media. By doing this we support local businesses as well as grow our own audience in the community.

3.11 Please summarise the relevant experience of the group or its members in activities related to the provision of social gain or other relevant non-broadcast areas (such as third sector, training or education). **Answer in fewer than 200 words**.

We have members on our board of directors and trustees board whose profession is to coach and train and already working with the community. They are comfortable in analysing linguistic and practical techniques in order to enhance the customer/listener's experience with us.

Participation

3.12 How do you propose to ensure that members of your target community(ies) are given opportunities to participate in the operation and management of the service? **Answer in fewer than 400 words**.

The station is provided to the local community and is entirely reliant on volunteers to operate. The station broadcasts 24 hours a day, 7 days a week.

The core aims are:

- Be a source of entertainment and information for the communities in both South and North Tyneside, and Newcastle and Gateshead.
- Provide a voice for local individuals, charities, organisations and good causes
- Give a platform to local performers and artists
- Provide volunteering opportunities which encourage workplace and life skills for diverse communities across the area

We value equality, diversity and inclusion, encouraging people from all backgrounds to get involved with the station. We are developing links with local colleges and schools to involve young people in the station.

We provide high quality, cost free education projects and training, focusing on creative media production as well as key social and employment skills to the local community.

The station offers radio training as a route to gaining confidence and improving communications skills for the younger unemployed people locally across South and North Tyneside, and the eastern parts of Newcastle and Gateshead. We welcome those considered as disenfranchised to inspire them to discover and maximise their abilities and and increase their self confidence.

We intend to undertake outside broadcasts across the transmission area which will highlight our commitment to the areas our current transmitter doesn't reach such as Jarrow, Hebburn and the eastern parts of Newcastle and Gateshead and showcase the fact that we are run by, and require, volunteers. Some of these broadcasts will take place from charities and local good causes, giving them a voice and a platform to a greater audience.

The service is provided to the community and is reliant on volunteers to support the organisation and provide its broadcast services. Volunteering will continue to be open to all individuals from across South Shields and Tynemouth and eastern parts of Newcastle and Gateshead Subject to satisfactory completion of standard volunteer recruitment checks, induction processes and appropriate training to meet their role.

A large variety of roles will be open to members of the target community (and others), including onair presentation, production, programme scheduling, journalism, fundraising, engineering and technical, administration, finance, and promotion.

Radio Shields already benefits from the experience and knowledge of our existing 30+ volunteers and we expect that this number will increase should a Newcastle and Gateshead CDSP radio licence be granted. There will also be opportunities to be part of the management team of the station for volunteers with the relevant skills that match with the job descriptions of the management roles.

The station also intends to actively encourage other organisations to get involved in its programming, whether as volunteers for the station or simply as guests on programmes.

We intend to promote getting involved with us via a number of platforms such as our website www.radioshields.co.uk and through social media channels such as; Facebook, Twitter and Instagram. Volunteer roles provide opportunities for members to develop technical skills and experience, build confidence and improve interpersonal skills, enhance skills in management, customer services and communication. We will also run regular volunteer with us adverts on-air as well as working with local volunteering organisation Inspire South Tyneside https://inspiresouthtyneside.co.uk which will work alongside our recruitment of staff and volunteers.

Our station already works alongside The Customs House and The Exchange which offers arts and entertainment for all, serving the residents of South Tyneside and beyond with a varied programme of live performance, cinema, visual arts and projects for children and young people. We aim to facilitate interested youth groups to attend an 'Open Session' where they can get to see how our station works and become a presenter on a show. Similarly, as we develop our relationships with 'Hard to Reach' groups we will offer the opportunity to be involved in the creation of programmes specific to each group, particularly as they will have expert knowledge related to their individual position within the community.

Accountability

3.13 How will members of your target community contact your service and influence its operation? **Answer in fewer than 300 words**.

We are available for contact pretty much 24/7 via our studio phone lines/text or on our social media platforms such as Facebook, Instagram and Twitter. We also have a messaging service and ways to interact on our website.

Members of the public and the local community in South Shields, Tynemouth and eastern parts of Newcastle and Gateshead and beyond will be invited to give feedback at an open evening once a year. The open evening will consist of all the upcoming and future plans of the station as we develop. We will also send out surveys into the community asking them to provide feedback on our service.

This may be done physically or online, the website or via social media.

3.14 How will suggestions and/or criticisms from members of your target community(ies) be considered and acted upon? **Answer in fewer than 300 words**.

All comments are gratefully received no matter what they are as the enjoyment of the listeners is why we do what we do.

Any complaints will be discussed by the management team, directors and trustees and relevant steps taken to solve any issues arising as soon as possible.

All comments made by our target audience will be discussed at our recorded monthly meetings and we shall post the outcome of the discussed point on our social media page

C-DSP licence: Application form (Part A)

Draft Key Commitments

Below is an example of the licence annex where the Key Commitments appear. Should a licence be awarded, the entries you provide below will form the Key Commitments section of your licence. Holders of a community radio analogue licence that is to be simulcast, or a corresponding service, are expected to provide key commitments that are in line with their existing service(s). As such, applicants may refer to the existing key commitments of the relevant simulcast or corresponding service(s) to ensure that the draft below is in line with those of the existing service(s).

Please provide entries where specified in **BOLD** below. The information you enter here should reflect your answers to Sections 3 and 4 of the Part A of your completed Application Form. This will form the basis of your Key Commitments alongside the mandatory text in italics. Do not amend the text in italics as every service is required to comply with these requirements, but the details of how each service does so do not need to be included in the Key Commitments.

ANNEX TO LICENCE

LICENSED SERVICE NO tbc

Licensed Service	Service Description	Transmission Sched-	Multiplex
		ule	
Radio Shields	Radio Shields serves the residents and workers of South Shields, Tynemouth (the "target community") and East of Newcastle and Gateshead by broadcasting local community news, engaging with the community and playing a wide range of music to suit all members of the community The studio of the Licensed Service is located within the coverage area of the Small-Scale Radio Multiplex Service identified above (n.b. the Licensee will not be in breach of this requirement if an existing studio ceases to fall within the coverage area merely as a result of technical changes to the Small-Scale Radio Multiplex Service outside the control of the Licensee). The Licensed Service shall have the characteristics of a Community Digital Sound Programme Service as set out in the 2019 Order and, in so doing, shall achieve the following objectives: • the facilitation of discussion and the expression of opinion, • the provision (whether by means of programmes included in the service or otherwise) of education or training to individuals not employed by the person providing the service, and • the better understanding of the particular community and the strengthening of links within it. Members of the target community shall contribute to the operation and management of the service. The service shall have mechanisms in place to ensure it is accountable to its target community in the specific area or locality.		<tyneside com-<br="">MUNITY DIGITAL): Small Scale DAB for Newcastle and Gateshead</tyneside>

4. Compliance of the service

About this section

This section asks you to describe the compliance arrangements for the proposed licensed service, i.e. the arrangements which the applicant will put in place to ensure that the content it proposes to broadcast will comply with the relevant regulatory codes and rules for programming and advertising. These include:

- The Ofcom Broadcasting Code
- The BCAP Code: the UK Code of Broadcast Advertising
- The Phone-paid Services Authority Code of Practice

Condition 17 of a C-DSP licence requires that you have compliance procedures in place, and this section asks that you demonstrate your ability to meet this licence condition.

Before completing this section of the form you should read Section 4 of the <u>C-DSP guidance notes</u>, where you will also find links to the codes and rules listed above.

4.1 Please give details of all compliance training (including dates) the person named in response to either question 2.3 or 2.5 in Part B (i.e. the individual who holds overall responsibility for compliance of the service) has received in the relevant codes and rules (for example, those referred to in the box at the start of this section).

Kyle Scott, Jade Haley and Wayne Pyle directors of Radio Shields NE CIC will have access to these documents and will impart any information to our members about the content. Kyle has over 16 years of broadcasting experiences and understands Ofcom's broadcast code and key commitments from previous community radio station involvement. Individuals wishing to participate with us will need to understanding Ofcom's broadcast codes and key commitments this will be made visible to all volunteers participating within the station.

Co-Directors and future managers will be trained on the relevant points of these documents also. Kyle is trained in media studies as well as other members of the trustees team who will assist the organisation in these areas.

4.2 Please give details of any practical compliance experience (including dates) the person named in response to either question 2.3 or 2.5 in Part B (i.e the individual who holds overall responsibility for compliance of the service) has with respect to the relevant codes and rules.

The Station Manager and Chairman founded the station in 2019. He is the designated Relevant Person. He has 14 years of experience in a variety of roles gained at community radio stations across the North East. In that time he has developed a thorough knowledge of OFCOM regulations, law as it applies to broadcasters and the day to day management of a community radio station. The Relevant Person has assembled a core team of experienced volunteers who assist him with the strategic and day to day management of the radio station. Their specific expertise is in radio programming, media law, OFCOM regulations and technical support.

He is experienced in training and managing teams of volunteers, giving particular attention to compliance and legal issues as they relate to broadcasting. He also has a wide technical knowledge and is able to maintain and update the broadcast and IT systems. The chairman has media qualifications where he gained the knowledge and experience to enable him to run a community radio station. This was completed in 2012 and is relevant to the newly CDSP license. Even though Radio Shields is not currently an Ofcom licenced station, our training incorporates their core principles.

These include:

- Ofcom regulations and how they affect our station
- Retention of recordings broadcast for 42 days
- Programme content specifically offensive language, religion, taste and decency etc.
- Annual licence fees (PRS & PPL)
- Complaint handling
- Advertising and Promotion
- Election rules for broadcasters
- Obituary and major story procedures

4.3 For each role within your compliance team please provide job title and a brief description of the functions of the role specific to ensuring compliance of the proposed service. Please do not give names of individual members of staff – this question relates to job roles rather than currently employed individuals.

Three named Directors

These individuals are the named directors for the company of Radio Shields which is listed on Companies House. They perform the statutory roles of a company director.

Social Media Manager

A social media manager will manage our online presence by developing a strategy, producing good content, analysing usage data, facilitating customer service and managing projects and campaigns. This covers Facebook, Twitter and Instagram.

Programme Manager

The programme manager will plan and develop the schedule of programmes for the station in conjunction with the Station Manager. They will ensure that station output complies with the policy guidelines agreed with this our community radio policies and are in line with the relevant Ofcom Broadcasting code of conduct

Music Manager

The music manager will work alongside the programme manager and will ensure that all audio is vetted before it plays on the station.

Producers

Producers work with the presenter to research for content and ensure that we engage with our local community and make sure that shows are engaging with the listeners.

Finance Manager

The finance manager will work alongside the stations directors to make sure that the finances are correctly managed.

4.4 How does the applicant intend to formally train staff in compliance procedures? Please include details of the compliance training that will be given to those responsible for live programming, including compliance staff, presenters and producers.

All volunteers must undergo a formal application process, which includes:

- Completion of an application form
- An interview
- References from at least two previous employers
- A DBS check to ensure we are recruiting suitable people to work with us and in the community to which we serve

The management team identify training needs bespoke to each volunteer, as they are drawn from a wide range of backgrounds and communities.

However, there is a core training programme which all volunteers must undertake before being able to broadcast or create content for our social media channels.

They must read and agree to the station handbook which contains compliance information as it relates to broadcasting. This has been written by the Station Manager and draws upon his wealth of experience in the community radio sector.

This document is covered during training for new members and it is available to all presenters via the presenter's portal on the station website and is always in the studio.

The Broadcast Code is also covered during training and a link to the Ofcom website is available at the station's presenters portal on the station's website.

All volunteers will be provided with induction training and all the necessary training for the relevant roles within Radio Shields.

This training will be led by the management team and some of the station's more experienced volunteers.

Any roles that are related to on-air work, volunteers will be trained in: our studio equipment, general presentation and interviewing skills and mentored by an experienced broadcast journalist.

The timescale of training depends on each individual volunteer and their outside commitments, but we expect most new volunteers to complete their training within 3 - 4 weeks.

There is on-going monitoring of shows plus on-going training in-house with a minimum of 2 presenters refresher sessions each year.

We also have several training resources available to download that any of our presenters can download from the presenter's portal on the station's website.

For programmes and features produced by outside sources e.g. syndicated shows, the programmes will come from presenters who are fully aware of their responsibilities and the shows will be monitored to make sure of compliance.

4.5	Will the training described in response to question 4.5 be mandatory for all staff and volun-
	teers? If not, outline who will receive it.

Yes

- 4.6 It is a licence requirement that a licensee must ensure that all programming on its service (broadcast at any time of the day or night) complies with Ofcom's codes and rules (e.g. Ofcom's Broadcasting Code, which sets requirements on standards to be observed in programme content for the protection of the public).
 - a) Set out in detail below the systems the applicant intends to have in place to ensure it will be able to comply with the codes and rules when the service is broadcasting live content. Your response should include details of what you will do to prepare presenters and guests pre-broadcast and the process for ensuring that any non-complaint content is dealt with swiftly during the broadcast.

The programme manager is responsible for ensuring that programming commitments are being kept to and will keep ongoing records of what the station has done to fulfil its commitments.

The station keeps all show / programme log sheets in either computer and / or paper copies are kept for archive purposes.

All our audio is logged onto our systems and is retained for achieved purposes.

The management team is fully briefed on the content of Ofcom's broadcasting code and it is a core part of the training which all volunteers much complete before producing content for the radio station or the social media channels.

b) Set out in detail below how the applicant intends to ensure that pre-recorded material will comply with Ofcom's codes and rules. Pre-recorded content could include, for example, material obtained from, or streamed from, third party sources as well as content produced by the licensee.

Radio Shields will be making sure that all automated and pre-recorded shows and upcoming presenters will be properly vetted as we will request a sample show to be sent to us first and we can either accept or decline the show if it does not meet Ofcom standards.

We have vetted our current shows and all presenters are experienced in their field and understand the Ofcom policy.

Presenters will be listening in to each other's shows in order to prevent any breach of policy and any music that is found to be unsuitable for broadcasting will be highlighted by the presenter and will be removed immediately. There will be a vetting process of the music on the system to try and prevent this from happening, this is why we now have a dedicated volunteer who ensures our music is correctly vetted

4.7 Please set out how you will ensure ongoing compliance with your Key Commitments that relate to what you will broadcast on the station, including how you will monitor that these are being delivered e.g. who will be responsible for monitoring this, how often will they monitor it, how you ensure this information is published.

The responsible person, company directors and experienced members of the team will ensure that compliance is met within our key commitments and will meet as a management team at least once a month to discuss how the community radio station is doing, and what it can do to better the station, and volunteers within it.

Our Programme Manager is responsible for ensuring that programming commitments are being kept to and will ensure that all output from the station is recorded and kept for a period of 42 days from the day of broadcast.

The station Manager and Program Manager have regular programme meetings and monitor the stations output and keep ongoing records of what the station has done to fulfil its commitments.

We keep records of our interviews that we have broadcast and the files are logged for many of these programmes and then retained for archive purposes.

Community interviews will also be published on our website and be retained as part of the website archive to demonstrate how we have fulfilled our commitment

4.8 Please set out how you will ensure ongoing compliance with your Key Commitments that relate to the station's off-air social gain activities, including how you will monitor that these are being delivered e.g. who will be responsible for monitoring this, how often will they monitor it, how you ensure this information is published.

All presenters will be briefed on the procedures and ongoing training will be provided as well as presenters monitored on all levels.

We will also hold regular presenter training and quality control measures.

We will also have regular station meeting where all presenters will be kept up to date on all of the findings.

C-DSP licence: Application form (Part A)

4.9

	Our output is 100% English speaking; however, we live in a very diverse area and will welcome individuals who's first language may not be English.
4.10	For each language listed in response to question 4.9 please provide details of how many compliance team member(s) are fluent in each language and will be responsible for ensuring that content broadcast in that language complies with the Ofcom's code and rules. Please do not give names of individual members of staff.
	N/A

What language(s) does the applicant intend to broadcast in?

5. Declaration

About this section

This form must be submitted by the applicant named in response to question 2.2. An agent may not sign the form.

The person authorised to make the declaration on behalf of the applicant must print their name and must be one of the following:

- A director of the company or the company secretary where the applicant is a company.
- A designated member where the applicant is a Limited Liability Partnership.

The declaration must also be dated.

- 5.1 I hereby apply to Ofcom for the grant of a licence for the community digital sound programme service described above and declare that the information given in this application form is, to the best of my knowledge and belief, correct.
- 5.2 I further declare and warrant:
 - a) that I am not a disqualified person within the meaning of that expression as defined in Part II of Schedule 2 to the Broadcasting Act 1990, as amended, or as a result of a disqualification order under Section 145 of the Broadcasting Act 1996;
 - b) that having made all reasonable enquiries neither the applicant nor any person controlling the applicant, as a result of the grant to me of the licence, breach any requirement of Schedule 14 to the Communications Act 2003 with regard to the accumulation of interests in broadcasting services or to the restrictions on cross-media interests; and
 - c) that the applicant is not disqualified by virtue of the provisions of section 143 (5) of the Broadcasting Act 1996 in relation to political objects and the provisions of section 144 (3) of the Broadcasting Act 1996 in relation to the provision of false information or through the withholding of information with the intention of misleading Ofcom; and
 - d) that no director or person concerned directly or indirectly in the management of the applicant is subject to a disqualification order as defined by section 145 (1) of the Broadcasting Act 1996.
- I understand that Ofcom reserves the right to revoke the licence (if granted) if at any time any material statement made to Ofcom is found to be false and to have been by the applicant or any member or officer thereof knowing it to be false. I also understand that under sections 144 and 145 of the Broadcasting Act 1996, the provision of false information could incur a criminal conviction and a disqualification from the holding of a Broadcasting Act licence. I further certify that, to the best of my knowledge, any matters which might influ-

C-DSP licence: Application form (Part A)

ence Ofcom's judgement as to whether the directors and any other individuals and/or bodies corporate with substantial involvement in this application are fit and proper persons to participate in a radio licence, have been made known to Ofcom.

Full name (BLOCK CAPITALS) of the applicant or person authorised to make the application of behalf of the applicant:

KYLE SCOTT

Date of application:

6th August 2022

I am authorised to make this application on behalf of the applicant in my capacity as Company Director

Company secretary / company director / designated member (in the case of a Limited Liability Partnership)

You also need to complete the <u>confidential section (Part B) of the application</u> form