

Community Digital Sound Programme (C-DSP) licence

Application form – Part A (public)
Name of applicant (i.e. the body corporate that will hold the licence):
Forth Valley Broadcasting Service
Proposed service name:
Radio Royal
Radio multiplex service(s) on which the proposed C-DSP service is to be provided (note this must be a small-scale multiplex area either previously advertised or <u>currently being advertised</u> by Ofcom as shown in the multiplex licence advertisement)
Falkirk & Stirling

Public contact details (i.e. Contact name and/or company name, company address, telephone number(s) and email):

Publication date: 1 June 2021

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1. Overview

You should complete this form if you are applying for a community digital sound programme licence ("C-DSP"). You can find further information about C-DSP services in the <u>guidance notes for licensees</u> and <u>applicants</u>.

This application form is divided into two parts – Part A (which we will publish on our website) and Part B (which will be kept confidential). This document constitutes Part A; Part B of the application form is available on our website.

If you encounter any issues using these forms, please contact broadcast.licensing@ofcom.org.uk.

The purpose of this form

- 1.1 You should complete this form if you are applying for a Community Digital Sound Programme (C-DSP) licence.
- 1.2 A digital sound programme service intended for broadcast by means of a local or small-scale radio multiplex service requires either a C-DSP licence or a local DSP licence. Ofcom's published guidance notes set out some of the key issues that potential applicants need to consider in deciding which type of licence is suitable for them. In summary, C-DSP services are not run for financial gain and are required to provide social gain. C-DSP licences therefore include strict conditions to ensure that happens, and provide less flexibility than a local DSP licence. However, they do provide access to capacity that small-scale radio multiplex service providers are required to reserve solely for C-DSP services.
- 1.3 A C-DSP licence will be required even if the same programme service is also provided on any other platforms (e.g. FM, satellite), as separate licences are required for those.
- 1.4 As noted above, small-scale radio multiplex services will have reserved capacity for C-DSP services. Issue of a C-DSP licence does not, however, guarantee carriage on a small-scale (or local) radio multiplex service. That is a matter for agreement between the C-DSP licensee and the multiplex service provider, and there may be more C-DSP licences issued in a locality than there are reserved slots on the small-scale radio multiplex service. Note that a C-DSP service does not necessarily have to broadcast using reserved capacity. It can use unreserved capacity on a small-scale radio multiplex service or capacity on a local radio multiplex service, again subject to agreement with the multiplex service provider.
- 1.5 An application for a C-DSP licence will be accepted only once Ofcom has advertised the licence for the small-scale radio multiplex service upon which the proposed C-DSP service is intended to be provided. There is no closing-date by which an application for a C-DSP licence must be submitted (i.e. it can be submitted at any time after the licence for the relevant small-scale radio multiplex licence has been advertised).
- 1.6 You can find further information about how to determine if a service requires a C-DSP licence in Section 2 of the guidance notes for applicants and licensees.

Provision of information

- 1.7 Ofcom requires complete and accurate information to assess applications. This is so that we can assess your application against statutory criteria, consider whether those involved in the body applying for a licence are 'fit and proper' to hold a licence, and determine whether their involvement with other organisations disqualifies them from participation in a licence.
- 1.8 It is an offence under the Broadcasting Act 1996 (as amended) to provide false information or withhold relevant information during the application process, and may be grounds for revocation of a licence subsequently granted.

Publication of information about applications and licensed services

- 1.9 Information provided in **Part A** of the application form will typically be published by Ofcom in our Monthly Radio Update publication the month following the submission of your application. This may take longer if the application is received late in the month. Information provided in **Part B** will not be published.
- 1.10 In submitting this application you agree that, should a licence be granted, Ofcom may publish contact details for the licensee (specified in Section 2 of Part B of the application form), which may include personal data, on the Ofcom website and/or in other relevant publications. If you have any questions about the information that we publish, or there are any changes to this information, you should contact the Broadcast Licensing team by email (broadcast.licensing@ofcom.org.uk).
- 1.11 Ofcom considers issued C-DSP licences to be public documents and copies of licences will be made available to third parties on request albeit, other than the Key Commitments which are tailored to the service, C-DSP licences are standard form documents. A brief description of the licensed service will be published on the Ofcom website, along with the Key Commitments which form part of the licence.
- 1.12 Ofcom publishes a <u>monthly radio licensing update</u> which lists new services licensed, new applications, licences revoked, licence transfers, and changes to licensed services during the past month.

Data protection

1.13 We require the information requested in this form in order to carry out our licensing duties under the Broadcasting Act 1990, Broadcasting Act 1996 and Communications Act 2003. Please see Ofcom's General Privacy Statement for further information about how Ofcom handles your personal information and your corresponding rights.

Keeping up to date with broadcasting matters

- 1.14 We strongly recommend that the appropriate person at the applicant body signs up to receive Ofcom's regular email updates on broadcasting matters including notification when the Broadcast and On Demand Bulletin is published.
- 1.15 To sign up to receive these communications, you must visit the email updates area of our website and select 'Broadcasting.'

2. Applicant's details

About this section

In this section we are asking you for details about the applicant company. This must be a body corporate which is not profit distributing.

In the first part of this section, we are asking for basic details about the applicant. These include company registration number and contact information.

In the second part of this section we are asking for details of the applicant's officers (directors or, in the case of LLPs, designated members), its shareholders and participants. Where applicable, we are also asking for details of the officers of the applicant's parent and associated companies or LLPs etc.

If any of the individuals named in your responses are known by more than one name/version of their name, all names must be provided.

Certain persons are disqualified from holding a C-DSP licence. This section asks the questions which enable us to consider this for those types of disqualification which apply specifically to bodies corporate. It also asks questions which are relevant to our assessment of the applicant's fitness and properness to hold a C-DSP licence.

Before completing this section of the form, you should read <u>Ofcom's guidance on the definition of 'control' of media companies</u>. Throughout this section, "control" has the meaning it is given in Part I of Schedule 2 of the Broadcasting Act 1990.

The response boxes and tables should be expanded or repeated where necessary, or provided in a separate annex.

'Officerships' in this section refers to: directorships of bodies corporate, designated memberships of LLPs, or membership of a governing body of an unincorporated association (including partnerships).

Applicant information and contact details

2.1 Name of applicant (i.e. the body corporate that will hold the licence):

Forth Valley Broadcasting Service

2.2 Company registration number stated on Companies House:

CS002827 (Registered with Office of the Scottish Charity Regulator as Scottish Charitable Incorporated Organisation SC047488)

2.3 For UK registered companies, the address of the applicant's registered office stated on Companies House.

For non-UK registered companies, the principal office address:

Forth Valley Royal Hospital, Stirling Road, Larbert, FK5 4WR

2.4 If a UK registered company, is the **current** Memorandum and Articles of Association document available on the Companies House website?

No – Copy of charity constitution attached to application

2.5 Contact details of the individual duly authorised by the applicant for the purposes of making this application. This individual should be the company secretary, a director or (if an LLP) designated member.

(If you are an agent completing the form on behalf of the applicant please do not enter your details here – see paragraph 2.25 of the guidance notes).

Full name	Craig Arthur
Job title	Engineering Manager
Address	Forth Valley Royal Hospital, Stirling Road, Larbert, FK5 4WR
Telephone	
Mobile phone	07974 307513
Email	craig.arthur@radioroyal.org

2.6 If the proposed Licensed Service has/will have a website, please provide the website address below.

www.radioroyal.org

2.7 How will the service be financed? If the applicant is receiving, or is likely to receive, any form of funding and/or financial assistance to establish and maintain the service, please provide details of who is providing that funding/financial assistance and the extent of it.

If you are receiving funding from, or on behalf of, a source that could be considered a political organisation or a religious body, you must set out the nature of that organisation here.

Space for our studio facilities is provided for by NHS Forth Valley.

NHS Forth Valley Endowments contribute to some of our running costs.

Other costs are paid by fundraising, membership income and advertising and sponsorships.

Ownership and control of the company which will hold the licence

Details of officers, participants and shareholders of the applicant

2.8 Complete the following table, expanding it if necessary, to provide the following details for each director or designated member of the applicant (i.e. the body corporate that will hold the licence):

Full name	Correspondence	Country of	Other officerships held	Other employ-
of individ-	address ¹	residence	(and nature of the	ment
ual			business concerned)	
Andrew	Radio Royal,	UK		Retired
Morley	Forth Valley			
	Royal Hospital,			
	Larbert FK5			
	4WR			
Simon	Radio Royal,	UK		Retired
Marshall	Forth Valley			
	Royal Hospital,			
	Larbert			
	FK5 4WR			
Jimmy	Radio Royal,	UK		Retired
McNiven	Forth Valley			
	Royal Hospital,			
	Larbert			
	FK5 4WR			
Sarah Reid	Radio Royal,	UK		Student
	Forth Valley			

 $^{^{\}rm 1}\,{\rm This}$ should be the same address as is held and published by Companies House.

	Royal Hospital, Larbert FK5 4WR			
Craig Ar- thur	Radio Royal, Forth Valley Royal Hospital, Larbert FK5 4WR	UK		Broadcast Systems & IT Engineer Aspen Marketing Itd
Craig Ryan	Radio Royal, Forth Valley Royal Hospital, Larbert FK5 4WR	UK	Director My Network Point Ltd IT Integrators	Senior IT Sys- tems & Pro- jects Engineer Church of Scot- land
John Collins	Radio Royal, Forth Valley Royal Hospital, Larbert FK5 4WR	UK		College Lecturer, City of Glasgow College. Presentation Manager, Countryline Radio

2.9 Complete the following table, expanding it if necessary, to list all bodies which hold or are beneficially entitled to shares, or who possess voting powers, amounting to more than 5% in the applicant ("participants"). If you are unable to provide a complete answer to this question in relation to beneficial owners, please state whether you have any reason to suspect the existence of any beneficial owners.

Full name of >5% partici-	Number of	Total invest-	Total invest-	% of voting
pant (existing and pro-	shares	ment (£s)	ment (%)	rights
posed)				
N/A				
Comments				

C-DSP licence: Application form (Part A)

Forth Valley Broadcasting Service is a registered charity. There are no shareholders or	
beneficial owners.	

2.10 Complete the following table, expanding if necessary, to identify any entities with which the applicant is affiliated. By affiliated, we mean companies that are related through ownership, either with one company being a minority shareholder in the other, or through multiple companies being owned by a third party.

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of the entity	Address
Not Applicable	

2.11 Complete the following table, expanding it if necessary, to list any bodies corporate which are controlled by the applicant, and their affiliates:

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of entity	Address	Affiliates
Not Applicable		

Details of persons who control the applicant

2.12 Complete the following table, expanding it if necessary, to list all persons who control the applicant, together with their affiliates. If any persons or bodies control the applicant jointly because they act together in concert (e.g. because of a shareholder's agreement), each such person must be identified here:

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of individ- ual or body	Address	Affiliates
dai or body		
Not Applicable		

2.13 Complete the following table, expanding it if necessary, to list all officerships in other bodies that are held by any individual listed in response to question 2.12, and any affiliates of those bodies. An "officership" refers to being a director of a body corporate, designated member of a limited liability partnership, or member of the governing body of an unincorporated association:

(If this question is not applicable to the applicant please respond "N/A" in the table)

Full name of individual	Name of body in which of- ficership held	Affiliates of that body
Not Applicable		

2.14 Complete the following table, expanding it if necessary, to list all bodies corporate which are controlled by any body corporate listed in response to question 2.12, and their affiliates:

(If this question is not applicable to the applicant please respond "N/A" in the table)

Full name of body corporate listed in 2.11	Body corporate controlled	Affiliates of body corporate controlled
Not Applicable		

In relation to each body corporate identified in response to question 2.12, complete the following table, expanding it if necessary, to list all bodies which hold or are beneficially entitled to shares, or who possess voting powers, amounting to more than 5% in the body corporate concerned (i.e. "participants"). You may, but are not required to, exclude from this table any bodies listed in response to question 2.12. If you are unable to provide a complete answer to this question in relation to beneficial owners, please state whether you have any reason to suspect the existence of any beneficial owners.

(If this question is not applicable to the applicant please respond "N/A" in the table)

Name of body corporate identified in response to question 2.11				
Full name of >5% participant	Number of shares	Total invest- ment (£s)	Total invest- ment (%)	% of voting rights
Not Applicable				

Comments			

Involvement of the applicant in specified activities

2.16 Please state below whether the applicant, or any of the directors, shareholders or other individuals named above, including their associates (i.e. directors of their associates and other group companies), is, or is involved in, any of the below, and the extent of that interest.

Activity/involvement	Yes or No	Please state who is involved; the name of the body/individual/agency they are involved with; and the extent of their involvement
A local authority	No	
A body whose objects are wholly or mainly of a political nature, or which is affiliated to such a body	No	
A body whose objects are wholly or mainly of a religious nature; ²	Yes	Craig Ryan is employed as a Senior IT System & Projects Engineer with the Church of Scotland
An individual who is an officer of a body falling within (b) or (c);	No	
A body corporate which is an associate (as defined in paragraphs 1(1) and 1(1A) of Part I of Schedule 2 to the Broadcasting Act 1990) of a body falling within (b) or (c);	No	
An advertising agency or an associate of an advertising agency	No	

² Please refer to Sections 3 to 5 of <u>Ofcom's religious guidance note</u> for details on how we determine the eligibility of religious bodies to hold certain broadcasting licences.

Details of applications, licences and sanctions

2.17 Is the applicant (i.e. the body corporate that will hold the licence) a current licensee of Ofcom?

No

If yes, please provide the licence details expanding the table if necessary:

Licence num-	Name of multiplex
ber	

2.18 Has the applicant (i.e. the body corporate that will hold the licence) held an Ofcom broadcasting licence before?

No

If yes, please provide the details expanding the table if necessary:

Licence number	Name of service or multiplex
Unknown	Radio Royal previously held an LPAM licence via NHS Forth Valley to cover the Falkirk & District Royal Infirmary on 1278Khz from 2003 to 2010/11.

2.19 Has anyone involved in the proposed service held an Ofcom broadcasting licence or been involved in an Ofcom-licensed broadcast service before?

Yes

If yes, please provide the details expanding the table if necessary:

Dates licence	Licence number (if	Name of service or multiplex
was held or	known)	
dates of involve-		
ment		
		John Collins:

1992 - 1996	AL000099BA	Radio Forth
		Presenter/ Production CoOrdinator
		·
1996 – 1999	AL000168BA	QFM & Scot FM (IRG)
1990 – 1999	ALUUUIUBBA	
		Managing Director/ Programme Diirector
2000 – 2001	AL000243BA	Beat 106
		Programme Director
2002 – 2006		Clan FM
		Managing Director
2006 – 2019	AL000074BA	Greatest Hits Radio
		Presenter
2017 - present		Chris Country/ CountryLine Radio
		Presenter/ Head of Presentation
		·
		Craig Arthur
Oct 2003 – June	AL100771BA	Kingdom FM
2020	TELOOT TEN	Presenter and Website Editor
May 2020 – Pre-	DD10361EDA	
sent	DP103615BA	Aspen Waite Radio
		Broadcast Systems & IT Engineer

2.20 Does the applicant (i.e. the body corporate that will hold the licence) control an existing Ofcom licensee?

No

If yes, please provide the licence details expanding the table if necessary:

Licence number	Name of service or multiplex

2.21 Is the applicant (i.e. the body corporate that will hold the licence) controlled by an existing licensee or by any person who is connected (within the meaning of Schedule 2 to the Broadcasting Act 1990) with an existing licensee (i.e. as a "participant")?

No (delete as appropriate).

If yes, please provide the following information, expanding the table if necessary:

Licence number	Name of service or multiplex

2.22 Has the applicant – or any person(s) controlling the applicant - made any other application to Ofcom (or its predecessor broadcast regulators – the Independent Television Commission and the Radio Authority) for any licence which has since been surrendered by the licensee or revoked by Ofcom (or one of its predecessor regulators)?

Yes (delete as appropriate).

If yes, please provide the following information, expanding the table if necessary:

Licence number	Name of service or multiplex
	Radio Royal previously held an LPAM licence via NHS Forth Valley to cover the Falkirk & District Royal Infirmary on 1278Khz from 2003 to 2010. This was surrendered when acute healthcare services were moved to the new Forth Valley Royal Hospital in 2010.

2.23 Is the applicant – or any person(s) controlling the applicant - subject to any current or pending investigation by any statutory regulatory or government body in the United Kingdom or abroad in respect of any broadcast-related matter?

No

If yes, please provide the following details expanding the table if necessary:

Licence number (or equivalent)	Name of service or multiplex	Details of the investigation

2.24 Has the applicant – or any person(s) controlling the applicant – ever been subject to a statutory sanction for contravening a condition of a broadcasting licence in the UK or any other jurisdiction?

Yes

If yes, please provide the following details relating to each sanction expanding the table if necessary:

Licence number	Name of service	Nature of the	Sanction im-	Date sanction
(or equivalent)	or multiplex	breach	posed	imposed
DP103615BA/2	Aspen Waite Ra-	Breach of Rules	In Breach	June 2022
	dio, SE Wales	1.14 and 2.3		
Engineering Manager Craig Arthur is an employee of Aspen Marketing Ltd, Aspen Marketing				
have no control ove	er nor any involveme	nt with Radio Roya	l.	

2.25 Has the applicant – or any person(s) controlling the applicant – ever been convicted of an unlicensed broadcasting offence?

No

If yes, please provide the following details:

Full name	Date of conviction/action (dd/mm/yy)	Penalty

2.26 Please provide any further information you hold, relating to the past conduct of the applicant or those individuals listed, in regulatory matters or in matters going to honesty and/or compliance, which may be relevant to Ofcom's consideration of whether or not the applicant is fit and proper to hold a broadcast licence. If the applicant or the form signatory fails

without reasonable excuse at this point to declare any matter of which Ofcom subsequently becomes aware, and which we do consider to be relevant to the applicant's eligibility to hold a licence, we will take it into account in determining the question of whether the applicant/licensee remains fit and proper to hold a licence.

If you have no information to provide, please respond "N/A".

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3. The proposed service

About this section

This section asks you to describe your proposed service, including the Key Commitments you propose to include in your licence. This includes your service name, multiplex name and character of service, in addition to standard commitments that all C-DSP licensees need to abide by. Holders of an existing analogue community radio licence to be a simulcast on the proposed C-DSP service can replicate the existing analogue key commitments as it is our expectation that the key commitments for simulcast services are to be in keeping with one another. If a licence is granted, the information you provide in this section will be used to form the basis of the annex to your licence. You will only be authorised to broadcast what is detailed in the annex of the licence.

In this section, you will also need to set out how your service will provide social gain, community participation and how you will be accountable to the target community. This is in line with statutory requirements for the granting of C-DSP licences. **The information provided in this section is also the basis on which decisions are made.**

If you hold, or intend to hold, multiple C-DSP licences, the answers given in this section and the intended delivery of your Key Commitments must apply to the locality in which your proposed service will broadcast (as set out in the Draft Key Commitments in this application form).

Your proposed service and target community

3.1 What is the proposed service name?

Radio Royal

3.2 On which radio multiplex service do you intend to broadcast? If the relevant radio multiplex licence has not yet been awarded, please state the name of the area that the multiplex service is intended to cover, as defined in the multiplex licence advertisement.

Stirling & Falkirk

3.3 Where is your proposed studio located? Please note that this must be located within the coverage area of the small-scale radio multiplex service identified in answer to 5.2 (or the advertised area for a small-scale radio multiplex service that has not yet been awarded).³

³ If you propose to provide your service on a local, rather than a small-scale, radio multiplex service, there is no requirement for your studio to be located within the licensed area of that local radio multiplex service.

3.4

3.5

Forth Valley Royal Hospital, Stirling Road, Larbert, FK5 4WR
If the proposed service is a simulcast or corresponding service of an existing licensed radio service, please list that below and provide the licence number.
N/A
What is the target community of the service? Please include the geographical area that you wish to serve in addition to the interests or characteristics that define your target community. The area you wish to serve must be geographically located within the coverage area of the radio multiplex service on which you intend to broadcast. Answer in fewer than 400 words .
The target community is the community of Stirling and Falkirk, primarily but not exclusively service users and providers of NHS Forth Valley. This includes the existing hospitals in Falkirk, Larbert and Stirling but we plan to expand via DAB to other healthcare facilities around the Forth Valley. The music and speech are designed to have broad appeal but we understand that many users are older so we always work to superserve their needs.

3.6 How will you ensure that your proposed C-DSP service is run on a not-for-profit basis? Please give details of specific measures or arrangements in place to ensure this, and how any profit will be wholly and exclusively used for securing or improving the future provision of the service, or for the delivery of social gain. **Answer in fewer than 400 words.**

Forth Valley Broadcasting Service is a charity registered in Scotland with OSCR. Registration number SC047488.

We have no shareholders and pay no dividends. All monies are ploughed back into maintaining the service which we are proud to have run since 1976.

Social gain

3.7 What community benefits will your service bring to your target community(ies) and, if applicable, the general public. Please include summaries of evidence to support your answer, including details about other organisations you intend to work with. **Answer in fewer than 500 words. Please do not provide names of individuals in your answer.**

Radio Royal's programming policy directly addresses its commitment to delivering a "happy and healthy" message around its programming.

We strive to carry messages in 'breaks' that encourage a heathy lifestyle alongside a friendly approach that encourages listeners to feel they are not lonely.

The healthcare community is supported by our participation in International Nurses Day and Midwives Day, where we celebrate the contribution of healthcare professionals across the area.

There are several other campaigns, for example working alongside local agencies in the UN's 10 Days of Action against violence towards women.

The impact of these campaigns will be vastly increased by our ability to reach a wider audience.

We also believe that broader distribution will help both mobile practitioners and the general public feel closer to the hospitals.

Similarly we look to become a communications channel for the many Health Centres and Medical Practices, helping them engage with their users.

3.8 Please summarise how your service will facilitate discussion and the expression of opinion. Answer in fewer than 200 words.

Radio Royal often features content from healthcare providers and team members at the hospital. This will be added to with broader coverage outwith the main Royal Hospital.

We also intend to restart "roadshow" events now the most onerous Covid restrictions are over, again encouraging conversation and debate.

Radio Royal's existing facilities include the ability to take listener calls to air – further enhancing the ability for the public to engage with experts.

3.9 How will you ensure that members of your target community(ies) can gain access to the facilities used to provide your service, and receive training in using these? In particular, please set out how this will be done practically, formally and/or informally. **Answer in fewer than 400 words**.

Membership of Radio Royal is open to all and interested parties will receive appropriate training at our studios within Forth Valley Royal Hospital.

In addition, we intend to compliment our existing ward visiting team with an outreach operation. The idea is to gather relevant content in the other hospitals and, eventually, other healthcare settings around the Forth Valley.

All training in the use of portable digital recorders will be provided by Radio Royal members as required.

Content generated will be produced in-house for broadcast.

Radio Royal also owns high quality Outside Broadcast kit. We envisage broadcasts from around our area tied to healthcare objectives, throughout the year.

3.10 How will your service provide better understanding of your target community and the strengthening of links within it? **Answer in fewer than 200 words**.

The initiatives outlined above will give service providers and users a new voice which will be heard throughout our broadcast area.

In a market where much of the available radio is national or a locally produced version purely music station, we believe Radio Royal's mix of public service and entertainment will strongly enhance the on-air offering.

3.11 Please summarise the relevant experience of the group or its members in activities related to the provision of social gain or other relevant non-broadcast areas (such as third sector, training or education). **Answer in fewer than 200 words**.

Several members of Radio Royal have decades of experience in both this organisation and the third sector. Our active members also include senior social workers, college lecturers and professional trainers.

The presence of several 'pro' broadcasters also underscores our ability to be a voice that promotes a healthy lifestyle in the Forth Valley.

Participation

3.12 How do you propose to ensure that members of your target community(ies) are given opportunities to participate in the operation and management of the service? **Answer in fewer than 400 words**.

Our current thinking is that upon launch we would set up an advisory panel that would meet regularly and feed comments and observations to the Executive Committee.

The panel would be made up of key figures from the NHS FV community and any interested listeners.

Opportunities to join the panel will be advertised on-air, on our socials and on the website.

Accountability

3.13 How will members of your target community contact your service and influence its operation? **Answer in fewer than 300 words**.

Via the website, social media, telephone and our advisory panel.

3.14 How will suggestions and/or criticisms from members of your target community(ies) be considered and acted upon? **Answer in fewer than 300 words**.

All suggestions and comments will be considered at the monthly Exec Meeting and the appropriate manager will communicate the outcome with the person who made them.

The Advisory Panel will also have sight of these messages and will be able to consider the merits of the original comment and the Exec's response.

C-DSP licence: Application form (Part A)

Draft Key Commitments

Below is an example of the licence annex where the Key Commitments appear. Should a licence be awarded, the entries you provide below will form the Key Commitments section of your licence. Holders of a community radio analogue licence that is to be simulcast, or a corresponding service, are expected to provide key commitments that are in line with their existing service(s). As such, applicants may refer to the existing key commitments of the relevant simulcast or corresponding service(s) to ensure that the draft below is in line with those of the existing service(s).

Please provide entries where specified in **BOLD** below. The information you enter here should reflect your answers to Sections 3 and 4 of the Part A of your completed Application Form. This will form the basis of your Key Commitments alongside the mandatory text in italics. Do not amend the text in italics as every service is required to comply with these requirements, but the details of how each service does so do not need to be included in the Key Commitments.

ANNEX TO LICENCE

LICENSED SERVICE NO

tbc

Licensed Service	Service Description	Transmission Sched- ule	Multiplex
Radio Royal	Radio Royal is a radio service intended to serve service providers and users in the NHS Forth Valley health board area. Radio Royal intends to continue to provide a service designed to uplift and cheer the people both in healthcare settings and at home.	Broadcast 24 Hours per day, 7 days per week.	Stirling & Falkirk

In addition, Radio Royal will promote a healthy lifestyle and communicate messa-This is a mix of live. ger to service users from appropriate organisations within the area. voicetracked, repeated and automated content. The studio of the Licensed Service is located within the coverage area of the Small-Scale Radio Multiplex Service identified above (n.b. the Licensee will not be in breach of this requirement if an existing studio ceases to fall within the coverage area merely as a result of technical changes to the Small-Scale Radio Multiplex Service outside the control of the Licensee). The Licensed Service shall have the characteristics of a Community Digital Sound Programme Service as set out in the 2019 Order and, in so doing, shall achieve the following objectives: • the facilitation of discussion and the expression of opinion, • the provision (whether by means of programmes included in the service or otherwise) of education or training to individuals not employed by the person providing the service, and the better understanding of the particular community and the strengthening of links within it. Members of the target community shall contribute to the operation and management of the service. The service shall have mechanisms in place to ensure it is accountable to its target community in the specific area or locality.

4. Compliance of the service

About this section

This section asks you to describe the compliance arrangements for the proposed licensed service, i.e. the arrangements which the applicant will put in place to ensure that the content it proposes to broadcast will comply with the relevant regulatory codes and rules for programming and advertising. These include:

- The Ofcom Broadcasting Code
- The BCAP Code: the UK Code of Broadcast Advertising
- The Phone-paid Services Authority Code of Practice

Condition 17 of a C-DSP licence requires that you have compliance procedures in place, and this section asks that you demonstrate your ability to meet this licence condition.

Before completing this section of the form you should read Section 4 of the <u>C-DSP guidance notes</u>, where you will also find links to the codes and rules listed above.

4.1 Please give details of all compliance training (including dates) the person named in response to either question 2.3 or 2.5 in Part B (i.e. the individual who holds overall responsibility for compliance of the service) has received in the relevant codes and rules (for example, those referred to in the box at the start of this section).

As a Lecturer in Broadcast media for 15 years, John Collins delivers broadcast training to students and private clients. This is largely based on the SQA Unit "Law And the Communications Industries" (Level 7, DH8K 35) which mirrors both the legal environment and current regulatory framework.

Collins will also take part in the Compliance training currently being arranged with Paul Chantler.

4.2 Please give details of any practical compliance experience (including dates) the person named in response to either question 2.3 or 2.5 in Part B (i.e the individual who holds overall responsibility for compliance of the service) has with respect to the relevant codes and rules.

John Collins has worked in Radio for 46 years, with the BBC and commercial and voluntary sectors.

Many of these roles have been senior roles in programming with stations from Qfm and Scot FM in the past up to CountryLine Radio today where as Head of Presentation he runs the day-to-day operation on DAB in London and online elsewhere.

In the BBC Collins has been a presenter and Producer on both BBC Radio Scotland and on commissions for Radio 1, 2 and 4.

We believe this gives him extensive knowledge and understanding of the compliance climate.

Craig Arthur was previously responsible for Radio Authority compliance for Radio Royal when the service broadcast on LPAM. He has also worked in commercial radio with Kingdom FM and Aspen Marketing.

4.3 For each role within your compliance team please provide job title and a brief description of the functions of the role specific to ensuring compliance of the proposed service. Please do not give names of individual members of staff – this question relates to job roles rather than currently employed individuals.

4.4

4.5

teers? If not, outline who will receive it.

Compliance and DAB Programming Manager:
Ensuring that Radio Royal's programming policies and guidelines are in alignment with current Ofcom and relevant legal regulations.
Ensuring that on-air staff are suitably qualified and regularly refreshed.
Responding to potential breaches in a timeous manner.
Liaising with Ofcom on compliance issues
How does the applicant intend to formally train staff in compliance procedures? Please in-
clude details of the compliance training that will be given to those responsible for live pro-
gramming, including compliance staff, presenters and producers.
Comprehensive training covering Ofcom codes and media law to be provided by Paul Chantler over video link for all volunteers involved with presenting and loading content
onto the Myriad playout system.
John Collins (Compliance and DAB Programming Manager) to provide additional re-
fresher training where appropriate.
Will the training described in response to question 4.5 be mandatory for all staff and volun-

Yes, training will be mandatory for anyone who has access to any on air system.

- 4.6 It is a licence requirement that a licensee must ensure that all programming on its service (broadcast at any time of the day or night) complies with Ofcom's codes and rules (e.g. Ofcom's Broadcasting Code, which sets requirements on standards to be observed in programme content for the protection of the public).
 - a) Set out in detail below the systems the applicant intends to have in place to ensure it will be able to comply with the codes and rules when the service is broadcasting live content. Your response should include details of what you will do to prepare presenters and guests pre-broadcast and the process for ensuring that any non-complaint content is dealt with swiftly during the broadcast.

As outlined above, presenters will be trained in compliance prior to Radio Royal going onto the SSDAB multiplex.

All potential issues will be flagged to the **Compliance and DAB Programming Manager**, who will promptly investigate the logger and take appropriate action.

Much of Radio Royal's output is voice-tracked so it will be quickly possible to ensure that potentially difficult material is not repeated.

We aim to play very safe, especially given our target audience who would not expect 'edgy' or challenging content. This is at the heart of the programming policy of Radio Royal and will go a long way to making it inherently compliant.

b) Set out in detail below how the applicant intends to ensure that pre-recorded material will comply with Ofcom's codes and rules. Pre-recorded content could include, for example, material obtained from, or streamed from, third party sources as well as content produced by the licensee.

Radio Royal does not broadcast third-party content so we believe that our in-house compliance procedure will be sufficient.

4.7 Please set out how you will ensure ongoing compliance with your Key Commitments that relate to what you will broadcast on the station, including how you will monitor that these are being delivered e.g. who will be responsible for monitoring this, how often will they monitor it, how you ensure this information is published.

This will be monitored by the **Compliance and DAB Programming Manager**, who will report to the Executive Committee each month.

There will be a page on the website outlining the Key Commitments, how to raise any issues regarding them and linking to Radio Royal's compliance status.

The Compliance and DAB Programming Manager will respond to any complaints or potential issues raised by members and proactively address them as quickly as possible.

4.8 Please set out how you will ensure ongoing compliance with your Key Commitments that relate to the station's off-air social gain activities, including how you will monitor that these are being delivered e.g. who will be responsible for monitoring this, how often will they monitor it, how you ensure this information is published.

4.9

4.10

This will be monitored each month by the Compliance and DAB Programming Manager, who will report to the Executive Committee each month.
There will be a page on the website outlining the Key Commitments, how to raise any issues regarding them and linking to Radio Royal's compliance status.
What language(s) does the applicant intend to broadcast in?
English
For each language listed in response to question 4.9 please provide details of how many compliance team member(s) are fluent in each language and will be responsible for ensuring that content broadcast in that language complies with the Ofcom's code and rules. Please do not give names of individual members of staff.
All members are fluent in English.

5. Declaration

About this section

This form must be submitted by the applicant named in response to question 2.2. An agent may not sign the form.

The person authorised to make the declaration on behalf of the applicant must print their name and must be one of the following:

- A director of the company or the company secretary where the applicant is a company.
- A designated member where the applicant is a Limited Liability Partnership.

The declaration must also be dated.

- 5.1 I hereby apply to Ofcom for the grant of a licence for the community digital sound programme service described above and declare that the information given in this application form is, to the best of my knowledge and belief, correct.
- 5.2 I further declare and warrant:
 - a) that I am not a disqualified person within the meaning of that expression as defined in Part II of Schedule 2 to the Broadcasting Act 1990, as amended, or as a result of a disqualification order under Section 145 of the Broadcasting Act 1996;
 - b) that having made all reasonable enquiries neither the applicant nor any person controlling the applicant, as a result of the grant to me of the licence, breach any requirement of Schedule 14 to the Communications Act 2003 with regard to the accumulation of interests in broadcasting services or to the restrictions on cross-media interests; and
 - c) that the applicant is not disqualified by virtue of the provisions of section 143 (5) of the Broadcasting Act 1996 in relation to political objects and the provisions of section 144 (3) of the Broadcasting Act 1996 in relation to the provision of false information or through the withholding of information with the intention of misleading Ofcom; and
 - d) that no director or person concerned directly or indirectly in the management of the applicant is subject to a disqualification order as defined by section 145 (1) of the Broadcasting Act 1996.
- I understand that Ofcom reserves the right to revoke the licence (if granted) if at any time any material statement made to Ofcom is found to be false and to have been by the applicant or any member or officer thereof knowing it to be false. I also understand that under sections 144 and 145 of the Broadcasting Act 1996, the provision of false information could incur a criminal conviction and a disqualification from the holding of a Broadcasting Act licence. I further certify that, to the best of my knowledge, any matters which might influ-

ence Ofcom's judgement as to whether the directors and any other individuals and/or bodies corporate with substantial involvement in this application are fit and proper persons to participate in a radio licence, have been made known to Ofcom.

Full name (BLOCK CAPITALS) of the applicant or person authorised to make the application of behalf of the applicant:

Craig Arthur

Date of application:

12/05/2023

I am authorised to make this application on behalf of the applicant in my capacity as Trustee.

You also need to complete the <u>confidential section (Part B) of the application</u> <u>form</u>