Community Digital Sound Programme (C-DSP) licence

Application form – Part A (public)

Name of applicant (i.e. the	dy corporate that	at will hold the licence):
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Radio Warrington CIC

Proposed service name:

Radio Warrington

Radio multiplex service(s) on which the proposed C-DSP service is to be provided (note this must be a small-scale multiplex area either previously advertised or currently being advertised by Ofcom as shown in the multiplex licence advertisement)

Warrington, Widnes and Runcorn DAB Ltd

<u>Public contact details (i.e. Contact name and/or company name, company address, telephone number(s) and email):</u>

Overview

You should complete this form if you are applying for a community digital sound programme licence ("C-DSP"). You can find further information about C-DSP services in the guidance notes for licensees and applicants.

This application form is divided into two parts – Part A (which we will publish on our website) and Part B (which will be kept confidential). This document constitutes Part A; Part B of the application form is available on our website.

If you encounter any issues using these forms, please contact broadcast.licensing@ofcom.org.uk.

The purpose of this form

- You should complete this form if you are applying for a Community Digital Sound Programme (C-DSP) licence.
- A digital sound programme service intended for broadcast by means of a local or small-scale radio multiplex service requires either a C-DSP licence or a local DSP licence. Ofcom's published guidance notes set out some of the key issues that potential applicants need to consider in deciding which type of licence is suitable for them. In summary, C-DSP services are not run for financial gain and are required to provide social gain. C-DSP licences therefore include strict conditions to ensure that happens, and provide less flexibility than a local DSP licence. However, they do provide access to capacity that small-scale radio multiplex service providers are required to reserve solely for C-DSP services.
- A C-DSP licence will be required even if the same programme service is also provided on any other platforms (e.g. FM, satellite), as separate licences are required for those.
- As noted above, small-scale radio multiplex services will have reserved capacity for C-DSP services. Issue of a C-DSP licence does not, however, guarantee carriage on a small-scale (or local) radio multiplex service. That is a matter for agreement between the C-DSP licensee and the multiplex service provider, and there may be more C-DSP licences issued in a locality than there are reserved slots on the small-scale radio multiplex service. Note that a C-DSP service does not necessarily have to broadcast using reserved capacity. It can use unreserved capacity on a small-scale radio multiplex service or capacity on a local radio multiplex service, again subject to agreement with the multiplex service provider.
- An application for a C-DSP licence will be accepted only once Ofcom has advertised the licence for the small-scale radio multiplex service upon which the proposed C-DSP service is intended to be provided. There is no closing-date by which an application for a C-DSP licence must be submitted (i.e. it can be submitted at any time after the licence for the relevant small-scale radio multiplex licence has been advertised).
- You can find further information about how to determine if a service requires a C-DSP licence in Section 2 of the guidance notes for applicants and licensees.

Provision of information

- Ofcom requires complete and accurate information to assess applications. This is so that
 we can assess your application against statutory criteria, consider whether those involved
 in the body applying for a licence are 'fit and proper' to hold a licence, and determine
 whether their involvement with other organisations disqualifies them from participation in
 a licence.
- It is an offence under the Broadcasting Act 1996 (as amended) to provide false information or withhold relevant information during the application process, and may be grounds for revocation of a licence subsequently granted.

Publication of information about applications and licensed services

- Information provided in Part A of the application form will typically be published by Ofcom in our Monthly Radio Update publication the month following the submission of your application. This may take longer if the application is received late in the month.
 Information provided in Part B will not be published.
- In submitting this application you agree that, should a licence be granted, Ofcom may publish contact details for the licensee (specified in Section 2 of Part B of the application form), which may include personal data, on the Ofcom website and/or in other relevant publications. If you have any questions about the information that we publish, or there are any changes to this information, you should contact the Broadcast Licensing team by email (broadcast Licensing@ofcom.org.uk).
- Ofcom considers issued C-DSP licences to be public documents and copies of licences will be made available to third parties on request albeit, other than the Key Commitments which are tailored to the service, C-DSP licences are standard form documents. A brief description of the licensed service will be published on the Ofcom website, along with the Key Commitments which form part of the licence.
- Ofcom publishes a monthly radio licensing update which lists new services licensed, new applications, licences revoked, licence transfers, and changes to licensed services during the past month.

Data protection

We require the information requested in this form in order to carry out our licensing duties under the Broadcasting Act 1990, Broadcasting Act 1996 and Communications Act 2003.
 Please see Ofcom's General Privacy Statement for further information about how Ofcom handles your personal information and your corresponding rights.

Keeping up to date with broadcasting matters

 We strongly recommend that the appropriate person at the applicant body signs up to receive Ofcom's regular email updates on broadcasting matters including notification when the Broadcast and On Demand Bulletin is published. To sign up to receive these communications, you must visit the email updates area of our website and select 'Broadcasting.'

Applicant's details

About this section

In this section we are asking you for details about the applicant company. This must be a body corporate which is not profit distributing.

In the first part of this section, we are asking for basic details about the applicant. These include company registration number and contact information.

In the second part of this section we are asking for details of the applicant's officers (directors or, in the case of LLPs, designated members), its shareholders and participants. Where applicable, we are also asking for details of the officers of the applicant's parent and associated companies or LLPs etc.

If any of the individuals named in your responses are known by more than one name/version of their name, all names must be provided.

Certain persons are disqualified from holding a C-DSP licence. This section asks the questions which enable us to consider this for those types of disqualification which apply specifically to bodies corporate. It also asks questions which are relevant to our assessment of the applicant's fitness and properness to hold a C-DSP licence.

Before completing this section of the form, you should read Ofcom's guidance on the definition of 'control' of media companies. Throughout this section, "control" has the meaning it is given in Part I of Schedule 2 of the Broadcasting Act 1990.

The response boxes and tables should be expanded or repeated where necessary, or provided in a separate annex.

'Officerships' in this section refers to: directorships of bodies corporate, designated memberships of LLPs, or membership of a governing body of an unincorporated association (including partnerships).

Applicant information and contact details

• Name of applicant (i.e. the body corporate that will hold the licence):

Radio Warrington CIC

Company registration number stated on Companies House:

06554646

• For UK registered companies, the address of the applicant's registered office stated on Companies House.

For non-UK registered companies, the principal office address:

28 Edward Gardens, Martinscroft, Warrington WA1 4QT

• <u>If a UK registered company, is the current Memorandum and Articles of Association</u> document available on the Companies House website?

Yes (delete as appropriate)

If no, please submit the up to date document and indicate you have done so in the checklist in Section 4 of Part B.

 Contact details of the individual duly authorised by the applicant for the purposes of making this application. This individual should be the company secretary, a director or (if an LLP) designated member.

(If you are an agent completing the form on behalf of the applicant please do not enter your details here – see paragraph 2.25 of the guidance notes).

<u>Full name</u>	Stephen Neil Rakestraw
	<u>Director, Station Manager</u>
<u>Address</u>	28, Edward Gardens,
	Martinscroft, Warrington
	WA1 4QT
<u>Telephone</u>	<u>01925 481023</u>
Mobile	07799 777349
<u>phone</u>	
<u>Email</u>	stevlewis@radiowarrington.co.uk

• <u>If the proposed Licensed Service has/will have a website, please provide the website</u> address below.

www.radiowarrington.co.uk

How will the service be financed? If the applicant is receiving, or is likely to receive, any
form of funding and/or financial assistance to establish and maintain the service, please
provide details of who is providing that funding/financial assistance and the extent of it.

If you are receiving funding from, or on behalf of, a source that could be considered a political organisation or a religious body, you must set out the nature of that organisation here.

On-air spot advertising

Programme sponsorship

Commercial revenues from off-air activities

'In-house' non-commercial revenues

Ownership and control of the company which will hold the licence

Details of officers, participants and shareholders of the applicant

• Complete the following table, expanding it if necessary, to provide the following details for each director or designated member of the applicant (i.e. the body corporate that will hold the licence):

Full name of	Correspondence	Country of	Other officerships	<u>Other</u>
<u>individual</u>	<u>address</u>	<u>residence</u>	held (and nature	<u>employment</u>
			of the business	
			concerned)	
Stephen Neil	28, Edward Gardens,	<u>UK</u>	Proprietor, CRT	
Rakestraw	Martinscroft,		Ltd, computer	
	Warrington		recycling,	
			Wedding DJ.	
	WA1 4QT			
Paul T	7 Statham Avenue,	<u>UK</u>	Retired Security	
Middlehurst,	Lymm, Warrington		<u>Architect - Fujitsu</u>	
	<u>WA13 9NJ</u>			
Andrew Gill	28, Albert Road,	<u>UK</u>	<u>Performance</u>	
	Grappenhall,		Manager - NHS	
	Warrington, WA4			
	<u>2PG</u>			
Kevin John	6 Kentucky Close,	<u>UK</u>	<u>Customer Service</u>	
<u>Farrell</u>	Great Sankey,		Advisor, Solus	
	Warrington, Cheshire,		Accident Repair	
	England, WA5 8AH		Centres	
Andrew	28 Edward Gardens,	<u>UK</u>	Marketing	
James Green	Warrington, WA1 4QT		<u>Manager</u>	
			C-TEC Ltd	

Susan Ann Jones	11 Rosemary Avenue, Stockton Heath, Warrington, Cheshire, England, WA4 2XA	<u>UK</u>	Retired - Teacher	
Michael Thomas Mason	28, Edward Gardens, Martinscroft, Warrington WA1 4QT	<u>UK</u>	Director, Panama Jacks Night Club Director, Mirall Ltd, - Cocktail Bar. Director, MTJ Group, - Cocktail Bar Director, Panacea Radio – Radio Station Warrington, Widnes and Runcorn DAB – Shareholder, Multiplex Operator.	
Brian Spooner	28, Edward Gardens, Martinscroft, Warrington WA1 4QT	<u>UK</u>	Retired – Corporate/Media Affairs Manager. Nuclear and Telecomms.	

• Complete the following table, expanding it if necessary, to list all bodies which hold or are beneficially entitled to shares, or who possess voting powers, amounting to more than 5% in the applicant ("participants"). If you are unable to provide a complete answer to this question in relation to beneficial owners, please state whether you have any reason to suspect the existence of any beneficial owners.

Full name of >5%	Number of	<u>Total</u>	<u>Total</u>	% of voting
participant (existing and	<u>shares</u>	investment	investment	<u>rights</u>
proposed)		<u>(£s)</u>	<u>(%)</u>	

	Comments						
	No beneficial Owners therefore not applicable						
•	Complete the following table, expanding if necessary, to identify any entities with which the applicant is affiliated. By affiliated, we mean companies that are related through ownership, either with one company being a minority shareholder in the other, or through multiple companies being owned by a third party.						
	(If this question is not a			icant please re	spona i	N/A IN U	ie table.)
	Full name of the entity	Ad	<u>dress</u>				
	N/A						
	which are controlled by to the second of this question is not a				spond "I	N/A" in th	ne table.)
	Full name of entity	Ad	<u>dress</u>		Affiliates	<u>S</u>	
	<u>N/A</u>						
Detaile	s of persons who co	ntro	l the annlic	ant			
•	Complete the following table, expanding it if necessary, to list all persons who control the applicant, together with their affiliates. If any persons or bodies control the applicant jointly because they act together in concert (e.g. because of a shareholder's agreement), each such person must be identified here:					applicant	
	(If this question is not applicable to the applicant please respond "N/A" in the table.)						
	Full name of individual or body	Addre	SS			Affiliate	<u>S</u>
	N/A						

•	Complete the following table, expanding it if necessary, to list all officerships in other
	bodies that are held by any individual listed in response to question 2.12, and any affiliates
	of those bodies. An "officership" refers to being a director of a body corporate, designated
	member of a limited liability partnership, or member of the governing body of an
	unincorporated association:

(If this question is not applicable to the applicant please respond "N/A" in the table)

Full name of individual	Name of body in which officership held	Affiliates of that body
<u>N/A</u>		

• Complete the following table, expanding it if necessary, to list all bodies corporate which are controlled by any body corporate listed in response to question 2.12, and their affiliates:

(If this question is not applicable to the applicant please respond "N/A" in the table)

Full name of body	Body corporate controlled	Affiliates of body corporate
corporate listed in 2.11		controlled
N/A		

In relation to each body corporate identified in response to question 2.12, complete the following table, expanding it if necessary, to list all bodies which hold or are beneficially entitled to shares, or who possess voting powers, amounting to more than 5% in the body corporate concerned (i.e. "participants"). You may, but are not required to, exclude from this table any bodies listed in response to question 2.12. If you are unable to provide a complete answer to this question in relation to beneficial owners, please state whether you have any reason to suspect the existence of any beneficial owners.

(If this question is not applicable to the applicant please respond "N/A" in the table)

Name of body corporate identified in response to question 2.11				
Full name of >5% participant	Number of shares	Total investment (fs)	Total investment (%)	% of voting rights
N/A				

<u>Comments</u>		

Involvement of the applicant in specified activities

• Please state below whether the applicant, or any of the directors, shareholders or other individuals named above, including their associates (i.e. directors of their associates and other group companies), is, or is involved in, any of the below, and the extent of that interest.

Activity/involvement	Yes or No	Please state who is involved; the name of the body/individual/agency they are involved with; and the extent of their involvement
A local authority	No	
A body whose objects are wholly or mainly of a political nature, or which is affiliated to such a body	<u>No</u>	
A body whose objects are wholly or mainly of a religious nature;	No	
An individual who is an officer of a body falling within (b) or (c);	<u>No</u>	
A body corporate which is an associate (as defined in paragraphs 1(1) and 1(1A) of Part I of Schedule 2 to the Broadcasting Act 1990) of a body falling within (b) or (c);	No	
An advertising agency or an associate of an advertising agency	<u>No</u>	

Details of applications, licences and sanctions

• Is the applicant (i.e. the body corporate that will hold the licence) a current licensee of

Ofcom?

Yes (delete as appropriate).

If yes, please provide the licence details expanding the table if necessary:

<u>Licence</u>	Name of multiplex
<u>number</u>	
CR100137BA/1	This is an A.M. broadcasting licence

• <u>Has the applicant (i.e. the body corporate that will hold the licence) held an Ofcom broadcasting licence before?</u>

Yes (delete as appropriate).

If yes, please provide the details expanding the table if necessary:

<u>Licence number</u>	Name of service or multiplex
CR100137BA/1	Radio Warrington an A.M. broadcasting licence

• Has anyone involved in the proposed service held an Ofcom broadcasting licence or been involved in an Ofcom-licensed broadcast service before?

Yes (delete as appropriate).

If yes, please provide the details expanding the table if necessary:

<u>Dates licence</u>	<u>Licence number</u>	Name of service or multiplex
was held or	(if known)	
dates of		
<u>involvement</u>		
To present	CR100137BA/1	Radio Warrington with an AM licence
	<u> </u>	

Does the applicant (i.e. the body corporate that will hold the licence) control an existing
 Ofcom licensee?

Yes (delete as appropriate).

If yes, please provide the licence details expanding the table if necessary:

Licence number	Name of service or multiplex
CR100137BA/1	Radio Warrington an AM licence

• <u>Is the applicant (i.e. the body corporate that will hold the licence) controlled by an existing licensee or by any person who is connected (within the meaning of Schedule 2 to the Broadcasting Act 1990) with an existing licensee (i.e. as a "participant")?</u>

Yes (delete as appropriate).

If yes, please provide the following information, expanding the table if necessary:

<u>Licence number</u>	Name of service or multiplex
CR100137BA/1	Radio Warrington an AM licence

Has the applicant – or any person(s) controlling the applicant - made any other application
to Ofcom (or its predecessor broadcast regulators – the Independent Television
Commission and the Radio Authority) for any licence which has since been surrendered by
the licensee or revoked by Ofcom (or one of its predecessor regulators)?

No (delete as appropriate).

If yes, please provide the following information, expanding the table if necessary:

<u>Licence number</u>	Name of service or multiplex		

• <u>Is the applicant – or any person(s) controlling the applicant - subject to any current or pending investigation by any statutory regulatory or government body in the United Kingdom or abroad in respect of any broadcast-related matter?</u>

No (delete as appropriate).

If yes, please provide the following details expanding the table if necessary:

<u>Licence number</u>	Name of service or	Details of the investigation
(or equivalent)	multiplex	

 Has the applicant – or any person(s) controlling the applicant – ever been subject to a statutory sanction for contravening a condition of a broadcasting licence in the UK or any other jurisdiction?

No (delete as appropriate).

If yes, please provide the following details relating to each sanction expanding the table if necessary:

Licence number	Name of service	Nature of the	Sanction	<u>Date</u>
(or equivalent)	or multiplex	<u>breach</u>	imposed	sanction
				imposed

• <u>Has the applicant – or any person(s) controlling the applicant – ever been convicted of an</u> unlicensed broadcasting offence?

No (delete as appropriate).

If yes, please provide the following details:

Full name	Date of conviction/action (dd/mm/yy)	<u>Penalty</u>

Please provide any further information you hold, relating to the past conduct of the applicant or those individuals listed, in regulatory matters or in matters going to honesty and/or compliance, which may be relevant to Ofcom's consideration of whether or not the applicant is fit and proper to hold a broadcast licence. If the applicant or the form signatory fails without reasonable excuse at this point to declare any matter of which Ofcom subsequently becomes aware, and which we do consider to be relevant to the applicant's eligibility to hold a licence, we will take it into account in determining the question of whether the applicant/licensee remains fit and proper to hold a licence.

If you have no information to provide, please respond "N/A".

The proposed service

About this section

This section asks you to describe your proposed service, including the Key Commitments you propose to include in your licence. This includes your service name, multiplex name and character of service, in addition to standard commitments that all C-DSP licensees need to abide by. Holders of an existing analogue community radio licence to be a simulcast on the proposed C-DSP service can replicate the existing analogue key commitments as it is our expectation that the key commitments for simulcast services are to be in keeping with one another. If a licence is granted, the information you provide in this section will be used to form the basis of the annex to your licence. You will only be authorised to broadcast what is detailed in the annex of the licence.

In this section, you will also need to set out how your service will provide social gain, community participation and how you will be accountable to the target community. This is in line with statutory requirements for the granting of C-DSP licences. **The information provided in this section is also the basis on which decisions are made.**

If you hold, or intend to hold, multiple C-DSP licences, the answers given in this section and the intended delivery of your Key Commitments must apply to the locality in which your proposed service will broadcast (as set out in the Draft Key Commitments in this application form).

Your proposed service and target community

What is the proposed service name?

Radio Warrington

On which radio multiplex service do you intend to broadcast? If the relevant radio
multiplex licence has not yet been awarded, please state the name of the area that the
multiplex service is intended to cover, as defined in the multiplex licence advertisement.

Warrington, Widnes and Runcorn DAB Ltd

• Where is your proposed studio located? Please note that this must be located within the coverage area of the small-scale radio multiplex service identified in answer to 5.2 (or the advertised area for a small-scale radio multiplex service that has not yet been awarded).

Unit M1 (Mezzanine Floor)

Warrington Market

2 Time Square, Warrington WA1 2NT

• If the proposed service is a simulcast or corresponding service of an existing licensed radio service, please list that below and provide the licence number.

no

What is the target community of the service? Please include the geographical area that you wish to serve in addition to the interests or characteristics that define your target community. The area you wish to serve must be geographically located within the coverage area of the radio multiplex service on which you intend to broadcast. Answer in fewer than 400 words.

The target community is of approximately 200,000 people in the borough of Warrington. A diverse community comprising both original and a new town population presents the station is an asset to the area in that it regularly broadcasts a comprehensive programme to serve its interests. Local news, current affairs, music, sport, arts and culture and traffic information.

A meeting point of the M6, M62. And M56 the latter being an importance part of the service.

How will you ensure that your proposed C-DSP service is run on a not-for-profit basis?
 Please give details of specific measures or arrangements in place to ensure this, and how any profit will be wholly and exclusively used for securing or improving the future provision of the service, or for the delivery of social gain. Answer in fewer than 400 words.

On-air spot advertising

Programme sponsorship

Commercial revenues from off-air activities

'In-house' non-commercial revenues

Charitable donations

All the above funding is, and will, continue to be invested back into the station to cover running costs, fees and capital equipment.

Social gain

• What community benefits will your service bring to your target community(ies) and, if applicable, the general public. Please include summaries of evidence to support your answer, including details about other organisations you intend to work with. Answer in fewer than 500 words. Please do not provide names of individuals in your answer.

To teach radio skills to those who request it such as presenting, interviewing, sports reporting, editing and operating technical equipment.

To promote and play the music of local musicians. Inviting them to play on the radio.

To feature local culture

To publicise local sports teams and encouraging participation.

To attend and participate in local events with our outside broadcast facilities.

To pass on regular traffic news in a town surrounded by three major motorways.

To help support local charities and events through regular announcements.

Links with local authorities to promote the health and well being of the community.

We have existing links with Culture Warrington, Rotary and numerous clubs, charities and organisations in the town. We regularly feature these and similar local organisations.

We have a link with the local Premier Rugby League team and live broadcast home games.

Links with the local authority and during the recent Covid pandemic acted as a vehicle for public health anouncements.

• Please summarise how your service will facilitate discussion and the expression of opinion.

Answer in fewer than 200 words.

Regular music and talk programmes with invited and applicant guests and regular arts and culture features covering a wide variation of local activities taking part in the town.

Links with local authorities to promote the health, wealth and well being of the community.

How will you ensure that members of your target community(ies) can gain access to the
facilities used to provide your service, and receive training in using these? In particular,
please set out how this will be done practically, formally and/or informally. Answer in
fewer than 400 words.

Regular broadcast announcements, on air, inviting residents to get involved in volunteering with the station and offering training are an existing part of our programme schedule.

Over the years, the majority of presenters have come through the station's training prior to broadcasting live.

• How will your service provide better understanding of your target community and the strengthening of links within it? **Answer in fewer than 200 words**.

Social media dialogue exists already and has from time to time instigated small surveys to understand local requirements.

A number of social media channels have enabled greater public contact.

• Please summarise the relevant experience of the group or its members in activities related to the provision of social gain or other relevant non-broadcast areas (such as third sector, training or education). **Answer in fewer than 200 words**.

The Board of Directors comprises members experience in volunteer work with Third Sector, charitable links, education and an aggregate of experience via their career paths.

Participation

How do you propose to ensure that members of your target community(ies) are given opportunities to participate in the operation and management of the service? Answer in fewer than 400 words.

This facility exists at present. The majority of our team have volunteered and been trained via the station. We have scheduled adverts inviting members of the community to get involved.

Accountability

• How will members of your target community contact your service and influence its operation? **Answer in fewer than 300 words**.

Physically by access to the studio on appointment, via mail, telephone, email and social media. A mobile broadcast unit that operates within the community at public events also offers the community access to members of the station team.

 How will suggestions and/or criticisms from members of your target community(ies) be considered and acted upon? Answer in fewer than 300 words

The station has a website with full contact details for community involvement and is regularly checked for any comment. The email address is also on the website for contact.

Draft Key Commitments

Below is an example of the licence annex where the Key Commitments appear. Should a licence be awarded, the entries you provide below will form the Key Commitments section of your licence. Holders of a community radio analogue licence that is to be simulcast, or a corresponding service, are expected to provide key commitments that are in line with their existing service(s). As such, applicants may refer to the existing key commitments of the relevant simulcast or corresponding service(s) to ensure that the draft below is in line with those of the existing service(s).

Please provide entries where specified in **BOLD** below. The information you enter here should reflect your answers to Sections 3 and 4 of the Part A of your completed Application Form. This will form the basis of your Key Commitments alongside the mandatory text in italics. Do not amend the text in italics as every service is required to comply with these requirements, but the details of how each service does so do not need to be included in the Key Commitments.

ANNEX TO LICENCE

<u>Licensed Service</u>	Service Description	<u>Transmission</u> <u>Schedule</u>	Multiplex
	Radio Warrington is a radio service intended to		
	serve		
	The community in		Warrington, Widnes and Runcorn DAB
			<u>Ltd</u>
	<u>Warrington by</u>		
	serving the whole community of Warrington regardless of age, faith, sexuality		
	or ability, to provide a service which informs, includes, educates and entertains. To encourage community involvement and carry		
	out outside broadcasts from local events.		
	6The studio of the Licensed Service is located within the coverage area of the Small-Scale Radio Multiplex Service identified above (n.b.		
Radio Warrington	the Licensee will not be in breach of this requirement if an existing studio ceases to fall		
	within the coverage area merely as a result of technical changes to the Small-Scale Radio		
	Multiplex Service outside the control of the Licensee).		
	The Licensed Service shall have the characteristics of a Community Digital Sound		
	Programme Service as set out in the 2019 Order and, in so doing, shall achieve the following objectives:		
	the facilitation of discussion and the expression of opinion,		
	the provision (whether by means of programmes included in the service or otherwise) of education or training to individuals not employed by the person providing the service, and		
	the better understanding of the particular community and the strengthening of links within it.		

Members of the target community shall contribute to the operation and management of the service.

The service shall have mechanisms in place to ensure it is accountable to its target community in the specific area or locality.

Compliance of the service

About this section

This section asks you to describe the compliance arrangements for the proposed licensed service, i.e. the arrangements which the applicant will put in place to ensure that the content it proposes to broadcast will comply with the relevant regulatory codes and rules for programming and advertising. These include:

- The Ofcom Broadcasting Code
- The BCAP Code: the UK Code of Broadcast Advertising
- The Phone-paid Services Authority Code of Practice

Condition 17 of a C-DSP licence requires that you have compliance procedures in place, and this section asks that you demonstrate your ability to meet this licence condition.

Before completing this section of the form you should read Section 4 of the C-DSP guidance notes, where you will also find links to the codes and rules listed above.

Please give details of all compliance training (including dates) the person named in response to either question 2.3 or 2.5 in Part B (i.e. the individual who holds overall responsibility for compliance of the service) has received in the relevant codes and rules (for example, those referred to in the box at the start of this section).

As part of joining the station team, members are served with comprehensive documents with explanation of the rules and regulations of broadcasting codes.

The studio has copies of such documentation on display.

• Please give details of any practical compliance experience (including dates) the person named in response to either question 2.3 or 2.5 in Part B (i.e the individual who holds overall responsibility for compliance of the service) has with respect to the relevant codes and rules.

As part of the AM licence procedures, with regard to broadcasting codes, training has been given to all volunteers since 2016 to present. This training is ongoing.

The compliance member Paul T Middlehurst, Director.

For each role within your compliance team please provide job title and a brief description
of the functions of the role specific to ensuring compliance of the proposed service. Please
do not give names of individual members of staff – this question relates to job roles rather
than currently employed individuals.

With such a small team the technical director having the sole responsibility for all functions within compliance to codes etc.

• How does the applicant intend to formally train staff in compliance procedures? Please include details of the compliance training that will be given to those responsible for live programming, including compliance staff, presenters and producers.

Via verbal and documentation on joining the organisation

• Will the training described in response to question 4.5 be mandatory for all staff and volunteers? If not, outline who will receive it.

Yes

 It is a licence requirement that a licensee must ensure that all programming on its service (broadcast at any time of the day or night) complies with Ofcom's codes and rules (e.g. Ofcom's Broadcasting Code, which sets requirements on standards to be observed in programme content for the protection of the public). Set out in detail below the systems the applicant intends to have in place to ensure it
will be able to comply with the codes and rules when the service is broadcasting live
content. Your response should include details of what you will do to prepare presenters
and guests pre-broadcast and the process for ensuring that any non-complaint content
is dealt with swiftly during the broadcast.

A full schedule of regular programming is automatically loaded and via the appropriate broadcasting system (Playout One) which the presenters adhere to. As previously mentioned all presenters have the station's code of conduct documentation which ensures that Ofcom rules and codes are adhered to. The programmes are monitored on a regular basis by the station manager to ensure compliance.

• Set out in detail below how the applicant intends to ensure that pre-recorded material will comply with Ofcom's codes and rules. Pre-recorded content could include, for example, material obtained from, or streamed from, third party sources as well as content produced by the licensee.

Content is monitored on a regular basis and permission has to be obtained from the station manager as to the content of individual programmes.

• Please set out how you will ensure ongoing compliance with your Key Commitments that relate to what you will broadcast on the station, including how you will monitor that these are being delivered e.g. who will be responsible for monitoring this, how often will they monitor it, how you ensure this information is published.

The Board regularly meet to discuss the station's key commitments and its compliance to them

• Please set out how you will ensure ongoing compliance with your Key Commitments that relate to the station's off-air social gain activities, including how you will monitor that these are being delivered e.g. who will be responsible for monitoring this, how often will they monitor it, how you ensure this information is published.

The Board members regularly meet with local organisations to arrange the profiling on air of third sector and local community activities and relevant broadcasting content.

• What language(s) does the applicant intend to broadcast in?

English

• For each language listed in response to question 4.9 please provide details of how many compliance team member(s) are fluent in each language and will be responsible for ensuring that content broadcast in that language complies with the Ofcom's code and rules. Please do not give names of individual members of staff.

Declaration

About this section

This form must be submitted by the applicant named in response to question 2.2. An agent may not sign the form.

The person authorised to make the declaration on behalf of the applicant must print their name and must be one of the following:

- A director of the company or the company secretary where the applicant is a company.
- A designated member where the applicant is a Limited Liability Partnership.

The declaration must also be dated.

- I hereby apply to Ofcom for the grant of a licence for the community digital sound programme service described above and declare that the information given in this application form is, to the best of my knowledge and belief, correct.
- I further declare and warrant:
 - that I am not a disqualified person within the meaning of that expression as defined in Part II of Schedule 2 to the Broadcasting Act 1990, as amended, or as a result of a disqualification order under Section 145 of the Broadcasting Act 1996;
 - that having made all reasonable enquiries neither the applicant nor any person
 controlling the applicant, as a result of the grant to me of the licence, breach any
 requirement of Schedule 14 to the Communications Act 2003 with regard to the
 accumulation of interests in broadcasting services or to the restrictions on cross-media
 interests; and
 - that the applicant is not disqualified by virtue of the provisions of section 143 (5) of the

- Broadcasting Act 1996 in relation to political objects and the provisions of section 144
 (3) of the Broadcasting Act 1996 in relation to the provision of false information or through the withholding of information with the intention of misleading Ofcom; and
- that no director or person concerned directly or indirectly in the management of the applicant is subject to a disqualification order as defined by section 145 (1) of the Broadcasting Act 1996.
- I understand that Ofcom reserves the right to revoke the licence (if granted) if at any time any material statement made to Ofcom is found to be false and to have been by the applicant or any member or officer thereof knowing it to be false. I also understand that under sections 144 and 145 of the Broadcasting Act 1996, the provision of false information could incur a criminal conviction and a disqualification from the holding of a Broadcasting Act licence. I further certify that, to the best of my knowledge, any matters which might influence Ofcom's judgement as to whether the directors and any other individuals and/or bodies corporate with substantial involvement in this application are fit and proper persons to participate in a radio licence, have been made known to Ofcom.

Full name (BLOCK CAPITALS) of the applicant or person authorised to make the application of behalf of the applicant:

STEPHEN NEIL RAKESTRAW

Date of application:

22/06/2023

I am authorised to make this application on behalf of the applicant in my capacity as (delete as appropriate):

Company director

You also need to complete the confidential section (Part B) of the application form