

# **Community Digital Sound Programme**

# (C-DSP) licence

Application form – Part A (public)

Name of applicant (i.e. the body corporate that will hold the licence):

Community Radio Swindon Limited

Proposed service name:

Swindon 105.5

Radio multiplex service(s) on which the proposed C-DSP service is to be provided (note this must be a small-scale multiplex area either previously advertised or <u>currently being advertised</u> by Ofcom as shown in the multiplex licence advertisement)

Swindon and Marlborough

Public contact details (i.e. Contact name and/or company name, company address, telephone number(s) and email):

Shirley Ludford Swindon 105.5 Radio Studios, Liden Centre, Barrington Close SWINDON SN3 6HF info@swindon1055.com Telephone: 01793 611555

Publication date: 1 June 2021

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# 4. Overview

You should complete this form if you are applying for a community digital sound programme licence ("C-DSP"). You can find further information about C-DSP services in the <u>guidance notes for licensees</u> <u>and applicants</u>.

This application form is divided into two parts – **Part A** (which we will publish on our website) and **Part B** (which will be kept confidential). This document constitutes Part A; <u>Part B of the application</u> form is available on our website.

If you encounter any issues using these forms, please contact <a href="mailto:broadcast.licensing@ofcom.org.uk">broadcast.licensing@ofcom.org.uk</a>.

# The purpose of this form

- 4.1 You should complete this form if you are applying for a Community Digital Sound Programme (C-DSP) licence.
- 4.2 A digital sound programme service intended for broadcast by means of a local or smallscale radio multiplex service requires either a C-DSP licence or a local DSP licence. Ofcom's published guidance notes set out some of the key issues that potential applicants need to consider in deciding which type of licence is suitable for them. In summary, C-DSP services are not run for financial gain and are required to provide social gain. C-DSP licences therefore include strict conditions to ensure that happens, and provide less flexibility than a local DSP licence. However, they do provide access to capacity that small-scale radio multiplex service providers are required to reserve solely for C-DSP services.
- 4.3 A C-DSP licence will be required even if the same programme service is also provided on any other platforms (e.g. FM, satellite), as separate licences are required for those.
- 4.4 As noted above, small-scale radio multiplex services will have reserved capacity for C-DSP services. Issue of a C-DSP licence does not, however, guarantee carriage on a small-scale (or local) radio multiplex service. That is a matter for agreement between the C-DSP licensee and the multiplex service provider, and there may be more C-DSP licences issued in a locality than there are reserved slots on the small-scale radio multiplex service. Note that a C-DSP service does not necessarily have to broadcast using reserved capacity. It can use unreserved capacity on a small-scale radio multiplex service or capacity on a local radio multiplex service, again subject to agreement with the multiplex service provider.
- 4.5 An application for a C-DSP licence will be accepted only once Ofcom has advertised the licence for the small-scale radio multiplex service upon which the proposed C-DSP service is intended to be provided. There is no closing-date by which an application for a C-DSP licence must be submitted (i.e. it can be submitted at any time after the licence for the relevant small-scale radio multiplex licence has been advertised).
- 4.6 You can find further information about how to determine if a service requires a C-DSP licence in Section 2 of the guidance notes for applicants and licensees.

# **Provision of information**

- 4.7 Ofcom requires complete and accurate information to assess applications. This is so that we can assess your application against statutory criteria, consider whether those involved in the body applying for a licence are 'fit and proper' to hold a licence, and determine whether their involvement with other organisations disqualifies them from participation in a licence.
- 4.8 It is an offence under the Broadcasting Act 1996 (as amended) to provide false information or withhold relevant information during the application process, and may be grounds for revocation of a licence subsequently granted.

## Publication of information about applications and licensed services

- 4.9 Information provided in **Part A** of the application form will typically be published by Ofcom in our Monthly Radio Update publication the month following the submission of your application. This may take longer if the application is received late in the month. Information provided in **Part B** will not be published.
- 4.10 In submitting this application you agree that, should a licence be granted, Ofcom may publish contact details for the licensee (specified in Section 2 of Part B of the application form), which may include personal data, on the Ofcom website and/or in other relevant publications. If you have any questions about the information that we publish, or there are any changes to this information, you should contact the Broadcast Licensing team by email (broadcast.licensing@ofcom.org.uk).
- 4.11 Ofcom considers issued C-DSP licences to be public documents and copies of licences will be made available to third parties on request albeit, other than the Key Commitments which are tailored to the service, C-DSP licences are standard form documents. A brief description of the licensed service will be published on the Ofcom website, along with the Key Commitments which form part of the licence.
- 4.12 Ofcom publishes a <u>monthly radio licensing update</u> which lists new services licensed, new applications, licences revoked, licence transfers, and changes to licensed services during the past month.

## **Data protection**

4.13 We require the information requested in this form in order to carry out our licensing duties under the Broadcasting Act 1990, Broadcasting Act 1996 and Communications Act 2003.
Please see Ofcom's <u>General Privacy Statement</u> for further information about how Ofcom handles your personal information and your corresponding rights.

# Keeping up to date with broadcasting matters

- 4.14 We strongly recommend that the appropriate person at the applicant body signs up to receive Ofcom's regular email updates on broadcasting matters including notification when the Broadcast and On Demand Bulletin is published.
- 4.15 To sign up to receive these communications, you must visit <u>the email updates area of our</u> website and select 'Broadcasting.'

# 5. Applicant's details

#### About this section

In this section we are asking you for details about the applicant company. This must be a body corporate which is not profit distributing.

In the first part of this section, we are asking for basic details about the applicant. These include company registration number and contact information.

In the second part of this section we are asking for details of the applicant's officers (directors or, in the case of LLPs, designated members), its shareholders and participants. Where applicable, we are also asking for details of the officers of the applicant's parent and associated companies or LLPs etc.

If any of the individuals named in your responses are known by more than one name/version of their name, all names must be provided.

Certain persons are disqualified from holding a C-DSP licence. This section asks the questions which enable us to consider this for those types of disqualification which apply specifically to bodies corporate. It also asks questions which are relevant to our assessment of the applicant's fitness and properness to hold a C-DSP licence.

Before completing this section of the form, you should read <u>Ofcom's guidance on the def-</u> <u>inition of 'control' of media companies</u>. Throughout this section, "control" has the meaning it is given in Part I of Schedule 2 of the Broadcasting Act 1990.

The response boxes and tables should be expanded or repeated where necessary, or provided in a separate annex.

'Officerships' in this section refers to: directorships of bodies corporate, designated memberships of LLPs, or membership of a governing body of an unincorporated association (including partnerships).

# **Applicant information and contact details**

5.1 Name of applicant (i.e. the body corporate that will hold the licence):

Community Radio Swindon Limited

5.2 Company registration number stated on Companies House:

05811275

5.3 For UK registered companies, the address of the applicant's registered office stated on Companies House.

For non-UK registered companies, the principal office address:

Radio Studios

Liden Centre, Barrington Close

SWINDON

SN3 6HF

5.4 If a UK registered company, is the **current** Memorandum and Articles of Association document available on the Companies House website?

Yes

#### If no, please submit the up to date document and indicate you have done so in the checklist in Section 4 of Part B.

5.5 Contact details of the individual duly authorised by the applicant for the purposes of making this application. This individual should be the company secretary, a director or (if an LLP) designated member.

(If you are an agent completing the form on behalf of the applicant please do not enter your details here – see paragraph 2.25 of the <u>guidance notes</u>).

Full name	Robert Jandy
Job title	Director
Address	The Liden Centre
	Barrington Close
	SWINDON
	SN3 6HF
Telephone	Telephone: 01793 611555
Mobile	07775 602989
phone	
Email	robert.jandy@swindon1055.com

5.6 If the proposed Licensed Service has/will have a website, please provide the website address below.

www.swindon1055.com

5.7 How will the service be financed? If the applicant is receiving, or is likely to receive, any form of funding and/or financial assistance to establish and maintain the service, please provide details of who is providing that funding/financial assistance and the extent of it.

If you are receiving funding from, or on behalf of, a source that could be considered a political organisation or a religious body, you must set out the nature of that organisation here. The Station's service is financed by grants (eg Wiltshire Community Foundation), Donations (eg individuals and Organisation, including annual Zurich Community Trust grant), fundraising activities, training courses, advertising.

# Ownership and control of the company which will hold the licence

## Details of officers, participants and shareholders of the applicant

5.8 Complete the following table, expanding it if necessary, to provide the following details for each director or designated member of the applicant (i.e. the body corporate that will hold the licence):

Full name of individ- ual	Correspondence address <sup>1</sup>	Country of residence	Other officerships held (and nature of the business concerned)	Other employ- ment
Robert JANDY	31 Hatherall Close Stratton St. Margaret SWINDON SN3 4LQ	United King- dom	Director, Swindon Dance	Senior Man- ager, Swindon Dance
Amelia Jane BISHOP	14 Addison Crescent SWINDON SN2 7JX	United King- dom	Director, Weenie Fiats Limited	
Jane Eliza- beth HEN- DERSON	Swindon 105.5 The Liden Cen- tre		Director, Cat Construc- tion UK Limited	

<sup>&</sup>lt;sup>1</sup> This should be the same address as is held and published by Companies House.

Barrington Close		
SWINDON		
SN3 6HF		

5.9 Complete the following table, expanding it if necessary, to list all bodies which hold or are beneficially entitled to shares, or who possess voting powers, amounting to more than 5% in the applicant ("participants"). If you are unable to provide a complete answer to this question in relation to beneficial owners, please state whether you have any reason to suspect the existence of any beneficial owners.

Full name of >5% partici- pant (existing and pro- posed)	Number of shares	Total invest- ment (£s)	Total invest- ment (%)	% of voting rights
N/A				
Comments				
Community Radio Swindon Limited is a company limited by guarantee.				

5.10 Complete the following table, expanding if necessary, to identify any entities with which the applicant is affiliated. By affiliated, we mean companies that are related through ownership, either with one company being a minority shareholder in the other, or through multiple companies being owned by a third party.

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of the entity	Address
N/A	

5.11 Complete the following table, expanding it if necessary, to list any bodies corporate which are controlled by the applicant, and their affiliates:

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of entity	Address	Affiliates
N/A		

#### Details of persons who control the applicant

5.12 Complete the following table, expanding it if necessary, to list all persons who control the applicant, together with their affiliates. If any persons or bodies control the applicant jointly because they act together in concert (e.g. because of a shareholder's agreement), each such person must be identified here:

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of individ- ual or body	Address	Affiliates
N/A		

5.13 Complete the following table, expanding it if necessary, to list all officerships in other bodies that are held by any individual listed in response to question 2.12, and any affiliates of those bodies. An "officership" refers to being a director of a body corporate, designated member of a limited liability partnership, or member of the governing body of an unincorporated association:

(If this question is not applicable to the applicant please respond "N/A" in the table)

Full name of individual	Name of body in which of- ficership held	Affiliates of that body
Robert JANDY	Swindon Dance (National Dance Agency)	
Amelia BISHOP	Weenie Fiats	
Jane HENDERSON	Cat Construction UK	

5.14 Complete the following table, expanding it if necessary, to list all bodies corporate which are controlled by any body corporate listed in response to question 2.12, and their affiliates:

(If this question is not applicable to the applicant please respond "N/A" in the table)

Full name of body corpo- rate listed in 2.11	Body corporate controlled	Affiliates of body corporate controlled
N/A		

5.15 In relation to each body corporate identified in response to question 2.12, complete the following table, expanding it if necessary, to list all bodies which hold or are beneficially entitled to shares, or who possess voting powers, amounting to more than 5% in the body corporate concerned (i.e. "participants"). You may, but are not required to, exclude from this table any bodies listed in response to question 2.12. If you are unable to provide a complete answer to this question in relation to beneficial owners, please state whether you have any reason to suspect the existence of any beneficial owners.

(If this question is not applicable to the applicant please respond "N/A" in the table)

Name of body corporate identified in response to question 2.11				
Full name of >5% partici-	Number of	Total invest-	Total invest-	% of voting
pant	shares	ment (£s)	ment (%)	rights
N/A				
Comments				

## Involvement of the applicant in specified activities

5.16 Please state below whether the applicant, or any of the directors, shareholders or other individuals named above, including their associates (i.e. directors of their associates and other group companies), is, or is involved in, any of the below, and the extent of that interest.

Activity/involvement	Yes or No	Please state who is involved; the name of the body/individual/agency they are involved with; and the extent of their involvement
A local authority	No	

A body whose objects are wholly or mainly of a political nature, or which is affiliated to such a body	No	
A body whose objects are wholly or mainly of a religious nature; <sup>2</sup>	No	
An individual who is an officer of a body falling within (b) or (c);	No	
A body corporate which is an associate (as defined in para- graphs 1(1) and 1(1A) of Part I of Schedule 2 to the Broad- casting Act 1990) of a body falling within (b) or (c);	No	
An advertising agency or an associate of an advertising agency	No	

## Details of applications, licences and sanctions

5.17 Is the applicant (i.e. the body corporate that will hold the licence) a current licensee of Ofcom?

#### Yes

If yes, please provide the licence details expanding the table if necessary:

Licence num- ber	Name of service or multiplex
CR000119	Community Radio Swindon / Swindon 105.5

<sup>&</sup>lt;sup>2</sup> Please refer to Sections 3 to 5 of <u>Ofcom's religious guidance note</u> for details on how we determine the eligibility of religious bodies to hold certain broadcasting licences.

5.18 Has the applicant (i.e. the body corporate that will hold the licence) held an Ofcom broadcasting licence before?

#### Yes

If yes, please provide the details expanding the table if necessary:

Licence number	Name of service or multiplex
CR000119	Community Radio Swindon / Swindon 105.5

5.19 Has anyone involved in the proposed service held an Ofcom broadcasting licence or been involved in an Ofcom-licensed broadcast service before?

#### Yes

If yes, please provide the details expanding the table if necessary:

Dates licence was held or dates of in- volvement	Licence num- ber (if known)	Name of service or multiplex
2008-Present And continu- ing	CR000119	Community Radio Swindon / Swindon 105.5

5.20 Does the applicant (i.e. the body corporate that will hold the licence) control an existing Ofcom licensee?

No

If yes, please provide the licence details expanding the table if necessary:

Licence number	Name of service or multiplex

5.21 Is the applicant (i.e. the body corporate that will hold the licence) controlled by an existing licensee or by any person who is connected (within the meaning of Schedule 2 to the Broadcasting Act 1990) with an existing licensee (i.e. as a "participant")?

#### No

If yes, please provide the following information, expanding the table if necessary:

Licence number	Name of service or multiplex

5.22 Has the applicant – or any person(s) controlling the applicant - made any other application to Ofcom (or its predecessor broadcast regulators – the Independent Television Commission and the Radio Authority) for any licence which has since been surrendered by the licensee or revoked by Ofcom (or one of its predecessor regulators)?

#### No

If yes, please provide the following information, expanding the table if necessary:

Licence number	Name of service or multiplex

5.23 Is the applicant – or any person(s) controlling the applicant - subject to any current or pending investigation by any statutory regulatory or government body in the United Kingdom or abroad in respect of any broadcast-related matter?

#### No

If yes, please provide the following details expanding the table if necessary:

Licence number (or equivalent)	Name of service or multiplex	Details of the investigation

5.24 Has the applicant – or any person(s) controlling the applicant – ever been subject to a statutory sanction for contravening a condition of a broadcasting licence in the UK or any other jurisdiction?

No

If yes, please provide the following details relating to each sanction expanding the table if necessary:

Licence number (or equivalent)	Name of service or multiplex	Nature of the breach	Sanction im- posed	Date sanction imposed

5.25 Has the applicant – or any person(s) controlling the applicant – ever been convicted of an unlicensed broadcasting offence?

#### No

If yes, please provide the following details:

Full name	Date of conviction/action (dd/mm/yy)	Penalty

5.26 Please provide any further information you hold, relating to the past conduct of the applicant or those individuals listed, in regulatory matters or in matters going to honesty and/or compliance, which may be relevant to Ofcom's consideration of whether or not the applicant is fit and proper to hold a broadcast licence. If the applicant or the form signatory fails without reasonable excuse at this point to declare any matter of which Ofcom subsequently becomes aware, and which we do consider to be relevant to the applicant's eligibility to hold a licence, we will take it into account in determining the question of whether the applicant/licensee remains fit and proper to hold a licence.

If you have no information to provide, please respond "N/A".

N/A

# 6. The proposed service

#### About this section

This section asks you to describe your proposed service, including the Key Commitments you propose to include in your licence. This includes your service name, multiplex name and character of service, in addition to standard commitments that all C-DSP licensees need to abide by. Holders of an existing analogue community radio licence to be a simulcast on the proposed C-DSP service can replicate the existing analogue key commitments as it is our expectation that the key commitments for simulcast services are to be in keeping with one another. If a licence is granted, the information you provide in this section will be used to form the basis of the annex to your licence. You will only be authorised to broadcast what is detailed in the annex of the licence.

In this section, you will also need to set out how your service will provide social gain, community participation and how you will be accountable to the target community. This is in line with statutory requirements for the granting of C-DSP licences. **The information pro**vided in this section is also the basis on which decisions are made.

If you hold, or intend to hold, multiple C-DSP licences, the answers given in this section and the intended delivery of your Key Commitments must apply to the locality in which your proposed service will broadcast (as set out in the Draft Key Commitments in this application form).

## Your proposed service and target community

6.1 What is the proposed service name?

Swindon 105.5

6.2 On which radio multiplex service do you intend to broadcast? If the relevant radio multiplex licence has not yet been awarded, please state the name of the area that the multiplex service is intended to cover, as defined in the multiplex licence advertisement.

Swindon and Marlborough

6.3 Where is your proposed studio located? Please note that this must be located within the coverage area of the small-scale radio multiplex service identified in answer to 5.2 (or the advertised area for a small-scale radio multiplex service that has not yet been awarded).<sup>3</sup>

<sup>&</sup>lt;sup>3</sup> If you propose to provide your service on a local, rather than a small-scale, radio multiplex service, there is no requirement for your studio to be located within the licensed area of that local radio multiplex service.

The Liden Centre Barrington Close SWINDON SN3 6HF

6.4 If the proposed service is a simulcast or corresponding service of an existing licensed radio service, please list that below and provide the licence number.

CR000119

6.5 What is the target community of the service? Please include the geographical area that you wish to serve in addition to the interests or characteristics that define your target community. The area you wish to serve must be geographically located within the coverage area of the radio multiplex service on which you intend to broadcast. **Answer in fewer than 400 words**.

Swindon 105.5 provides a diverse and accessible service for the citizens of Swindon. It promotes the cultural, spiritual, social and economic life of the town through broadcasting a range of items that reflect local interest in community information, the arts, education and music.

6.6 How will you ensure that your proposed C-DSP service is run on a not-for-profit basis? Please give details of specific measures or arrangements in place to ensure this, and how any profit will be wholly and exclusively used for securing or improving the future provision of the service, or for the delivery of social gain. **Answer in fewer than 400 words.** 

Community Radio Swindon Limited is formed as a company limited by guarantee, with Articles of Association which require any surplus to be reinvested in the radio station and it's directly related activities.

## Social gain

6.7 What community benefits will your service bring to your target community(ies) and, if applicable, the general public. Please include summaries of evidence to support your answer,

including details about other organisations you intend to work with. Answer in fewer than 500 words. Please do not provide names of individuals in your answer.

\*Providing a voice for individuals and communities, including those furthest from education or employment. Some examples:-

eg: young wheelchair users with terminal conditions

eg: programmes presented by visually impaired team, young autistic male, adults with mental health

\*DWP: Training placements for unemployed individuals.

\*Parish Council representatives: training and regular community updates

\*Local and Regional Schools and Colleges: student placements.

\*Ethnic programming, eg previously by the Tamil community. Spanish/South American representatives, Black African females.

\*Regular programmes by males. By female teams. By women previously in abusive relationships.

\*Individuals representing a range of specific music genres.

\*Stage Struck: Children had their own Saturday Show for 6 years (until the pandemic)

\*Out and about in the community, supporting local community events.

\*Swindon Borough Council: Local information. Interviews. Separately three elderly males each living alone, learnt to present a weekly programme.

\*Swindon Domestic Abuse Refuge: young people on short-term projects.

\*Businesses: programmes with topical business conversation and information.

\*Police: Regular updates, interviews, studio discussion...

6.8 Please summarise how your service will facilitate discussion and the expression of opinion. Answer **in fewer than 200 words**.

Continuing the pre-existing policy of SWINDON 105.5 of 16 years. Programmes by and with local people. Dedicated programming. Open Door policy for involvement and participation.

# 6.9 How will you ensure that members of your target community(ies) can gain access to the facilities used to provide your service, and receive training in using these? In particular, please set out how this will be done practically, formally and/or informally. **Answer in fewer than 400 words.**

Maintaining our open-door, totally accessible and inclusive policy of 16 years, which has seen thousands of people of all ages and ability coming to and through the Radio Station.

Offering training to individuals, groups and communities at our studios. We have three studios and a large Production Office and offer practical sessions out in the community.

Positive meetings already held with such as: Marlborough College, Marlborough businesses, Swindon Polish community, Swindon Borough Council, Asian community re specific cultural involvement.

We have very many examples to share, from young people with autism to retired people with key skills; volunteers and programme participation from a wide range of cultural and ethnic groups, educational placements.

6.10 How will your service provide better understanding of your target community and the strengthening of links within it? **Answer in fewer than 200 words** 

Community-led programming. On air discussion and profiling local interests, initiatives, concerns. Training initiatives, to meet individual needs and interests.

6.11 Please summarise the relevant experience of the group or its members in activities related to the provision of social gain or other relevant non-broadcast areas (such as third sector, training or education). Answer in fewer than 200 words.

\*Sixteen years of delivering a totally accessible and inclusive service, led by a long-term Industry Professional with Commercial, Community and BBC experience.

\*Policy of all trained volunteers supporting others.

\*Projects delivered through such as: Community First, Voluntary Action Swindon, longterm projects with young people with autism.

\*Dedicated placements for long-term unemployed through the DWP.

\*Thousands of individual examples, including:-

\*Successful projects supporting young people including: a young person who had been an African selective mute; training a totally paralysed 20 year old to gain a BBC apprenticeship as the most disabled person taken on.

\*Programmes presented by 3 isolated male pensioners.

\*Weekly literary session for older people, whose work is broadcast on air.

\*Older people with Parkinsons.

\*Weekly programme by visually impaired team.

Queens's Awards (2014) and Prince of Wales Award (2023) received for our service to and support for our communities. High Sheriff's Award (2014) for our Station Manager.

# **Participation**

6.12 How do you propose to ensure that members of your target community(ies) are given opportunities to participate in the operation and management of the service? Answer in fewer than 400 words. Volunteers with SWINDON 105.5 are actively encouraged to participate in any of the range of areas of the Station's running and activities of interest to them.

All areas are volunteer-led and have been since launching in 2008.

We have an open policy of encouraging comment, sharing ideas, addressing concerns, as well as active participation in all areas.

Participation from representatives of many cultural groups.

# Accountability

#### 6.13 How will members of your target community contact your service and influence its operation? **Answer in fewer than 300 words.**

We will continue to be contacted by:

Phone (and people can reach the Station Manager and other departments when Station Admin is not manned, reaching individual mobile phones)) Email.

Clicking on a link arrow on our mobile app and website, so not needing a number or email address (free)

Calling in to the studios for a conversation.

Coming in to the studios.

We actively encourage (on-air mentions) listener comment, views, and suggestions of subject matter to cover/to be personally involved in a programme – or in training to be part of the Station.

6.14 How will suggestions and/or criticisms from members of your target community(ies) be considered and acted upon? **Answer in fewer than 300 words**.

We listen to, read and respond to all communications swiftly.

Any emails of information about community events, asking for publicity – are always acknowledged, so the sender knows they've been received and what will happen.

All suggestions of programme material are acknowledged and are passed to the appropriate Presenter or the Station Manager. The contact can be invited in for a conversation.

Any call of criticism is reviewed and responded to by the Station Manager by phone or email as appropriate.

# **Draft Key Commitments**

Below is an example of the licence annex where the Key Commitments appear. Should a licence be awarded, the entries you provide below will form the Key Commitments section of your licence. Holders of a community radio analogue licence that is to be simulcast, or a corresponding service, are expected to provide key commitments that are in line with their existing service(s). As such, applicants may refer to the existing key commitments of the relevant simulcast or corresponding service(s) to ensure that the draft below is in line with those of the existing service(s).

Please provide entries where specified in **BOLD** below. The information you enter here should reflect your answers to Sections 3 and 4 of the Part A of your completed Application Form. This will form the basis of your Key Commitments alongside the mandatory text in italics. Do not amend the text in italics as every service is required to comply with these requirements, but the details of how each service does so do not need to be included in the Key Commitments.

#### ANNEX TO LICENCE

#### LICENSED SERVICE NO

tbc

Licensed Service	Service Description	Transmission Sched- ule	Multiplex
Swindon 105.5	Swindon 105.5 provides a diverse and accessible service for the citizens of Swindon. It promotes the cultural, spiritual, social and economic life of the town through broadcasting a range of items that reflect local interest in community information, the arts, education and music.	24 hours, 7 days	Swindon and Marl- borough

The service provides a range of community benefits (social gain objectives mandated by statute) for the target community, both on-air and off-air, and in doing so, achieves the following objectives:	
* the facilitation of discussion and the expression of opinion,	
* the provision (whether by means of programmes included in the service or otherwise) of education or training to individuals not employed by the person providing the service, and	
* the better understanding of the particular community and the strengthen- ing of links within it.	
Members of the target community contribute to the operation and manage- ment of the service.	
The service has mechanisms in place to ensure it is accountable to its target community.	

# 4. Compliance of the service

#### About this section

This section asks you to describe the compliance arrangements for the proposed licensed service, i.e. the arrangements which the applicant will put in place to ensure that the content it proposes to broadcast will comply with the relevant regulatory codes and rules for programming and advertising. These include:

- The Ofcom Broadcasting Code
- The BCAP Code: the UK Code of Broadcast Advertising
- The Phone-paid Services Authority Code of Practice

Condition 17 of a C-DSP licence requires that you have compliance procedures in place, and this section asks that you demonstrate your ability to meet this licence condition.

Before completing this section of the form you should read Section 4 of the <u>C-DSP guid-ance notes</u>, where you will also find links to the codes and rules listed above.

4.1 Please give details of all compliance training (including dates) the person named in response to either question 2.3 or 2.5 in Part B (i.e. the individual who holds overall responsibility for compliance of the service) has received in the relevant codes and rules (for example, those referred to in the box at the start of this section).

Annual update review of Ofcom Broadcast Codes by the Station Manager.

Carried out in March, alongside the broadcast anniversary and licence renewal date for the Station.

Key areas are shared with all Presenters.

New trainees/volunteers are taught these as part of their training.

4.2 Please give details of any practical compliance experience (including dates) the person named in response to either question 2.3 or 2.5 in Part B (i.e the individual who holds overall responsibility for compliance of the service) has with respect to the relevant codes and rules. The Station Manager has overseen the operation of Swindon 105.5 for 17 years, including in training of Presenters during the year prior to launch.

Training updated during her years in Commercial, BBC and Community Radio as a Presenter, Producer and Station Manager.

Presenters are given protocol reminders ahead of each election.

Any concern of a comment made in a live programme or in a recording is immediately referred to the Station Manager to check. If live, Presenters are trained to make an apology and swiftly move on should they feel anything inappropriate is said, and the Station Manager is informed to check regarding any appropriate action.

Phone/communication-wise, no premium rate numbers used. Callers to programmes are called back. A free 'click a link on our mobile app or website' is encouraged to be used to contact the Station.

4.3 For each role within your compliance team please provide job title and a brief description of the functions of the role specific to ensuring compliance of the proposed service. Please do not give names of individual members of staff – this question relates to job roles rather than currently employed individuals.

The Board is ultimately responsible for ensuring the service is operated in compliance.

One Legal Adviser to the Board (not a Director) assists with reviewing and updating of all policies and compliance on an annual basis.

The Station Manager has operational responsibility and ensures that all staff and volunteers are aware of their responsibilities, are provided with appropriate training and understand the framework for compliance within the station.

4.4 How does the applicant intend to formally train staff in compliance procedures? Please include details of the compliance training that will be given to those responsible for live programming, including compliance staff, presenters and producers.

Prospective Presenters are given compliance training, creating an understanding, and tested on this as part of that training before they 'go live'.

All regular Presenters receive an annual reminder including any updated information.

4.5 Will the training described in response to question 4.5 be mandatory for all staff and volunteers? If not, outline who will receive it. Any staff member or volunteer involved with the presentation or production of output will receive compliance training, prior to starting their on-air role.

- 4.6 It is a licence requirement that a licensee must ensure that all programming on its service (broadcast at any time of the day or night) complies with Ofcom's codes and rules (e.g. Ofcom's Broadcasting Code, which sets requirements on standards to be observed in programme content for the protection of the public).
  - a) Set out in detail below the systems the applicant intends to have in place to ensure it will be able to comply with the codes and rules when the service is broadcasting live content. Your response should include details of what you will do to prepare presenters and guests pre-broadcast and the process for ensuring that any non-complaint content is dealt with swiftly during the broadcast.

Language: Presenters are trained to check any music not on our database before playing, for any inappropriate language.

Guests are advised of no-swearing, ahead of going live or recording.

If there is any concern about an item broadcast, an apology is to be made straight away, the Presenter moves on, or plays a track of music if a guest needs to be spoken with; and a call is made to the Station Manager, so the possible concern can be checked and addressed appropriately.

Presenters are trained to ensure content is fair and balanced.

All are reminded of upcoming purdah periods and to check intended guests, with purdah regulations followed.

Phone calls: we do not use premium rate numbers.

We encourage pressing the arrow on our mobile app or website – which sends free messages to our studio email address.

Anyone calling in to a programme is called back, saving their costs.

Purdah period information is given to all Presenters.

All Presenters are given a copy of key headings of the Broadcast Code.

b) Set out in detail below how the applicant intends to ensure that pre-recorded material will comply with Ofcom's codes and rules. Pre-recorded content could include, for example, material obtained from, or streamed from, third party sources as well as content produced by the licensee.

Presenters sign a Volunteers' Agreement confirming they have understood Station Policies and Broadcast regulations.

We do not stream material from third party sources.

We do have material broadcast by established Agencies offering pre-recorded interviews. These are reviewed and considered on relevance and appropriateness for broadcast.

Volunteers call the Station Manager regarding any checks felt necessary.

4.7 Please set out how you will ensure ongoing compliance with your Key Commitments that relate to what you will broadcast on the station, including how you will monitor that these are being delivered e.g. who will be responsible for monitoring this, how often will they monitor it, how you ensure this information is published.

Our key commitments have been adhered to and over-delivered on each year since launching our FM service.

Evidenced by all of those involved, the programmes produced, the hours of local content.

Features on programme and volunteer stories are promoted on air, in a monthly community magazine the Station Manager writes for, has been covered in business publications, promoted on the Station website and occasionally in local Press. A monthly Station eupdate is being re-started in the new year.

Monthly reports provided by the Station Manager for Board meetings.

Please set out how you will ensure ongoing compliance with your Key Commitments that relate to the station's off-air social gain activities, including how you will monitor that these are being delivered e.g. who will be responsible for monitoring this, how often will they monitor it, how you ensure this information is published.

Our key commitments have been adhered to and over-delivered on each year since launching our FM service. Evidenced by all of those involved, the programmes produced, the hours of local content.

Features on programme and volunteer stories are promoted on air, in a monthly community magazine the Station Manager writes for, has been covered in business publications, promoted on the Station website and occasionally in local Press. A monthly Station eupdate is being re-started in the new year.

Monthly reports provide by the Station Manager for the Station Board.

4.9 What language(s) does the applicant intend to broadcast in?

Primarily English.

4.10 For each language listed in response to question 4.9 please provide details of how many compliance team member(s) are fluent in each language and will be responsible for ensuring that content broadcast in that language complies with the Ofcom's code and rules.
Please do not give names of individual members of staff.

N/A

# 5. Declaration

#### About this section

This form must be submitted by the applicant named in response to question 2.2. An agent may not sign t**he form.** 

The person authorised to make the declaration on behalf of the applicant must print their name and must be one of the following :

- A director of the company or the company secretary where the applicant is a company.
- A designated member where the applicant is a Limited Liability Partnership.

The declaration must also be dated.

- 5.1 I hereby apply to Ofcom for the grant of a licence for the community digital sound programme service described above and declare that the information given in this application form is, to the best of my knowledge and belief, correct.
- 5.2 I further declare and warrant:
  - a) that I am not a disqualified person within the meaning of that expression as defined in Part II of Schedule 2 to the Broadcasting Act 1990, as amended, or as a result of a disqualification order under Section 145 of the Broadcasting Act 1996;
  - b) that having made all reasonable enquiries neither the applicant nor any person controlling the applicant, as a result of the grant to me of the licence, breach any requirement of Schedule 14 to the Communications Act 2003 with regard to the accumulation of interests in broadcasting services or to the restrictions on cross-media interests; and
  - c) that the applicant is not disqualified by virtue of the provisions of section 143 (5) of the Broadcasting Act 1996 in relation to political objects and the provisions of section 144 (3) of the Broadcasting Act 1996 in relation to the provision of false information or through the withholding of information with the intention of misleading Ofcom; and
  - d) that no director or person concerned directly or indirectly in the management of the applicant is subject to a disqualification order as defined by section 145 (1) of the Broadcasting Act 1996.
- 5.3 I understand that Ofcom reserves the right to revoke the licence (if granted) if at any time any material statement made to Ofcom is found to be false and to have been by the applicant or any member or officer thereof knowing it to be false. I also understand that under sections 144 and 145 of the Broadcasting Act 1996, the provision of false information could incur a criminal conviction and a disqualification from the holding of a Broadcasting Act licence. I further certify that, to the best of my knowledge, any matters which might influence Ofcom's judgement as to whether the directors and any other individuals and/or bodies corporate with substantial involvement in this application are fit and proper persons to participate in a radio licence, have been made known to Ofcom.

Full name (BLOCK CAPITALS) of the applicant or person authorised to make the application of behalf of the applicant:

**Robert JANDY** 

Date of application:

5<sup>th</sup> January 2023

I am authorised to make this application on behalf of the applicant in my capacity as (**delete as appropriate**):

Company secretary / company director / designated member (in the case of a Limited Liability Partnership)

# You also need to complete the <u>confidential section (Part B) of the application</u> <u>form</u>