SUPERSEDED VERSION: This unofficial consolidated version of the General Conditions of Entitlement was in force from 15 May 2023 to 30 September 2024. For the current unofficial consolidated version, please see the General Conditions of Entitlement page on Ofcom's website.



# **General Conditions of Entitlement**

**Unofficial Consolidated Version** 

Version with effect from: 15 May 2023

# About this document

On 19 September 2017, Ofcom revoked the general conditions of entitlement (the 'general conditions') set out in the notification published by the Director General of Telecommunications on 22 July 2003 with effect from 1 October 2018 and set revised general conditions with effect from the same date.

This is an unofficial consolidated version of the revised general conditions which incorporates, for ease of reference, all the modifications made to these conditions since September 2017 in a single document.

While every reasonable effort is made to ensure that the information provided in this document is accurate, no guarantees of the accuracy of information are made, and this document has no legal effect. Therefore, in relation to each specific amendment, you are advised to consult the relevant notifications, listed on the final page of this document, as these contain an explanation of the reasons for the decision to amend and the specific modifications that have been made.

For the avoidance of doubt, in the case of any difference between texts, the text set out in the notification of 19 September 2017 and any subsequent notification published on Ofcom's website shall take precedence over this unofficial version of the revised general conditions.

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# Legal basis for the General Conditions

# Schedule to the notification under section 48(1) of the Communications Act 2003

Under the regulatory regime set out in the Communications Act 2003, communications providers do not require a licence to operate in the UK, but can be made subject to conditions of general application. This approach of authorisation subject to general conditions reflects the common European regulatory framework for electronic communications services and networks. This document sets out the general conditions which all communications providers (or all communications providers of a particular description) must comply with if they wish to provide services in the UK.

Broadly, the general conditions fall into three main categories: conditions relating to network functioning requirements (Part A); numbering and other technical conditions (Part B); and consumer protection conditions (Part C).

# Definitions and interpretation relating to the conditions in this Schedule

The definitions set out at the end of this Schedule have effect except in so far as the context otherwise requires.

For the purpose of interpreting the Conditions in this Schedule:

- except in so far as the context otherwise requires, words or expressions shall have the meaning assigned to them in the Schedule and otherwise any word or expression shall have the same meaning as it has in the Act;
- b) headings and titles shall be disregarded;
- c) expressions cognate with those referred to in this Schedule shall be construed accordingly; and
- d) the Interpretation Act 1978 shall apply as if each of the Conditions in this Schedule were an Act of Parliament.

# Part A: Network functioning conditions

# A1 General network access and interconnection obligations

This condition requires all providers of public electronic communications networks to negotiate interconnection agreements with other network providers on request and requires all communications providers to respect the confidentiality of information obtained in connection with network access negotiations.

### Scope

- A1.1 The provisions of this **Condition** apply as follows:
  - (a) Condition A1.2 applies to any person who provides a Public Electronic Communications Network; and
  - (b) Condition A1.3 applies to any Communications Provider,

and each person to whom a provision applies is a 'Regulated Provider' for the purposes of that provision.

# Obligation to negotiate interconnection

A1.2 Any **Regulated Provider** shall, to the extent requested by any other provider of a **Public Electronic Communications Network**, negotiate with that provider with a view to concluding an agreement for **Interconnection** (or an amendment to an existing agreement for **Interconnection**) within a reasonable period.

Information obtained during negotiations for network access

A1.3 Where a **Regulated Provider** acquires information from another **Communications Provider** in confidence before, during or after the process of negotiating **Network Access**, the **Regulated Provider** shall use that information solely for the purpose for which it was supplied and respect at all times the confidentiality of information transmitted or stored. Such information shall not be passed on to any other party (in particular other departments, subsidiaries or partners) for whom such information could provide a competitive advantage.

# A2 Standards and specifications

This condition ensures that all communications providers adopt common technical standards by requiring them to comply with any compulsory EU standards and specifications and take account of other European and international standards and specifications.

# Scope

A2.1 This **Condition** applies to all **Communications Providers**.

**European standards and specifications** 

- A2.2 Communications Providers must comply with any relevant compulsory standards and/or specifications listed in the Official Journal of the European Union for the provision of services, technical interfaces and/or network functions pursuant to Article 17 of the Framework Directive and/or Article 39 of the EECC Directive.
- A2.3 In addition, **Communications Providers** shall take full account of:
  - (a) any relevant non-compulsory standards and/or specifications published in the Official Journal of the European Union; and
  - (b) in the absence of such standards and/or specifications referred to in **Condition** A2.3(a), any relevant standards and/or specifications adopted by the European Committee for Standardisation (CEN), the European Committee for Electrotechnical Standardisation (CENELEC) and the European Telecommunications Standards Institute (ETSI).

# International standards and specifications

A2.4 In the absence of such standards and/or specifications referred to in **Conditions** A2.2 and A2.3, **Communications Providers** shall take full account of international standards or recommendations adopted by the International Telecommunication Union (ITU), the European Conference of Postal and Telecommunications Administrations (CEPT), the International Organisation for Standardisation (ISO) and the International Electrotechnical Committee (IEC).

# A3 Availability of services and access to emergency services

This condition aims to ensure the fullest possible availability of public communications services at all times, including in the event of a disaster or catastrophic network failure, and uninterrupted access to emergency organisations. It requires providers of call services to ensure that calls can be made to emergency organisations free of charge and to make caller location information available to emergency organisations where technically feasible. It also includes specific rules relating to providers of VoIP outbound call services which aim to ensure that users of those services are aware of any potential limitations on making calls to emergency organisations and that accurate and up-to-date caller location information can be provided to the emergency organisations where possible.

### Scope

- A3.1 The provisions of this **Condition** apply as follows:
  - (a) Condition A3.2 applies to any person who provides a Voice Communications Service and/or Internet Access Service and/or a Public Electronic Communications Network over which a Voice Communications Service and/or Internet Access Service is provided;
  - (b) Conditions A3.3 and A3.6(c) apply to any provider of a VoIP Outbound Call Service to Consumers, Microenterprise or Small Enterprise Customers or Not-For-Profit Customers (such customers being 'Relevant Customers' for the purposes of these provisions); and
  - (c) Conditions A3.4, A3.5 and A3.6(a), (b) and (d) apply to any Communications Provider who provides End-Users with a Number-based Interpersonal Communications Service, or provides access to such a service by means of a Pay Telephone, for originating calls to a number or numbers in the National Telephone Numbering Plan and/or in an international numbering plan, excluding any Click to Call Service,

and each person to whom a provision applies is a 'Regulated Provider' for the purposes of that provision.

Availability of services, including access to emergency services<sup>1</sup>

- A3.2 **Regulated Providers** must take all necessary measures to ensure:
  - (a) the fullest possible availability of Voice Communications Services and Internet Access Services provided over Public Electronic Communications Networks in the event of catastrophic network breakdown or in cases of force majeure; and

<sup>&</sup>lt;sup>1</sup> See also "<u>General statement of policy under section 105Y of the Communications Act 2003 (ofcom.org.uk)</u>" "Ofcom guidance on resilience requirements imposed by or under sections 105A to D of the Communications Act 2003"

- (b) uninterrupted access to **Emergency Organisations** as part of any **Voice**Communications Services offered.<sup>2</sup>
- A3.3 Regulated Providers must inform their Relevant Customers in plain English and in an easily accessible manner that access to Emergency Organisations using VoIP Outbound Call Services may cease if there is a power cut or power failure, or a failure of the internet connection on which the service relies. This information must be provided during the sales process, within the terms and conditions of use, and in any user guide issued by the Regulated Provider.

Emergency call numbers ("112" and "999")3

A3.4 Regulated Providers must ensure that all End-Users can access Emergency Organisations by using the emergency call numbers "112" and "999" at no charge and, in the case of a Pay Telephone, without having to use coins or cards. In the case of Regulated Providers providing Mobile Communications Services, this obligation also applies to access by all End-Users to Emergency Organisations by using eCalls.

#### **Caller location information**

- A3.5 **Regulated Providers** shall, to the extent technically feasible, make accurate and reliable **Caller Location Information** available for all calls to the emergency call numbers "112" and "999", at no charge to **End-Users** and the **Emergency Organisations** handling those calls, at the time the call is answered by those organisations.
- A3.6 In order to make accurate and reliable Caller Location Information available to the Emergency Organisations handling the calls to "112" and "999", a Regulated Provider must comply with the following requirements:
  - (a) where it provides an Electronic Communications Service at a fixed location, the Caller Location Information must, at least, accurately reflect the fixed location of the End-User's terminal equipment including the full postal address;
  - (b) where it provides a Mobile Communications Service, the Caller Location Information must include, at least, the Cell Identification of the cell from which the call is being made and, where available, an indication of the radius of coverage of the cell. In exceptional circumstances, where the Cell Identification is temporarily unavailable for technical reasons, the Caller Location Information must include the Zone Code; and
  - (c) where it provides a VoIP Outbound Call Service:

<sup>&</sup>lt;sup>2</sup> See Ofcom's guidance on "Protecting access to emergency organisations where there is a power cut at the customer's premises. Guidance on General Condition A3.2(b)"

<sup>&</sup>lt;sup>3</sup> See also Conditions C5.8 and C5.9 ("Relay service") and Condition C5.10 ("Mobile SMS access to emergency organisations"), which aim to ensure that people with hearing or speech impairments can contact "112" and "999" by sending a text message, or by dialling "18000" from terminal equipment which is compatible with text relay (including textphones, Braille readers, personal computers and mobile telephones).

- (i) it must, where its **VoIP Outbound Call Service** is to be used principally at a single fixed location, recommend its **Relevant Customers** to register with it the address of the place where the **VoIP Outbound Call Service** is to be used prior to its activation and update that address information if there is any change; and
- (ii) where it has a reasonable expectation that, or has been informed that, its VoIP Outbound Call Service is to be accessed from multiple locations, it must recommend that its Relevant Customers register and update the location information associated with it, whenever accessing the VoIP Outbound Call Service from a new location; and
- (d) in all circumstances where available, a **Regulated Provider** must provide handsetderived **Caller Location Information**.

# A4 Emergency planning

This condition requires all communications providers who provide voice communications services or a public electronic communications network over which these services are provided, to agree arrangements with emergency organisations and other public authorities to ensure the provision or rapid restoration of networks and services in the event of a disaster.

### Scope

A4.1 This **Condition** applies to any **Communications Provider** who provides a **Voice Communications Service** and/or a **Public Electronic Communications Network** over which a **Voice Communications Service** is provided, each of whom is a 'Regulated Provider' for the purposes of this **Condition**.

**Provision or restoration of services** 

- A4.2 Subject to **Condition** A4.4, **Regulated Providers** shall, on the request of and in consultation with:
  - (a) the authorities responsible for **Emergency Organisations**; and
  - (b) such departments of central and local government as **Ofcom** may from time to time direct for the purposes of this **Condition**<sup>4</sup>,

make arrangements for the provision or rapid restoration of such communications services as are practicable and may reasonably be required in disasters (including in any major incident having a significant effect on the general public and in any incident of contamination involving radioactive substances or other toxic materials).

- A4.3 Subject to **Condition** A4.4, **Regulated Providers** shall, on request by any person as is designated for the purpose in any such arrangements, implement those arrangements in so far as is reasonable and practicable to do so.
- A4.4 Nothing in this **Condition** precludes **Regulated Providers** from:
  - (a) recovering the costs incurred in making or implementing any such arrangements; or
  - (b) making the implementation of any such arrangements conditional upon being indemnified by the person for whom the arrangements are to be implemented for all costs incurred as a consequence of the implementation.

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<sup>&</sup>lt;sup>4</sup> See <u>Ofcom's emergency planning direction</u>

# A5 Must carry obligations

This condition provides Ofcom with a power to direct that broadcasting network providers must carry certain public service broadcasting (PSB) television channels. This list of PSB channels is set out in the Communications Act 2003 and is subject to revision by order of the Secretary of State.

### Scope

A5.1 This **Condition** applies to any person who provides an **Appropriate Network**, each of whom is a '**Regulated Provider**' for the purposes of this **Condition**.

# **Must Carry Obligations**

- A5.2 **Regulated Providers** shall, on a direction of **Ofcom** made from time to time for the purposes of this **Condition**, broadcast or otherwise transmit any service specified in that direction which is also set out in section 64(3) of the **Act** as modified from time to time by the Secretary of State pursuant to section 64 of the **Act**.
- A5.3 **Regulated Providers** shall comply with any order made by the Secretary of State from time to time under section 64(11) of the **Act** with respect to the terms on which such services must be broadcast or otherwise transmitted.

# Part B: Numbering and technical conditions

# B1 Allocation, adoption and use of telephone numbers

This condition sets out the terms under which communications providers may apply for, be allocated and adopt telephone numbers so as to ensure their effective and efficient use.

#### Scope

B1.1 This **Condition** applies to all **Communications Providers**.

General prohibitions on adoption and use

- B1.2 A Communications Provider shall not Adopt Telephone Numbers from Part A of the National Telephone Numbering Plan<sup>5</sup> unless:
  - (a) the Telephone Numbers have been Allocated to the Communications Provider; or
  - (b) the **Communications Provider** has been authorised (either directly or indirectly) to **Adopt** those **Telephone Numbers** by the person **Allocated** those **Telephone Numbers**.
- B1.3 The Communications Provider may only use a Telephone Number from Part A of the National Telephone Numbering Plan where that Telephone Number has been Allocated to a person, unless the use in question is for the purposes of indicating that the Telephone Number has not been Allocated.
- B1.4 The Communications Provider may only use (or, where specified, Adopt) a Telephone

  Number listed in Part C of the National Telephone Numbering Plan where such use or

  Adoption is in accordance with the designation attributed to that Telephone Number.

Requirements in connection with the adoption of telephone numbers

- B1.5 In providing an **Electronic Communications Network** or an **Electronic Communications Service**, the **Communications Provider** shall comply with:
  - (a) all applicable restrictions and requirements as are set out in the **National Telephone Numbering Plan**; and
  - (b) any restrictions or requirements set out in a notification issued by Ofcom to that Communications Provider recording the Allocation of specific Telephone Numbers to it.

<sup>&</sup>lt;sup>5</sup> See the <u>National Telephone Numbering Plan</u>

- B1.6 Where **Telephone Numbers** have been **Allocated** to the **Communications Provider**, that provider shall secure that such **Telephone Numbers** are **Adopted** or otherwise used effectively and efficiently.
- B1.7 The Communications Provider shall not unduly discriminate against another

  Communications Provider in relation to its Adoption or use of Telephone Numbers for
  purposes connected with the use by that other Communications Provider, or its Customers,
  of any Electronic Communications Network or Electronic Communications Service.
- B1.8 The Communications Provider shall take all reasonably practicable steps to secure that its Customers, in using Telephone Numbers, comply (where applicable) with the provisions of this Condition, the provisions of the National Telephone Numbering Plan and the Non-provider Numbering Condition.<sup>6</sup>

Requirements in connection with the transfer of use of allocated telephone numbers

- B1.9 The **Communications Provider** shall not transfer use of **Telephone Numbers** from the **National Telephone Numbering Plan** unless:
  - (a) the Telephone Numbers have been Allocated to the Communications Provider; or the Communications Provider has been authorised (either directly or indirectly) to Adopt those Telephone Numbers by the person Allocated those Telephone Numbers;
  - (b) the Telephone Numbers are used in accordance with the National Telephone Numbering Plan; and
  - (c) the **Telephone Numbers** are **Adopted** or otherwise used effectively and efficiently.

Application for allocation or reservation of telephone numbers

- B1.10 When applying for an **Allocation** or reservation of **Telephone Numbers**, the **Communications Provider** shall:
  - (a) use **Ofcom's** online number management system or, if that system is unavailable, the application form made available on the **Ofcom** website from time to time;
  - (b) provide such information as is required by such system or application form; and
  - (c) provide to Ofcom, on request, any other information considered by Ofcom to be relevant to the application, and the supply of which does not place an undue burden on the Communications Provider.
- B1.11 **Ofcom** will determine, taking into account the provisions of the **National Telephone Numbering Plan**, any application for **Telephone Numbers** by the end of the period of three weeks after the date of the receipt by it of the completed application form. Where **Ofcom** has required any additional information under **Condition** B1.10(c) in relation to any

<sup>&</sup>lt;sup>6</sup> See Ofcom's non-provider numbering condition

application, **Ofcom** will determine the application by the end of the period of three weeks after the date of the receipt by it of that additional information.

Charging for specified geographic numbers

- B1.12 The **Communications Provider** shall pay to **Ofcom** any applicable **Annual Number Charge** within 14 days of receipt of an invoice from **Ofcom**.
- B1.13 The **Annual Number Charge** will be billed annually in arrears following the end of each **Charging Year**.
- B1.14 The **Annual Number Charge** for a **Communications Provider** shall be:
  - (a) the charges applicable to that **Communications Provider** calculated in accordance with **Condition** B1.15; less
  - (b) any reduction applicable to that **Communications Provider** calculated in accordance with **Condition** B1.16.
- B1.15 In respect of each Specified Geographic Number the Communications Provider must pay £0.1/365 for every day within the Charging Year for which that Specified Geographic Number is Allocated to it. Such amounts are payable irrespective of whether or not a Specified Geographic Number has been Adopted or is in use.
- B1.16 If relevant, the amount of any reduction for a **Communications Provider** in respect of a **Charging Year** shall be:
  - (a) (the total number of the Communications Provider's Ported Specified Geographic Numbers) x £0.1 ÷ (the Average Industry Utilisation Rate); plus
  - (b) (the total number of the **Communications Provider's WLR Specified Geographic Numbers**) x £0.1 ÷ (the **BT Average Utilisation Rate**); plus
  - (c) (the total number of the Communications Provider's Public Payphone Specified Geographic Numbers)  $x \pm 0.1 \div$  (the Communications Provider Average Utilisation Rate).
- B1.17 If any reduction calculated pursuant to **Condition** B1.16 exceeds the charges applicable to that **Communications Provider** calculated in accordance with **Condition** B1.15, the **Annual Number Charge** shall be zero.

Withdrawal of a number allocation

- B1.18 **Ofcom** may withdraw an **Allocation** of **Telephone Numbers** from a **Communications Provider** where:
  - the Communications Provider has not Adopted those Telephone Numbers within six months, or such other period as Ofcom may from time to time direct, from the date on which the Telephone Numbers were Allocated;

- (b) in relation to an Allocation of a series of Telephone Numbers, the Communications Provider has not Adopted those Telephone Numbers to any significant extent within six months, or such other period as Ofcom may from time to time direct, from the date on which the series of Telephone Numbers was Allocated;
- (c) the **Communications Provider** is unable to demonstrate to **Ofcom's** reasonable satisfaction either:
  - (i) that those **Telephone Numbers** are assigned to a **Subscriber** (or **Subscribers**); or
  - (ii) if those **Telephone Numbers** are not so assigned, that they were so assigned within the preceding twelve months, and

the withdrawal is made for the purpose of securing that what appears to **Ofcom** to be the best and most efficient use is made of the numbers and other data that are appropriate for use as **Telephone Numbers**;

- (d) the Communications Provider has used a significant proportion of those Telephone Numbers, or has used such Allocation to a significant extent, inconsistently with this Condition, or to engage in fraud or misuse; or
- (e) Ofcom has advised the Communications Provider in writing that a significant proportion of those Telephone Numbers has been used, or that such Allocation has been used to a significant extent, to cause harm or a nuisance, and the Communications Provider has failed to take adequate steps to prevent such harm or nuisance.

Requirements in connection with the use of telephone numbers

- B1.19 When providing an **Electronic Communications Service** by means of an **Unbundled Tariff Number**, the **Communications Provider** must comply with the tariff principles set out in **Conditions** B1.21 B1.27 and any applicable maximum price specified in the **National Telephone Numbering Plan**.
- B1.20 **Condition** B1.19 does not apply in respect of:
  - (a) calls to an **Unbundled Tariff Number** from a **Public Pay Telephone**; and
  - (b) calls originating outside of the United Kingdom to an **Unbundled Tariff Number**.
- B1.21 The retail price for a call to an **Unbundled Tariff Number** which is charged to a **Consumer** is the sum of:
  - (a) the Access Charge Element; and
  - (b) the **Service Charge Element**, subject to any special offers, discounts or call bundling arrangements which the **Communications Provider** offers to that **Consumer**.
- B1.22 The Access Charge must:

- (a) not vary within a **Consumer's** tariff package by reference to:
  - (i) the **Unbundled Tariff Number** that is called; or
  - (ii) the time or day of the call; and
- (b) be set at a pence per minute rate.

#### B1.23 The **Service Charge**:

- (a) must not vary according to the **Communications Provider** that retails or originates the call;
- (b) must not vary by the time or day of the call;
- (c) must be no greater than any applicable maximum price specified in the National Telephone Numbering Plan;
- (d) may be set at a pence per minute, a pence per call rate, or a rate which combines a pence per minute rate and a pence per call rate; and
- (e) must not require another **Communications Provider** to have systems able to accommodate more **Price Points** than are required under **Condition** B1.28, unless that **Communications Provider** agrees otherwise.
- B1.24 For the purpose of calculating an **Access Charge Element**, the **Communications Provider**:
  - (a) may round up the length of the call to 1 minute for a call lasting less than 1 minute; and
  - (b) for a call lasting more than 1 minute but less than a whole number of minutes, must treat that call in accordance with the rounding principles it would apply to a geographic call of an equivalent length for the purpose of billing a **Consumer**.
- B1.25 For the purpose of calculating the Service Charge Element where the Service Charge comprises or includes a pence per minute rate, the Communications Provider must round up the length of the call to the next nearest whole second for a call lasting less than a whole number of seconds (so that, for example, a call lasting 3 minutes 14.5 seconds would be charged in respect of the Service Charge Element, at the applicable Service Charge multiplied by 3.25).
- B1.26 **Condition** B1.27 applies if both the following conditions in respect of a call to an **Unbundled Tariff Number** are satisfied:
  - the Consumer has purchased a bundle of inclusive call minutes or inclusive calls from the Communications Provider, which includes call minutes (or calls) to one or more Unbundled Tariff Numbers; and
  - (b) the call is to an **Unbundled Tariff Number** which counts towards remaining minutes (or calls) in the bundle of inclusive minutes (or calls) purchased by the **Consumer**.

- B1.27 Where this **Condition** applies, the **Access Charge Element** shall be deemed to be zero.
- B1.28 For the purpose of calculating and billing the **Service Charge Element** of the retail price for calls to **Unbundled Tariff Numbers**, the **Communications Provider** must ensure that it has systems able to accommodate up to one hundred (100) different **Price Points**.
- B1.29 In relation to the obligation under **Condition** B1.28, the **Price Points** accommodated by the systems of the **Communications Provider** must:
  - (a) reflect on a fair and reasonable basis the rates proposed to the **Communications Provider** by other providers in respect of their **Service Charges**, taking account of the volume and range of such proposals; and
  - (b) be set in increments of no less than £0.01.

# **Annex to Condition B1**

[See Condition B1.15]

Geographic Numbers which are Specified Geographic Numbers for the purposes of Condition B1.

Specified Geographic Numbers ide	ntified by Geographic Area Code
Geographic Area Code	Area
01202	Bournemouth
01274	Bradford
01642	Middlesbrough
01273	Brighton
01224	Aberdeen
01793	Swindon
01908	Milton Keynes
01782	Stoke-on-Trent
01865	Oxford
01792	Swansea
01206	Colchester
01582	Luton
01604	Northampton
01603	Norwich
01223	Cambridge
01752	Plymouth
01332	Derby
01384	Dudley
01925	Warrington
01253	Blackpool
01382	Dundee
01482	Hull
01702	Southend-on-Sea
01902	Wolverhampton
01753	Slough

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01483	Guildford
01452	Gloucester
01924	Wakefield
01276	Camberley
01772	Preston

# **B2** Directory information

This condition requires all communications providers to whom telephone numbers are allocated to pass on the directory information of their subscribers to other persons so as to ensure that a comprehensive directory database can be compiled from which directory products and services can be provided. It also requires directories to be updated at least once a year and provided to subscribers on request.

### Scope

B2.1 This **Condition** applies to all providers of **Number-based Interpersonal Communications**Services which assign **Telephone Numbers** to **Subscribers**, each of whom is a '**Regulated Provider**' for the purposes of this **Condition**.

### **Provision of directory information**

- B2.2 In order to facilitate the provision of publicly available **Directories** and **Directory Enquiry**Facilities, Regulated Providers must meet all reasonable requests to make **Directory**Information available on terms which are fair, objective, cost-oriented and nondiscriminatory, and in a format which is agreed between the **Regulated Provider** and the person requesting the information.
- B2.3 Regulated Providers must supply each of their Subscribers, on request, with a Directory or Directories containing Directory Information on all Subscribers who have been assigned Telephone Numbers by any Regulated Provider for any specified area in the United Kingdom. Any Directories supplied shall not contain Directory Information for any Subscribers who have exercised their right to have their Directory Information excluded from Directories.
- B2.4 **Regulated Providers** must ensure that any **Directories** they produce are updated at least once a year.
- B2.5 **Ofcom** may from time to time direct that a **Directory** is available in a particular form.

# **Charges**

- B2.6 **Regulated Providers** may charge:
  - (a) End-Users a fee for making Directories available to them; and
  - (b) their **Subscribers** a fee for the inclusion of their **Directory Information** in a **Directory** or **Directory Enquiry Facility**.

Any such fees (of either type) must be reasonable.

### **Data protection**

B2.7 This Condition applies subject to the requirements of Relevant Data Protection Legislation.

# B3 Obligations to enable number portability

This condition sets out the rules which communications providers must follow when working with each other in order to allow end-users to take their landline and/or mobile number(s) with them when changing provider (porting of numbers). It is a complement to Condition C7 which aims to ensure that end-users are sufficiently protected and informed during the process of changing providers and porting their numbers.

#### Scope

- B3.1 This **Condition** applies to any person who provides:
  - (a) an Electronic Communications Network; or
  - (b) an **Electronic Communications Service** to a **Customer** with a number or numbers from the **National Telephone Numbering Plan**
  - and for the purposes of this Condition, any such person is a 'Regulated Provider'.
- B3.2 The **Regulated Provider** shall, pursuant to a request from another **Regulated Provider**, provide **Portability** as soon as is reasonably practicable and on reasonable terms. Any charges for the provision of such **Portability** shall be made in accordance with the following principles:
  - (a) subject always to the requirement of reasonableness, charges shall be cost oriented. In particular, charges shall be based on the incremental costs of providing **Portability** unless:
    - (i) the **Donor Provider** and the **Recipient Provider** have agreed another basis for the charges; or
    - (ii) Ofcom has directed that another basis for charges should be used;
  - (b) the **Donor Provider** shall make no charge in relation to **Porting System Set-Up Costs** or **Additional Conveyance Costs**;
  - in respect of Portability involving Mobile Numbers, the Donor Provider shall make no charge or annual fee for ongoing costs relating to registration of a ported Telephone Number or a Customer; and
  - (d) charges levied by the **Donor Provider** shall be based on the reasonable costs incurred by it in providing **Portability** with respect to each **Telephone Number**.
- B3.3 Where the **Regulated Provider** provides **Portability** in accordance with **Condition** B3.2:
  - (a) the Recipient Provider; and
  - (b) the Portability Transit Provider,

- shall, as appropriate, provide **Portability** on reasonable terms.
- B3.4 Communications Providers whose Electronic Communications Networks are used by either the Donor Provider or the Recipient Provider, or both, shall ensure that there is no loss of service that would delay Number Portability or Portability.
- B3.5 The **Regulated Provider** shall, on written request, provide **Ofcom** with a record of each **Telephone Number** in relation to which it is providing **Portability**, specifying the relevant **Recipient Provider** in each case.

# **B4** Access to numbers and services

This condition aims to ensure that end-users can access all telephone numbers (and the services provided on those numbers) and that communications providers only block access to telephone numbers where instructed to do so by Ofcom for reasons of fraud or misuse.

### Scope

- B4.1 The provisions of this **Condition** apply as follows:
  - (a) Conditions B4.2 to B4.4 apply to all Communications Providers; and
  - (b) Condition B4.5 applies to any Communications Provider who provides End-Users with an Electronic Communications Service, or provides access to such a service by means of a Pay Telephone, for originating calls to a number or numbers in the National Telephone Numbering Plan, excluding any Click to Call Service,

and each person to whom a provision applies is a 'Regulated Provider' for the purposes of that provision.

Granting, limiting and blocking access to numbers and services

- B4.2 **Regulated Providers** shall ensure, where technically and economically feasible and subject to **Condition** C6.6, that **End-Users** in any part of the United Kingdom or European Union are able to:
  - (a) access and use those Non-Geographic Numbers which the Regulated Provider Adopts;and
  - (b) access all Telephone Numbers provided in the United Kingdom or European Union, regardless of the technological devices used by the operator, including those in the National Telephone Numbering Plan and Universal International Freephone Numbers (UIFN).
- B4.3 **Regulated Providers** shall limit access for calling **End-Users** located in specific geographical areas to **Telephone Numbers** assigned to a **Subscriber** where that **Subscriber** has chosen for commercial reasons to limit such access.
- B4.4 Regulated Providers shall, where requested by or on behalf of Ofcom on the basis of fraud or misuse, block access to Telephone Numbers and/or Public Electronic Communications

  Services and in such cases withhold revenue associated with such Telephone Numbers and/or Public Electronic Communications Services.

Missing children hotline number

B4.5 **Regulated Providers** shall ensure that any **End-User** can access a hotline for missing children by using the number "116000".

# Part C: Consumer protection conditions

# C1 Contract requirements

This condition aims to protect consumers and end-users by ensuring that contracts for public electronic communications services include key information about the services they are receiving and that such information is provided to them before they enter into their contract to allow them to make an informed choice. It also sets out requirements about contract duration, contract renewal, end-of-contract notifications, annual best tariff information, facilitating changes of communications provider and end-users' rights to terminate a contract, which are designed to ensure that end users are treated fairly and able to switch to a different provider in appropriate cases.

#### Scope

- C1.1 The provisions of this **Condition** apply as follows:
  - (a) **Conditions** C1.3 to C1.7 apply to providers of **Public Electronic Communications Services** as specified in the Annex to this **Condition**, when they provide such services to:
    - (i) Consumers; and/or
    - (ii) Microenterprise or Small Enterprise Customers or Not-For-Profit Customers, unless they have expressly agreed otherwise;

but they do not apply to such providers when they provide **Machine-to-Machine Transmission Services**;

- (b) **Conditions** C1.8 to C1.11 apply to providers of **Public Electronic Communications Services** when they provide these services to the following:
  - (i) in relation to Conditions C1.8 and C1.11, Consumers and/or Microenterprise or Small Enterprise Customers or Not-For-Profit Customers unless such Microenterprise or Small Enterprise Customers or Not-For-Profit Customers have expressly agreed otherwise;
  - (ii) in relation to Condition C1.9, Consumers; and
  - (iii) in relation to Condition C1.10, Consumers, Microenterprise or Small Enterprise Customers and Not-For-Profit Customers;

but they do not apply to such providers when they provide **Machine-to-Machine Transmission Services**;

- (c) Condition C1.12 applies to providers of Internet Access Services and/or Number-based Interpersonal Communications Services when they provide these services to:
  - (i) Consumers; and/or

- (ii) Microenterprise or Small Enterprise Customers or Not-For-Profit Customers, unless they have expressly agreed otherwise;
- (d) **Conditions** C1.14 to C1.20:
  - apply to providers of Public Electronic Communications Services when they provide these services to Subscribers; but
  - they only apply to providers of Machine-to-Machine Transmission Services in so far as the Subscriber concerned is a Consumer, Microenterprise or Small Enterprise Customer or Not-For-Profit Customer;
- (e) **Conditions** C1.13 and C1.21 to C1.36 apply to providers of **Public Electronic Communications Services**, when they provide these services to **Subscribers**, except when they provide **Machine-to-Machine Transmission Services**;
- (f) **Conditions** C1.5, C1.6, C1.7 (insofar as it applies to **Contract Summaries**) and C1.8 to C1.20 (except for **Conditions** C1.9, C1.10 and C1.13) also apply to providers of **Bundles** when they provide **Bundles** to:
  - (i) **Consumers**; and/or
  - (ii) Microenterprise or Small Enterprise Customers or Not-For-Profit Customers, unless they have expressly agreed otherwise;
- (g) insofar as **Conditions** C1.21 to C1.36 expressly refer to **Bundles**, these **Conditions** apply to providers of **Bundles** to the extent stated in the relevant **Condition** when they provide **Bundles** to:
  - (i) **Consumers**; and/or
  - (ii) Microenterprise or Small Enterprise Customers or Not-For-Profit Customers, unless they have expressly agreed otherwise.

# C1.2 In this **Condition** C1:

- (a) each provider to whom a provision applies in accordance with **Condition** C1.1 is a 'Regulated Provider' for the purposes of that provision;
- (b) each Public Electronic Communications Service in relation to which a provision applies in accordance with Condition C1.1 is a 'Relevant Communications Service' for the purposes of that provision; and
- (c) each type of Customer, End-User and/or Subscriber in relation to whom a provision applies in accordance with Condition C1.1 is a 'Relevant Customer' for the purposes of that provision.

# Contract requirements<sup>7</sup>

- C1.3 Before a **Relevant Customer** is bound by a contract for a **Relevant Communications Service**, **Regulated Providers** shall provide that **Relevant Customer** with the **Contract Information** set out in the Annex to **Condition** C1 to the extent that it relates to a service they provide.
- C1.4 The **Contract Information** referred to in **Condition** C1.3 shall be provided:
  - (a) in a clear and comprehensible manner; and
  - (b) on a **Durable Medium**.

Where its provision on a **Durable Medium** is not feasible, the **Contract Information** shall be made available in an easily downloadable document. The **Regulated Provider** shall expressly draw the attention of the **Relevant Customer** to the availability and the importance of downloading such document.

- C1.5 Before entering into a contract, **Regulated Providers** shall provide the **Relevant Customer**, free of charge, with a **Contract Summary**.
- C1.6 The contract shall only become effective once the **Relevant Customer** has given their **Express Consent** to enter into the contract after receiving the **Contract Summary**.
- C1.7 The Contract Information and Contract Summary shall become an integral part of the contract between the Regulated Provider and the Relevant Customer. The Contract Information and Contract Summary shall not be changed unless the parties to the contract expressly agree otherwise.

### Conditions and procedures for contract termination

- C1.8 Without prejudice to any **Commitment Period**, **Regulated Providers** shall ensure that conditions or procedures for contract termination do not act as disincentives for **Relevant Customers** against changing their **Communications Provider**.
- C1.9 Without limiting the extent of **Condition** C1.8, **Regulated Providers** shall ensure that no **Handset Locking Restrictions** are applied to any **Mobile Device** sold or provided to **Relevant Customers** as part of a **Bundle** with a **Relevant Communications Service** that they provide.
- C1.10 Without limiting the extent of **Condition** C1.8, **Regulated Providers** must not, at the end of any **Commitment Period**, renew their contract with a **Relevant Customer** for a further **Commitment Period** unless they have first obtained the **Relevant Customer's Express Consent**. Such **Express Consent** must be obtained in relation to each new **Commitment Period**.

<sup>&</sup>lt;sup>7</sup> See also Ofcom's guidance under General Condition C1

#### **Contract duration**

- C1.11 Regulated Providers shall not include a term in any contract, other than an Instalment Contract for a Physical Connection, with a Relevant Customer, that stipulates a Commitment Period of more than 24 months in duration.
- C1.12 Regulated Providers shall not extend the duration of a contract for the provision of a Relevant Communications Service where a Relevant Customer subsequently purchases an additional service or Terminal Equipment, unless that Regulated Provider obtains the Relevant Customer's Express Consent when they enter into the contract for the provision of the additional service or Terminal Equipment.
- C1.13 **Regulated Providers** shall ensure that **Relevant Customers** are able to subscribe to a contract for the provision of **Relevant Communications Services** with a maximum duration of 12 months.

### **Contractual modifications**

# C1.14 **Regulated Providers** shall:

- (a) give their Relevant Customers notice not shorter than one month of any contractual modifications relating to a Relevant Communications Service, or a Bundle or any elements thereof, that is provided by them, unless the proposed modification is exclusively to the benefit of that Relevant Customer, is of a purely administrative nature and has no negative effect on the Relevant Customer, or is directly imposed by law;
- (b) give their **Relevant Customers** the notice in **Condition** C1.14(a) in a clear and comprehensible manner on a **Durable Medium**.
- C1.15 At the same time as notifying a contractual modification pursuant to **Condition** C1.14, **Regulated Providers** shall:
  - (a) inform the **Relevant Customer** of their right to terminate their contract(s) in accordance with **Condition** C1.15(b), at no additional cost other than the charges set out in **Condition** C1.16, if the proposed modifications are not acceptable to them; and
  - (b) allow their **Relevant Customer** terminate the contract(s) subject to the contractual modification and to terminate any contract(s) forming part of a **Bundle** with that contract (those contracts), within one month after notification.
- C1.16 **Regulated Providers** shall ensure that where a **Relevant Customer** exercises their right to terminate a contract or contracts in accordance with **Condition** C1.15, such **Relevant Customer** is not required to pay any additional charges other than:
  - (a) the **Service Fee(s)** for the period ending on the day on which the relevant contract is terminated pursuant to **Condition** C1.20; and

- (b) where the **Relevant Customer** exercises the right to terminate a **Bundle** which includes **Terminal Equipment** that the **Relevant Customer** chooses to retain:
  - (i) where the **Bundle** consists of **Linked Split Mobile Contracts**, the principal amount due under the **Mobile Device Loan Agreement**; or
  - (ii) in all other cases, the smaller of:
    - a. the remaining value of the **Terminal Equipment** on the day on which the contract is terminated in accordance with **Condition** C1.20, calculated in accordance with **Condition** C1.18; or
    - the Terminal Equipment Fee for the period from the day on which the contract is terminated pursuant to Condition C1.20 until the end of the Commitment Period.
- C1.17 Without limiting the extent of **Condition** C1.16, where a **Relevant Customer** exercises their right to terminate a contract or contracts pursuant to **Condition** C1.15, they shall not be required to pay any **Early Termination Charges**.
- C1.18 For the purposes of **Condition** C1.16, the remaining value of the **Terminal Equipment** refers to an amount calculated in accordance with the terms set out in the contract and which should reflect the value of the equipment, taking into account any depreciation in its value considering the length of time for which it was used, minus any payments already made towards the cost of the equipment.
- C1.19 Where a **Relevant Customer** exercises their right to terminate a **Bundle** which includes **Terminal Equipment**, or any elements thereof, pursuant to **Condition** C1.15, **Regulated Providers** shall take all necessary steps to ensure that any restriction on the use of that **Terminal Equipment** on the **Electronic Communications Network** of another **Communications Provider** (including but not limited to **Handset Locking Restrictions**) can be lifted, free of charge, on or before the day on which the contract(s) is(are) terminated pursuant to **Condition** C1.20.
- C1.20 Subject to **Condition** 7.7(a), where a **Relevant Customer** exercises the right to terminate a contract or contracts pursuant to **Condition** C1.15, unless the **Relevant Customer** expressly agrees otherwise, the contract(s) shall be terminated:
  - (a) on the day before the proposed modification comes into effect; or
  - (b) if it is not feasible for the contract to be terminated in accordance with Condition C1.20(a), and provided that the relevant modification is not applied to the Relevant Customer, as soon as reasonably possible after that date.

#### **End-of-Contract Notification**

C1.21 **Regulated Providers** must comply with **Conditions** C1.22 and C1.23, if each of the following requirements are met:

- (a) the **Relevant Customer** has a contract with the **Regulated Provider** for a **Relevant Communications Service**;
- (b) the contract has a **Commitment Period**; and
- (c) the terms of the contract provide (or governing law provides) for the contract to be automatically prolonged after the expiry of the **Commitment Period**.
- C1.22 Where **Condition** C1.21 applies, **Regulated Providers** shall ensure that following the automatic prolongation of the contract for the **Relevant Communications Service**:
  - (a) the **Relevant Customer** has the right to terminate the contract with a notice period of one month:
  - (b) the Relevant Customer has the right to terminate any contracts forming part of a Bundle with that contract, with a notice period of one month, following the expiry of the Commitment Period and automatic prolongation of the relevant bundled contract(s); and
  - (c) where the Relevant Customer exercises their right to terminate under Condition C1.22(a) and/or (b), they do not incur any costs other than payment of the Service Fee(s) for the notice period.
- C1.23 Where **Condition** C1.21 applies, **Regulated Providers** must send an **End-of-Contract Notification** to the **Relevant Customer** in the manner and form specified by **Conditions**C1.24 to C1.28.
- C1.24 If the **Relevant Customer** is a **Consumer**, the **End-of-Contract Notification** shall include the following information in respect of the **Relevant Customer's** contract for the **Relevant Communications Service**, in a clear and comprehensible form:
  - (a) the date on which the **Commitment Period** for that contract ends;
  - (b) details of the services provided by the Regulated Provider to the Relevant Customer under that contract;
  - (c) the notice period (if any) which applies to the Relevant Customer under that contract (where the contract is for a Mobile Communications Service, the Regulated Provider may instead include a message that a notice period may apply);
  - (d) a message that the **Relevant Customer** may terminate that contract without paying an **Early Termination Charge** after the **Commitment Period** ends;
  - (e) details of other contracts for Public Electronic Communications Services between the Regulated Provider and the Relevant Customer;
  - (f) details of other contracts between the Regulated Provider and the Relevant Customer which form part of a Bundle with the contract for the Relevant Communications Service;

- (g) how the **Relevant Customer** may terminate that contract;
- (h) the current Core Subscription Price payable by the Relevant Customer under that contract;
- (i) the **Core Subscription Price** that will be payable by the **Relevant Customer** for the services referred to in (b) (and, where relevant, any changes referred to in (j)) after the **Commitment Period** for that contract ends;
- (j) details of any changes to the services referred to in (b) that will come into effect because the **Commitment Period** for that contract is ending;
- (k) the dates on which the **Commitment Periods** end for the other contracts referred to in (e) and (f);
- (I) details of the options available to the **Relevant Customer** at the end of the **Commitment Period** for that contract; and
- (m) the **Regulated Provider**'s best tariffs.
- C1.25 If the Relevant Customer is not a Consumer, the End-of-Contract Notification shall inform the Relevant Customer of the end of the Commitment Period and how the Relevant Customer may terminate the contract for the Relevant Communications Service. At the same time, the Regulated Provider must give the Relevant Customer best tariff advice relating to their services.
- C1.26 If the Relevant Customer is a Microenterprise or Small Enterprise Customer or Not-For-Profit Customer, the End-of-Contract Notification referred to in Condition C1.25 must include details of other contracts between the Regulated Provider and the Relevant Customer which form part of a Bundle with the contract for the Relevant Communications Service, unless the Relevant Customer has expressly agreed otherwise.
- C1.27 **Regulated Providers** must send an **End-of-Contract Notification** in a timely manner, before the end of the **Relevant Customer's Commitment Period**.
- C1.28 **Regulated Providers** must send an **End-of-Contract Notification** via a **Durable Medium** and in a prominent manner. If the **Relevant Customer** is a **Consumer**, the **End-of-Contract Notification** must also be separate and distinct from any other communication.
- C1.29 **Regulated Providers** must retain a record of each **End-of-Contract Notification** it sends to a **Consumer**, and the date on which it was sent, for a period of at least 12 months.

#### **Annual Best Tariff Information**

- C1.30 **Regulated Providers** must provide best tariff information to a **Relevant Customer** at least annually, if each of the following requirements are met:
  - (a) the **Relevant Customer** has a contract with the **Regulated Provider** for a **Relevant Communications Service**; and

- (b) the contract was previously subject to a **Commitment Period** which has now expired.
- C1.31 If the Relevant Customer is a Microenterprise or Small Enterprise Customer or Not-For-Profit Customer, the provision of best tariff information pursuant to Condition C1.30 shall extend to any contract forming part of a Bundle with the contract for the Relevant Communications Service, unless the Relevant Customer has expressly agreed otherwise or the bundled contract is subject to a Commitment Period.
- C1.32 If a **Relevant Customer** is a **Consumer**, the **Regulated Provider** must comply with **Condition**C1.30 by sending an **Annual Best Tariff Notification** to that **Relevant Customer**, in the manner and form specified by **Conditions** C1.33 to C1.35.
- C1.33 An **Annual Best Tariff Notification** shall include the following information in respect of a **Relevant Customer's** contract for the **Relevant Communications Service**, in a clear and comprehensible form:
  - (a) a message that the contract is not currently subject to a **Commitment Period**;
  - (b) the notice period (if any) which applies to the Relevant Customer under that contract (where the contract is for a Mobile Communications Service, the Regulated Provider may instead include a message that a notice period may apply);
  - (c) details of the services provided by the **Regulated Provider** to the **Relevant Customer** under that contract;
  - (d) the current Core Subscription Price payable by the Relevant Customer under that contract;
  - (e) details of other contracts for **Public Electronic Communications Services** between the **Regulated Provider** and the **Relevant Customer**;
  - (f) details of other contracts between the Regulated Provider and the Relevant Customer which form part of a Bundle with the contract for the Relevant Communications Service;
  - (g) the dates on which the Commitment Periods end for the other contracts referred to in(e) and (f);
  - (h) details of the options available to the Relevant Customer; and
  - (i) the **Regulated Provider's** best tariffs.
- C1.34 **Regulated Providers** must send an **Annual Best Tariff Notification** at least once in every 12-month period.
- C1.35 **Regulated Providers** must send an **Annual Best Tariff Notification** via a **Durable Medium** that is separate and distinct from any other communication, and otherwise in a prominent manner.

C1.36 **Regulated Providers** must retain a record of each **Annual Best Tariff Notification** it sends to a **Consumer**, and the date on which it was sent, for a period of at least 12 months.

# **Annex to Condition C1**

[Condition C1.3]

Table A – General Contract Information Requirements for all Regulated Providers		
Type of information	Information required for all contracts	Additional information required for distance or off-premises contracts
Identity and contact details of Regulated Provider or Trader	<ul><li>a) identity, such as its trading name;</li><li>b) telephone number (where available);</li><li>c) registered address;</li></ul>	<ul> <li>d) fax number (where available);</li> <li>e) e-mail address (where available);</li> <li>and</li> <li>f) geographical address of the</li> </ul>
	cy registered address,	place of business and where the  Relevant Customer can address any complaints (if different to the registered address).
2. Description of services	a) the main characteristics of the service provided, including:  (i) any minimum quality of service levels, including any Service Level Agreement, where offered; or  (ii) where no minimum quality of service levels are offered, a statement to this effect;  b) where applicable, the existence and conditions of after sale services and commercial guarantees.	
3. Price	a) the price of the service (including VAT), including:  (i) the Core Subscription Price; (ii) any usage charges for any additional use of services or facilities, or for use of any additional services or facilities not included in (i); (iii) where applicable, any activation charges;	b) the cost of using the means of distance communication for the conclusion of the contract where that cost is calculated other than at the basic rate;

If the <b>Relevant Customer</b> is not a	
Consumer, prices may be stated	
exclusive of VAT.	
a) the arrangements for navment:	
a) the arrangements for payment,	
b) the arrangements for the	
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provision of the service(s);	
c) an explanation that the	
Relevant Customer may make	
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` '	
their existing services or <b>Bundle</b>	
to the <b>Regulated Provider.</b>	
a) the direction of the continue	
including the <b>Commitment Period</b> ;	
h) any minimum use or duration	
promotional terms,	
c) the conditions for renewal and	
termination of the contract,	
including any applicable <b>Early</b>	
Termination Charges and any	
applicable notice period that the	
Relevant Customer is required to	
provide in order to exit the contract;	
A) in Comment of the	
any rees involved;	
e) information on the right to a refund	
Providers in accordance with	
	consumer, prices may be stated exclusive of VAT.  a) the arrangements for payment; b) the arrangements for the provision of the Relevant Communications Service(s), including, as accurately as possible, the likely date of provision of the service(s); c) an explanation that the Relevant Customer may make use of the processes set out in Condition C7.4(a) to transfer their existing services or Bundle to the Regulated Provider.  a) the duration of the contract including the Commitment Period; b) any minimum use or duration required to benefit from promotional terms; c) the conditions for renewal and termination of the contract, including any applicable Early Termination Charges and any applicable notice period that the Relevant Customer is required to provide in order to exit the contract; d) information on retaining any Terminal Equipment upon expiry of the Commitment Period, including any fees involved; e) information on the right to a refund of any remaining credit in relation to prepaid services in the event of switching Communications

	Condition C7.7.	
6. Security	a) the type of action that might be taken by the <b>Regulated Provider</b> in response to security incidents, threats or vulnerabilities.	
7. Remedies, complaints handling and dispute resolution	a) any compensation and refund arrangements, including;  (i) where applicable, explicit reference to rights of Relevant Customers, including any compensation, such as any Service Level Guarantees, which apply if any offered minimum quality of service level, such as a Service Level Agreement, is not met;  (ii) where applicable, explicit reference to rights of Relevant Customers, which apply if the Regulated Provider responds inadequately to a security incident, threat or vulnerability;  b) the right to compensation for failure to comply with the requirements of Condition C7 Switching and number porting, including how such compensation can be accessed and how it will be paid;	c) the Regulated Provider's procedure for handling complaints and information about the existence of the ADR Scheme of which the Regulated Provider is a member and the method of having access to it; and  d) the existence of the Ofcom Approved Complaints Code, and how copies of it can be obtained;
8. Right to cancel		a) where a cooling off period applies, <sup>8</sup> the conditions, time limit and procedures for exercising that right, including, where applicable, the arrangements for returning any goods supplied under the contract; and

<sup>&</sup>lt;sup>8</sup> See Regulation 32 of the Consumer Contracts (Information, Cancellation and Additional Charges) Regulations 2013.

b) where there is no right to cancel
or the right to cancel may be
lost, the information that the
Consumer will not benefit from
a right to cancel or, where
applicable, the circumstances
under which the <b>Consumer</b> loses
his right to cancel.

Type of information	
Type of illiorniation	Information required
1. Service characteristics (as part of information in Table A, 2 a) above)	a) For Internet Access Services:  Any minimum quality of service levels, including any Service Level Agreement, where offered, taking utmost account of the BEREC guidelines regarding:  • latency; • jitter; and • packet loss;  b) Information on how traffic management measures applied by the Regulated Provider could impact on the quality of the Internet Access Services, on the privacy of the Relevant Customer and on the protection of their personal data;  c) a clear and comprehensible explanation as to how any volume limitation, speed and other quality of service parameters may in practice have an impact on Internet Access Services, and in particular on the use of content, applications and services;  d) a clear and comprehensible explanation of how any Specialised Services to which the Relevant Customer subscribes might in practice have an impact on the Internet Access Services provided to them;  e) a clear and comprehensible explanation of:  (i) for fixed networks: the minimum, normally available, maximum and

	(ii) for mobile networks, the estimated maximum and advertised
	download and upload speed of the internet access services; and
	(iii) how significant deviations from the respective advertised download
	and upload speeds could impact the exercise of the <b>End-Users'</b> rights
	laid down in Article 3(1) of Regulation (EU) 2015/2120 (the Open
	Internet Regulation) <sup>9</sup> ; and
	f) for Number-based Interpersonal Communications Services, where the
	Regulated Provider exerts control over at least some elements of the
	network or has a <b>Service Level Agreement</b> to that effect with undertakings
	providing access to the network regarding, at least:
	<ul> <li>the time for the initial connection;</li> </ul>
	<ul> <li>failure probability; and</li> </ul>
	<ul> <li>call signalling delays.</li> </ul>
2. Terminal	a) any conditions, including fees, imposed by the <b>Regulated Provider</b> on the
equipment	use of Terminal Equipment, such as any Handset Locking Restrictions.
3. Price (as part of	a) Where the <b>Relevant Communications Service</b> is provided as part of a
Table A, 3)	<b>Bundle</b> , the price of the individual elements of the bundle to the extent
	they are also marketed separately;
	b) details of the specific tariff plan or plans under the contract and, for each
	such tariff plan the services offered, including where applicable, any
	allowances included in the plan(s) (such as gigabits of data, voice minutes
	and messages) per billing period, and any usage charges for any additional
	use of services or facilities, or for use of any additional services or
	facilities;
	c) in the case of tariff plan or plans with a pre-set volume of
	communications, the possibility to defer any unused volume from the
	preceding billing period to the following billing period, where this option is
	included in the contract;
	d) facilities to safeguard bill transparency and monitor the level of
	consumption;
	e) tariff information regarding any numbers or services subject to particular
	e) tariff information regarding any numbers or services subject to particular pricing conditions, including any applicable <b>Access Charges</b> ;

<sup>&</sup>lt;sup>9</sup> Regulation (EU) 2015/2120 of the European Parliament and of the Council of 25 November 2015 laying down measures concerning open internet access and amending Directive 2002/22/EC on universal service and users' rights relating to electronic communications networks and services and Regulation (EU) No 531/2012 on roaming on public mobile communications networks within the Union

	f)	details and conditions, including fees, of any after-sales service, maintenance, and customer assistance; and
	g)	the means by which up-to-date information on all applicable tariffs and maintenance charges may be obtained.
4. Duration, renewal and termination of contract	a)	Where the <b>Relevant Communications Service</b> is provided as part of a <b>Bundle</b> , the conditions of termination of the <b>Bundle</b> or of elements thereof.
5. Data protection	a)	without prejudice to <b>Relevant Data Protection Legislation</b> , information on what personal data shall be provided before the performance of the service or collected in the context of the provision of the service.
6. End-users with disabilities	a)	details on products and services designed for <b>End-Users</b> with disabilities and how updates on this information can be obtained.
7. Remedies, complaints handling and dispute resolution	a)	the means of initiating procedures for the resolution of disputes including national and cross-border disputes in accordance with the <b>ADR Scheme</b> of which the <b>Regulated Provider</b> is a member and the method of having access to it; and
	b)	a clear and comprehensible explanation of the remedies available to the <b>Relevant Customer</b> in accordance with national law in the event of any continuous or regularly recurring discrepancy between the actual performance of their <b>Internet Access Service</b> regarding speed or other quality of service parameters and the performance indicated in accordance with the standard of service as set out according to Table A, 2(a) and Table B, 1.

Table C – Additional Information Requirements for Number-based Interpersonal Communications Services			
Type of information	Information required		
1. Accessibility	a) any constraints on access to <b>Emergency Organisations</b> or <b>Caller Location Information</b> due to a lack of technical feasibility insofar as the service allows <b>Relevant Customers</b> to originate calls to a number in a national or international numbering plan.		
2. Data Protection	a) the <b>End-User's</b> right to determine whether to include his or her personal data in a directory, and the types of data concerned, in accordance with any <b>Relevant Data Protection Legislation</b> .		

Table D – Additional Switching Information Requirements for Gaining Providers of Consumers Switching Internet Access Services and/or Number-based Interpersonal Communications Services			
Type of information	Information required		
1. General switching information	a) the information set out in <b>Condition</b> C7.11.		

## C2 Information publication and transparency requirements

This condition aims to ensure the availability of adequate, up-to-date, comparable information for end-users on the prices, tariffs, terms and conditions of communications services, and any charges applicable on termination of their contract so as to enable end-users to compare easily the offers and services available in the market. In addition, it aims to ensure that pricing and charges relating to premium rate services, non-geographic numbers and personal numbers are clear.

The condition also requires information to be made available to small and medium-sized business customers about the levels of service offered to them and the payment of compensation for certain failures in service quality, and requires the provision of information to third parties for the purposes of providing qualifying comparison tools.

#### Scope

- C2.1 The provisions of this **Condition** C2 apply as follows:
  - (a) Conditions C2.3 and C2.4 apply to:
    - (i) providers of Internet Access Services and/or Number-based Interpersonal Communications Services when they provide such services to End-Users; and
    - (ii) providers of Bundles to Consumers and/or Microenterprise or Small Enterprise
       Customers or Not-For-Profit Customers, unless such Microenterprise or Small
       Enterprise Customers or Not-For-Profit Customers have expressly agreed
       otherwise;
  - (b) Conditions C2.5 to C2.13 apply to providers of Public Electronic Communications

    Networks and/or Public Electronic Communications Services;
  - (c) Conditions C2.14 to C2.15 apply to providers of Fixed Voice or Other Fixed-Line Services and/or Broadband Services when they provide such services to SME Customers; and
  - (d) Conditions C2.19 to C2.21 apply to providers of Internet Access Services and/or Number-based Interpersonal Communications Services when they provide such services to Consumers.

#### C2.2 In this **Condition** C2:

- (a) each provider to whom a provision applies in accordance with **Condition** C2.1 is a 'Regulated Provider' for the purposes of that provision; and
- (b) each Public Electronic Communications Service in relation to which a provision applies in accordance with Condition C2.1 is a 'Relevant Communications Service' for the purposes of that provision.

#### **General information publication requirements**

- C2.3 **Regulated Providers** shall publish the following information in respect of any **Relevant Communications Services** or **Bundles** they provide under standard terms and conditions:
  - (a) the contact details of the Regulated Provider;
  - (b) a description of the services offered, including the main characteristics of each service provided, such as:
    - (i) any minimum quality of service levels, where offered; and
    - (ii) any restrictions imposed by the provider on the use of Terminal Equipment they sell or provide, including any Handset Locking Restrictions;
  - (c) the tariffs of the Regulated Provider indicating the services provided and the content of each tariff element (with regard to charges for access, usage and/or maintenance), including details of:
    - (i) any allowances included in specific tariff plans, such as in relation to gigabits of data, voice minutes and messages;
    - (ii) any usage charges for any additional use of services or facilities, or for use of any additional services or facilities not included in (i);
    - (iii) numbers or services subject to particular pricing conditions;
    - (iv) access and maintenance charges;
    - (v) any special and targeted tariff schemes;
    - (vi) any additional charges; and
    - (vii) any costs with respect to **Terminal Equipment**;
  - (d) any after-sales, maintenance and customer assistance services offered and their respective contact details;
  - (e) the standard contract conditions offered, including contract duration, any Early
     Termination Charges, rights in relation to the termination of Bundles or any elements thereof;
  - (f) where the undertaking is a Regulated Provider of Number-based Interpersonal Communications Services, information on access to Emergency Organisations and Caller Location Information, or any limitation on the latter;
  - (g) details of products and services, including any functions, practices, policies and procedures and alterations in the operation of the service, specifically designed for End-Users with disabilities, in accordance with European Union law harmonising accessibility requirements for products and services;

- (h) any available dispute resolution mechanisms, including those developed by the **Regulated Provider**; and
- (i) any compensation and/or refund policies, including specific details of any compensation and/or refund schemes offered.

For the avoidance of doubt, this **Condition** does not require **Regulated Providers** to publish any bespoke or individual prices, tariffs or terms and conditions.

C2.4 **Regulated Providers** shall, upon request, provide **Ofcom** with the information listed in **Condition** C2.3 ahead of publication.

Unbundled tariff and personal numbers information publication requirements

- C2.5 In respect of **Unbundled Tariff Numbers**, **Regulated Providers** shall publish the **Access Charges** that are payable for tariffs that they make available to **Consumers** and give those charges the same prominence in terms of location and format as is given to charges for geographic calls, calls to mobiles and call packages (including **Bundles**) as part of the information published in accordance with **Condition** C2.3(c), as well as in its published price lists and in advertising and promotional material which refer to call pricing.
- C2.6 Without prejudice to **Condition** C2.5, in respect of **Unbundled Tariff Numbers, Regulated Providers** shall give particular prominence to:
  - (a) the **Access Charge** that is payable for each package of tariffs that they make available to **Consumers**; and
  - (b) whether calls to **Unbundled Tariff Numbers** are included within bundles of inclusive calls or inclusive call minutes purchased by **Consumers** from the **Regulated Provider**, specifying in particular:
    - (i) the **Unbundled Tariff Numbers** to which the terms of the bundle apply;
    - (ii) if relevant, the number of call minutes to **Unbundled Tariff Numbers** that are so included;
    - (iii) if relevant, whether the inclusion of calls to **Unbundled Tariff Numbers** is conditional upon the time or day of the call; and
    - (iv) whether any special offers, discount schemes or call bundling arrangements apply to the Service Charges payable in respect of the call minutes or calls to Unbundled Tariff Numbers that are so included.
- C2.7 In respect of **Personal Numbers**, for tariffs that **Regulated Providers** make available to **Consumers**, they shall:
  - (a) publish, as part of the information published in accordance with **Condition** C2.3(c), usage charges, including any variations by time of day, and give those charges the same prominence in terms of location and format as is given to charges for geographic calls,

- calls to mobiles and call packages (including bundles) on the **Regulated Provider's** website and in its published price lists; and
- (b) in advertising and promotional material which refer to call pricing, publish the maximum charges applying to **Personal Numbers**.
- C2.8 Without prejudice to **Condition** C2.7, in respect of **Personal Numbers**, **Regulated Providers** shall give particular prominence to whether calls to **Personal Numbers** are included within bundles of inclusive calls or inclusive call minutes purchased by **Consumers** from the **Regulated Provider**, specifying in particular, if relevant:
  - (a) the number of call minutes to **Personal Numbers** that are so included; and
  - (b) whether the inclusion of calls to **Personal Numbers** is conditional upon the time or day of the call.
- C2.9 Where a **Regulated Provider** advertises, promotes or procures the advertisement or promotion of any **Unbundled Tariff Number** in connection with the provision by the **Regulated Provider** of a service to **Consumers** by means of that **Unbundled Tariff Number**, it shall:
  - (a) include or procure the inclusion in any advertising and promotion of the Unbundled
     Tariff Number, the Service Charge which applies in respect of a call by a Consumer to
     that number; and
  - (b) ensure that the Service Charge is displayed in a prominent position and in close proximity to the Unbundled Tariff Number in any such advertising or promotion of the Unbundled Tariff Number.
- C2.10 Where a **Regulated Provider** applies different tariffs for **Microenterprise or Small Enterprise Customers** or **Not-For-Profit Customers** to those it applies to **Consumers**, it must ensure that its pricing for **Microenterprise or Small Enterprise Customers** or **Not-For-Profit Customers** is transparent and inform such **Microenterprise or Small Enterprise Customers** or **Not-For-Profit Customers** where the tariff is a business tariff.

**Premium Rate Service information publication requirements** 

- C2.11 In relation to **Controlled Premium Rate Services ('CPRS')**, **Regulated Providers** shall provide on request and free of charge the following information and advice to **Consumers**, **Microenterprise or Small Enterprise Customers** or **Not-For-Profit Customers**:
  - (a) information about the CPRS mechanisms in the UK market, such as operator billing, premium rate Short Message Service (PSMS) payments, Service Charges for CPRS numbers, and voice shortcode charges, and how they are applied to the Customer's phone bill; and
  - (b) information about the role of **Regulated Providers** in relation to:

- (i) general **CPRS** enquiries and requests for number checks via the number-checker facilities provided by the **Phone-paid Services Authority** on its website;<sup>10</sup> and
- (ii) dealing with formal complaints about abuses of service content, non-compliance with the **Phone-paid Services Authority's** code of practice and other alleged unlawful operation of services and numbers.
- C2.12 In providing information and advice in accordance with **Condition** C2.11, **Regulated Providers** shall provide:
  - (a) basic information about how CPRS work including whether the service(s) in question were routed to service providers hosted on the Regulated Provider's own network or on the network of a different Communications Provider, together with a basic explanation of how revenue sharing with service providers operates;
  - (b) information about the tariffs that apply on their network for calls to any CPRS number range, including, where appropriate, the Regulated Provider's Access Charge;
  - (c) contact details of individual service providers or the **Communications Providers** which host them, and where that information is available<sup>11</sup>;
  - (d) service providers' customer service contact details where **Consumers** can obtain further information about services provided on the **CPRS** numbers found on their **Bills**;
  - (e) information about the role and remit of the Phone-paid Services Authority in dealing with complaints and how to go about making a formal complaint to the Phone-paid Services Authority via the website, helpline or in writing;
  - (f) information on the role of Alternative Dispute Resolution Schemes in resolving disputes concerning CPRS;
  - (g) information about how **Consumers** can bar access from their telephone to all or specific **CPRS** number ranges for reasons of cost and/or content; and
  - (h) information on any other options available to **Consumers** for seeking refunds in cases of abuse or scams involving **CPRS**.

Information required to be displayed in Public Pay Telephones

- C2.13 **Regulated Providers** that provide **Public Pay Telephones** shall display and take all reasonable steps to keep displayed prominently on or around all **Public Pay Telephones** a notice specifying:
  - (a) the minimum charge payable for connection of a call;

<sup>&</sup>lt;sup>10</sup> See the <u>website of the Phone-paid Services Authority</u>

<sup>&</sup>lt;sup>11</sup> For example, the information may be available via the <u>Phone-paid Services Authority's website</u>.

- (b) the location of the **Public Pay Telephone** sufficient to enable it to be located as swiftly as possible by **Emergency Organisations**;
- (c) that calls to Emergency Organisations using the numbers "112" and "999" may be made from the Public Pay Telephone free of charge and without having to use coins or cards; and
- (d) whether or not the **Public Pay Telephone** is available to receive a call, and if so, the **Telephone Number** of the **Public Pay Telephone**.

Transparency requirements in relation to SME customers

- C2.14 As part of the information published in accordance with **Condition** C2.3(b) and (i), a **Regulated Provider** must publish the following information in respect of any standard form contract it offers to **SME Customers** (whether exclusively or amongst others) for **Relevant Communications Services**:
  - (a) the Service Level Agreements (if any) that apply:
    - (i) in relation to the Regulated Provider activating the service(s) on the date confirmed to a SME Customer and in the event of the Regulated Provider failing to do so;
    - (ii) in the event of a Loss of Service; and
    - (iii) in relation to the Regulated Provider (or its supplier) keeping a pre-agreed appointment to attend the SME Customer's premises and in the event of the Regulated Provider (or its supplier) failing to do so;
  - (b) the **Service Level Guarantee** (if any) that applies for each of the events listed in **Condition** C2.14(a);
  - (c) if applicable, the fact that no **Service Level Agreement** and/or **Service Level Guarantee** applies in relation to an event listed in **Condition** C2.14(a); and
  - (d) if applicable, the fact that a Service Level Agreement and/or Service Level Guarantee may be available in relation to an event listed in Condition C2.14(a), but that the exact terms are subject to individual negotiation between the Regulated Provider and a SME Customer.
- C2.15 When a **SME Customer** enters into a contract of a kind offered by the **Regulated Provider** to **SME Customers** (whether exclusively or amongst others) for a **Relevant Communications Service** (whether on the basis of a standard form or a bespoke contract), the **Regulated Provider** must provide the **SME Customer**, free of charge, with the information described in **Condition** C2.14(a) to (c) (or the applicable information about each of those matters in relation to a bespoke contract), where applicable, as part of the **Contract Information** provided pursuant to **Condition** C1.3 and the Annex to **Condition** C1, or otherwise in a **Durable Medium** that is separate and distinct from the **SME Customer's** contract.

#### **Method of publication**

C2.16 Where this **Condition** requires information to be published, it shall be effected by publishing the information on the website of the **Regulated Provider** in a clear, comprehensive and machine-readable manner, and in a format that is accessible to **End-Users** with disabilities or, in such manner and form as directed by **Ofcom**. **Regulated Providers** shall update the relevant information regularly.

#### **Processes and procedures**

- C2.17 **Regulated Providers** must have procedures in place to ensure that enquiry and helpdesk staff are aware of the requirements of **Conditions** C2.2 to C2.12, C2.16 and C2.18 in order for them to be able to respond to complaints and enquiries and to monitor their compliance with the requirements.
- C2.18 Regulated Providers must have fully documented procedures in place to ensure that Customers and advice agencies are made aware of the existence of the requirements in this Condition including, for example, by referring to the requirements in sales and marketing literature.

#### Provision of data to third parties

- C2.19 **Regulated Providers** shall make available, free of charge and in open data formats, the information listed in **Condition** C2.21, for the purposes of providing a **Comparison Tool** meeting the conditions set out in **Condition** C2.20.
- C2.20 The conditions referred to in **Condition** C2.19 are that the **Comparison Tool** must:
  - (a) be operationally independent from **Regulated Providers**, thereby ensuring that **Regulated Providers** are given equal treatment in search results;
  - (b) clearly disclose its owners and operators;
  - (c) set out clear and objective criteria on which the comparison is to be based;
  - (d) use plain and unambiguous language;
  - (e) provide accurate and up-to-date information and state the time of the last update;
  - (f) be open to any **Regulated Provider** making available the relevant information in accordance with **Condition** C2.19;
  - (g) include a broad range of offers covering a significant part of the market and, where the information presented is not a complete overview of the market, a clear statement to that effect, before displaying results;
  - (h) provide an effective procedure to report incorrect information; and
  - (i) include the possibility to compare prices, tariffs and minimum quality of service between offers available to **Consumers**.

#### **General Conditions**

- C2.21 The information referred to in **Condition** C2.19 is information relating to:
  - (a) the prices and tariffs of services provided against recurring or consumption-based direct monetary payments; and
  - (b) the minimum quality of service where offered, or the **Regulated Provider** is required to publish such information.

## C3 Billing requirements

This condition aims to ensure that customers of communications providers are not overcharged and that they receive the services they are charged and pay for, that they can adequately control how much they spend on the usage of voice call and data services, and that they are treated fairly where they have not paid their bills.

#### Scope

- C3.1 The provisions of this **Condition** apply as follows:
  - (a) Conditions C3.2 and C3.3 apply to any person who provides a Public Electronic Communications Service;
  - (b) Conditions C3.4 to C3.6 apply to any provider of Voice Communications Services and/or Internet Access Services (including any wholesale provider) in respect of:
    - (i) the billing of End-Users; and
    - (ii) the provision of information to be used by another Communications Provider for billing End-Users,
    - except that **Conditions** C3.4 to C3.6 do not apply to any such provider if its **Relevant Turnover** in its most recent complete financial year is less than £55 million;
  - (c) Condition C3.7 applies to any person who provides Number-based Interpersonal Communications Services and/or Internet Access Services;
  - (d) Conditions C3.8 to C3.12 apply to any person who provides Voice Communications Services and/or Internet Access Services to a Subscriber; and
  - (e) Conditions C3.13 and C3.14 apply to any person who provides Number-based Interpersonal Communications Services and/or Internet Access Services when they provide such services to Subscribers who are:
    - (i) **Consumers**; and/or
    - (ii) Microenterprise or Small Enterprise Customers or Not-For-Profit Customers, unless they have expressly agreed otherwise,

such **Subscribers** being 'Relevant Customers' for the purposes of those provisions,

and each person to whom a provision applies is a 'Regulated Provider' for the purposes of that provision.

#### **Accurate billing**

C3.2 **Regulated Providers** shall not charge an **End-User**, or render or make available any **Bill** to an **End-User**, in respect of the provision of any **Public Electronic Communications Services**,

- unless every amount charged and/or stated in the **Bill** represents and does not exceed the true extent of any such service actually provided to the **End-User** in question.
- C3.3 **Regulated Providers** shall retain such **Records** as may be necessary for the purpose of establishing compliance with **Condition** C3.2 for at least 12 months from the date on which they were created. This **Condition** applies subject to the requirements of **Relevant Data Protection Legislation**.

#### **Total metering and billing systems**

- C3.4 **Ofcom** may from time to time issue a direction<sup>12</sup> under this **Condition** setting out the process, standards and other requirements that **Regulated Providers** must comply with to obtain **Approval** of their **Total Metering and Billing Systems**.
- C3.5 **Regulated Providers** shall:
  - (a) apply to an **Approval Body** for **Approval** of any **Total Metering and Billing System** they use in respect of the **Voice Communications Services** and/or **Internet Access Services** they provide, in accordance with the process specified by **Ofcom** in a direction issued under **Condition** C3.4;
  - (b) obtain Approval for these services as soon as is practicable; and
  - (c) comply with any directions made by the Approval Body in respect of such Approval.
- C3.6 Where an **Approval Body** does not grant or withdraws **Approval** from all or part of a **Regulated Provider's Total Metering and Billing System**, that **Regulated Provider** shall, as soon as is reasonably practicable, either take the action recommended by the **Approval Body** to obtain **Approval** or cease use of that **Total Metering and Billing System** (or that part of it), and, in either case, inform **Ofcom** of the date by which it shall do so.

#### Access to billing information

- C3.7 Subject to **Condition** C3.9, **Regulated Providers** shall provide to each of their **Subscribers**, on request, and at no extra charge, access to adequate and up-to-date billing information to allow the **Subscriber** to:
  - (a) verify and control the charges incurred by the **Subscriber**; and
  - (b) adequately monitor the **Subscriber's** usage and expenditure and thereby exercise a reasonable degree of control over their **Bills**.
- C3.8 In relation to any **Subscriber** who is a **Consumer**, the billing information referred to in **Condition** C3.7 must include any **Access Charge** applied by the **Regulated Provider** for the

<sup>&</sup>lt;sup>12</sup> See Ofcom's metering and billing direction

- purpose of calculating the amounts payable by that **Subscriber** for calls to **Unbundled Tariff Numbers** in accordance with **Condition** B1.
- C3.9 If its **Subscriber** requests the billing information mentioned in **Condition** C3.7 by means of a printed **Bill**, the **Regulated Provider** may charge a reasonable fee for providing it.
- C3.10 Regulated Providers shall ensure that calls and SMS to the emergency call numbers "999" and "112", or any of the numbers which are designated as "free to caller" in the National Telephone Numbering Plan, including calls and SMS to helplines on such numbers, are not identified in the Subscriber's itemised Bills or any other Records that Regulated Providers make available to the Subscriber.

#### **Debt collection and disconnection**

- C3.11 Where a **Subscriber** has not paid a **Regulated Provider** all or part of a **Bill** for **Voice Communications Services** and/or **Internet Access Services** provided by the **Regulated Provider**, the **Regulated Provider** shall ensure that any measures it takes to effect payment or disconnection:
  - (a) are proportionate and not unduly discriminatory;
  - (b) include giving due warning to the **Subscriber** beforehand of any consequent service interruption or disconnection; and
  - (c) except in cases of fraud, persistent late payment or non-payment, confine any service interruption to the service concerned, as far as technically feasible.
- C3.12 **Regulated Providers** shall publish details of the measures they may take to effect payment or disconnection in accordance with **Condition** C3.11 by:
  - (a) sending a copy of such information or any appropriate parts of it to any **Subscriber** who reasonably requests such a copy, free of charge; and
  - (b) placing a copy of such information in plain English, in an easily accessible and reasonably prominent manner on their website or, where there is no such website, in such manner and form as directed by **Ofcom**.

#### **Notification of service consumption**

- C3.13 Regulated Providers shall notify their Relevant Customers when a Number-based Interpersonal Communications Service and/or Internet Access Service which is included in their tariff plan and is billed on the basis of either time or volume has been fully consumed.
- C3.14 As part of the notification provided pursuant to **Condition** C3.13, **Regulated Providers** should also include information on any usage charges that the **Relevant Customer** will incur if they continue to use the relevant **Number-based Interpersonal Communications Service** and/or **Internet Access Service**.

## C4 Complaints handling and dispute resolution

This condition ensures that all communications providers handle complaints they receive from their customers in accordance with certain minimum procedural standards. This condition requires communications providers to ensure that their complaints handling procedures are accessible to customers, including those who are disabled and those who are in circumstances that may make them vulnerable, and to set out their complaints handling procedures in a code of practice. This condition also requires communications providers to be members of an independent alternative dispute scheme and to comply with the decisions of that scheme.

#### Scope

- C4.1 This Condition applies to any Communications Provider who provides Public Electronic Communications Services to Consumers, Microenterprise or Small Enterprise Customers or Not-For-Profit Customers. For the purposes of this Condition:
  - (a) any such Communications Provider is a 'Regulated Provider'; and
  - (b) Consumers, Microenterprise or Small Enterprise Customers or Not-For-Profit Customers are 'Relevant Customers'.

Code of practice for customer service and complaints handling

#### C4.2 Regulated Providers must:

- (a) have and comply with procedures for the handling of Complaints made by Relevant
   Customers in connection with the provision of Public Electronic Communications
   Services, that conform with Section 1 of the Ofcom Approved Complaints Code;
- (b) have and comply with a **Customer Complaints Code** that conforms with Section 2 of the **Ofcom Approved Complaints Code**;
- (c) retain written records of **Complaints** in conformity with Section 3 of the **Ofcom Approved Complaints Code**.

#### **Dispute resolution**

#### C4.3 **Regulated Providers** must:

- (a) be a member of an approved Alternative Dispute Resolution ('ADR') Scheme;
- (b) comply with the **ADR Scheme**, including abiding by any final decision of the body which administers the **ADR Scheme**, within the time period specified in that final decision;
- (c) ensure that Relevant Customers have the right to use the ADR Scheme free of charge;and
- (d) provide information in **Bills** about the **ADR Scheme** in conformity with Section 4 of the **Ofcom Approved Complaints Code**.

#### **General Conditions**

### **Monitoring compliance**

C4.4 **Regulated Providers** must monitor their compliance with the obligations imposed by this **Condition** and the **Ofcom Approved Complaints Code**, including compliance by all staff who interact with **Relevant Customers** and/or handle **Complaints**, and take appropriate steps to prevent the recurrence of any problems identified.

#### **Annex to Condition C4**

[See Condition C4.2]

# Ofcom approved complaints code of practice for customer service and complaints handling

#### Section 1 – Complaints handling procedures

The **Complaints Handling Procedures** of **Regulated Providers** should ensure any **Complaint** from a **Relevant Customer** is resolved to the **Complainant's** satisfaction in a timely manner or where the **Regulated Provider** is unable to do so, that the **Complainant** is informed of their right to go to the **ADR Scheme** as soon as it is appropriate to do so.

Receiving, handling and resolving complaints by relevant customers with disabilities or who are in circumstances that may make them vulnerable

- 2 The **Complaints Handling Procedures** of **Regulated Providers** must be sufficiently accessible to enable the following to make, and progress, a **Complaint**:
  - (a) Relevant Customers with disabilities;
  - (b) Relevant Customers who the Regulated Provider has been informed or should otherwise reasonably be aware may be vulnerable due to circumstances such as age, physical or learning disability, physical or mental illness, low literacy, communications difficulties or changes in circumstances such as bereavement; and
  - (c) third parties acting on behalf of such Relevant Customers.

#### Identifying and receiving complaints

- 3 **Regulated Providers** must ensure that all staff who communicate with **Relevant Customers** receive training on how to identify a **Complaint**.
- 4 A **Regulated Provider** must allow **Relevant Customers** to make **Complaints** by at least the following three means:
  - (a) a **Telephone Number** which is either a 'free to call' number or a number charged at the equivalent of a geographic call rate;
  - (b) a UK postal address; and
  - (c) either an email address or an internet web page form dedicated to allowing **Relevant Customers** to lodge **Complaints**.
- 5 The means by which a **Regulated Provider** accepts **Complaints**:
  - (a) must be well publicised and readily available; and
  - (b) should not unduly deter **Relevant Customers** from making a **Complaint**.

Information to the complainant about process and timeframe

- 6 After having received a **Complaint**, the **Regulated Provider** must promptly inform the **Complainant** of:
  - (a) the process it will follow to investigate the **Complaint** with a view to resolving it to the **Complainant's** satisfaction; and
  - (b) the timeframes in which the **Regulated Provider** will endeavour to carry out its investigation of the **Complaint**.

Taking steps to resolve complaints

7 A **Regulated Provider** must promptly take, and continue to promptly take, active steps to resolve the **Complaint** to the **Complainant's** satisfaction until the **Complaint** has been resolved or otherwise closed.

Telling the complainant of the outcome of the investigation into the complaint

- 8 The **Regulated Provider** must promptly tell the **Complainant** of the outcome of its investigation into the **Complaint**.
- 9 When telling the **Complainant** of the outcome of its investigation into the **Complaint** in accordance with paragraph 8, the **Regulated Provider** must also tell the **Complainant**:
  - (a) that the Regulated Provider may consider it reasonable to conclude that the Complaint has been resolved to the Complainant's satisfaction if the Regulated Provider promptly tells the Complainant of the outcome of its investigation into the Complaint and the Complainant does not let the Regulated Provider know within 28 days that they consider the Complaint remains unresolved; and
  - (b) where a copy of the Customer Complaints Code can be found on the Regulated Provider's website and the contact details for the ADR Scheme of which the Regulated Provider is a member.
- 10 Where requested by the **Complainant**, **Regulated Providers** must ensure that the information referred to in paragraphs 8 and 9 is issued in a **Durable Medium**.

Unresolved complaints and access to ADR

**Issuing ADR letters** 

- 11 The **Regulated Provider** must immediately issue an **ADR Letter** to the **Complainant** at any time, where the following three cumulative criteria are met:
  - (a) the **Regulated Provider** has told the **Complainant** of the outcome of its investigation into the **Complaint**;
  - (b) the **Complainant** has told the **Regulated Provider** that they consider the proposed outcome does not resolve the **Complaint** to their satisfaction; and

- (c) the **Regulated Provider** does not intend to take additional steps to resolve the **Complaint** to the **Complainant's** satisfaction that would produce a different outcome.
- 12 The **Regulated Provider** must immediately issue an **ADR Letter** to the **Complainant** if the **Complaint** remains unresolved after 8 weeks have passed since the date on which the **Complaint** was first received, unless the **Regulated Provider** has already sent an **ADR Letter** in accordance with paragraph 11 above.
- Any time a **Regulated Provider** is required to issue an **ADR Letter** under this **Condition**, the **ADR Letter** must comply with the following requirements:
  - (a) it must be written in plain English;
  - (b) it must provide information solely about the relevant Complaint;
  - (c) it must inform the Complainant that because the Complaint cannot be resolved to their satisfaction, they may exercise their right to take their Complaint to the ADR Scheme at no cost to the Complainant;
  - (d) it must provide the name and contact details of the body which administers the ADR
     Scheme of which the Regulated Provider is a member and state that the ADR Scheme is independent of the Regulated Provider; and
  - (e) it must be issued in a Durable Medium.

#### **Closing complaints**

- 14 The **Regulated Provider** must not close a **Complaint** unless:
  - (a) the **Complaint** has been resolved in accordance with the circumstances set out in paragraph 15 below;
  - (b) an **ADR Letter** has been issued to the **Complainant** in accordance with paragraphs 11 or 12 above; or
  - (c) it is reasonable for the Regulated Provider to consider the Complaint to be frivolous or vexatious.
- 15 A **Complaint** has been resolved where:
  - (a) the **Complainant** has expressly agreed that the **Complaint** has been resolved to the **Complainant's** satisfaction;
  - (b) it is reasonable for the **Regulated Provider** to conclude that the **Complaint** has been resolved to the **Complainant's** satisfaction because:
    - the Regulated Provider has informed the Complainant of the outcome of its investigation in accordance with paragraph 8 and complied with its obligations under paragraph 9; and
    - (ii) the **Complainant** has not come back to the **Regulated Provider** within 28 days to say that they consider the **Complaint** remains unresolved (see paragraph 9(a)).

### Section 2 – Customer complaints code

- The **Customer Complaints Code** that **Regulated Providers** are required to have pursuant to **Condition** C4.2(b) must:
  - (a) be concise and easy to understand;
  - (b) only contain relevant information about how Complaints from Relevant Customers are handled and how, and when, Complainants can take their unresolved Complaints to the ADR Scheme.
- 17 The Customer Complaints Code must be kept up to date and include information about:
  - (a) the contact details for making a **Complaint** to the **Regulated Provider**, including providing details about the means of lodging a **Complaint** required in paragraph 4 above;
  - (b) the steps the **Regulated Provider** will take to investigate with a view to resolving a **Complaint**;
  - (c) the timeframes in which the Regulated Provider will endeavour to resolve the Complaint;
  - (d) the right for a **Complainant** to take their unresolved **Complaint** to the **ADR Scheme** after eight weeks have passed since the date on which the **Complaint** was received, and the circumstance (under paragraph 11 above) where the **Complainant** can do so at any time;
  - (e) the name and contact details for the body which administers the **ADR Scheme** of which the **Regulated Provider** is a member.
- 18 The **Customer Complaints Code** must be well publicised and readily available, including ensuring that it is:
  - (a) easily accessible on a webpage, with either:
    - (i) a weblink to the **Customer Complaints Code** being clearly visible on a **Regulated Provider's** primary webpage for existing **Relevant Customers** (i.e. '1 click' access); or
    - (ii) a weblink to the Customer Complaints Code being clearly visible on a 'how to complain' or 'contact us' page, which is directly accessible from a primary webpage for existing Relevant Customers (i.e. '2 click' access);
  - (b) referred to in the terms and conditions for all relevant products and services, which should signpost **Consumers** to how they can access a copy of the **Customer Complaints Code**;
  - (c) provided free of charge to **Complainants** upon reasonable request in hard copy or other format as agreed with the **Complainant**; and
  - (d) made available on request, free of charge and in a format reasonably acceptable to any Relevant Customer who is blind or whose vision is impaired. An acceptable format would, for these purposes, consist of print large enough for those Relevant Customers to read, Braille or electronic format appropriate to the reasonable needs of the Relevant Customer.

Staff awareness of the regulated provider's customer complaints code

- 19 **Regulated Providers** must ensure that all staff who deal with **Complaints** (for example, front-line staff who are the first point of contact for **Complainants** and/or responsible for dealing with **Complaints**, and those staff to whom **Complaints** are escalated):
  - (a) are fully informed of, and understand, the **Customer Complaints Code**; and
  - (b) know where, and how, to access the **Customer Complaints Code** on the **Regulated Provider's** website.

### Section 3 - Record keeping

Record keeping for each complaint

- 20 For each Complaint received, the Regulated Provider must keep a record in writing of:
  - (a) the date on which the **Complaint** was received;
  - (b) how the **Complaint** was made (for example, by email or by phone);
  - (c) the identity and contact details of the **Complainant**;
  - (d) a description of what the **Complaint** is about;
  - (e) all communications made or received between the **Regulated Provider** and the **Complainant** regarding the **Complaint**, including, as a minimum:
    - (i) the date on which the communication was made or received;
    - (ii) how the communication was made or received (for example, by email or by phone);
    - (iii) a description of what was contained in the communication (for example, advice given and/or action proposed to be taken and/or action agreed with the **Complainant** to be taken, to resolve the **Complaint**);
    - (iv) copies of any written communication; and
  - (f) the date on which the **Complaint** was resolved or otherwise closed.
- 21 Where the **Complaint** is resolved because:
  - (a) the Complainant expressly agrees that the Complaint has been resolved to the Complainant's satisfaction, the Regulated Provider must keep a record in writing of that express agreement;
  - (b) the conditions set out in paragraph 9(a) and (b) have been satisfied as a result of which the Regulated Provider can conclude that the Complaint has been resolved to the Complainant's satisfaction, the Regulated Provider must keep a record showing that those Conditions were met.
- 22 Where the **Regulated Provider** closes a **Complaint** on the basis of:
  - (a) paragraph 14(b), a record must be retained of the ADR Letter and why it was issued;

(b) paragraph 14(c), a record must be retained of why the **Regulated Provider** considered it reasonable to consider the **Complaint** to be frivolous or vexatious.

#### Monthly records

- 23 For each month, **Regulated Providers** must retain a record of the following:
  - (a) the number of **Complaints** received in that month;
  - (b) the number of **ADR Letters** sent in that month for unresolved **Complaints** in accordance with paragraph 12 (i.e. after eight weeks have passed);
  - (c) the number of **ADR Letters** sent in that month for unresolved **Complaints** in accordance with paragraph 11 (i.e. at any time);
  - (d) the number of **Complaints** resolved because the **Complainant** expressly agreed that the **Complaint** has been resolved to the **Complainant's** satisfaction;
  - (e) the number of Complaints resolved because the conditions set out in paragraph 9(a) and
     (b) have been satisfied as a result of which the Regulated Provider can conclude that the
     Complaint has been resolved to the Complainant's satisfaction;
  - (f) the number of **Complaints** closed on the basis of paragraph 14(c).

#### **Retaining records**

- 24 **Regulated Providers** must retain the written records referred to in paragraphs 20 to 23 for a period of at least twelve months after the **Complaint** was resolved or otherwise closed.
- 25 **Regulated Providers** must retain the written records referred to in paragraphs 20 to 23 in an appropriate format such that the records are:
  - (a) clear in how they meet the requirements in those paragraphs; and
  - (b) readily accessible in order to assist in effective compliance monitoring.

#### Section 4 – Information in bills on ADR

- 26 Every **Bill** provided to **Relevant Customers** who are **Consumers**, excluding **Bills** provided by **SMS**, must also include, in a reasonably prominent manner, relevant text regarding the right of **Relevant Customers** to take unresolved **Complaints** to the **ADR Scheme**. That text must:
  - (a) provide the name and contact details of the body which administers the ADR Scheme of which the Regulated Provider is a member and state that the ADR Scheme is independent of the Regulated Provider;
  - (b) state that the **ADR Scheme** offers dispute resolution for **Complaints** at no cost to the **Complainant**;
  - (c) inform **Relevant Customers** that the **ADR Scheme** can normally only be accessed after eight weeks have passed since the **Complaint** was first made to the **Regulated Provider**; and

(d) refer to the **Customer Complaints Code**, and where it can be found on the **Regulated Provider's** website, for further information and, where possible, provide a web address for the **Customer Complaints Code**.

## C5 Measures to meet the needs of vulnerable consumers and end-users with disabilities

This condition aims to ensure that communications providers give sufficient consideration to the particular needs of people with disabilities and people whose circumstances may make them vulnerable. It also aims to ensure that people with disabilities can obtain comparable access to public electronic communications services to that of non-disabled people and that their access to these services when they have a genuine need is protected.

#### Scope

- C5.1 The provisions of this **Condition** apply as follows:
  - (a) Conditions C5.2 to C5.10 and C5.13 to C5.18 apply to providers of Public Electronic Communications Services; and
  - (b) Conditions C5.11 and C5.12 apply to any person who provides:
    - (i) Internet Access Services to End-Users; or
    - (ii) **Number-based Interpersonal Communications Services**, where it is technically feasible to provide an **Emergency Video Relay Service** to **End-Users**;

each person to whom a provision applies is a 'Regulated Provider' for the purposes of that provision.

Policy for consumers whose circumstances may make them vulnerable

- C5.2 **Regulated Providers** must establish, publish and comply with clear and effective policies and procedures for the fair and appropriate treatment of **Consumers** whose circumstances may make them vulnerable.
- C5.3 Such policies and procedures must include, as a minimum:
  - (a) practices for ensuring the fair and appropriate treatment of Consumers who the Regulated Provider has been informed or should otherwise reasonably be aware may be vulnerable due to circumstances such as age, physical or learning disability, physical or mental illness, low literacy, communications difficulties or changes in circumstances such as bereavement;
  - (b) how information about the needs of Consumers who the Regulated Provider has been informed or should otherwise reasonably be aware may be vulnerable will be recorded and the different channels by which these Consumers will be able to make contact with, and receive information from, the Regulated Provider; and
  - (c) how the impact and effectiveness of the policies and procedures are monitored and evaluated.

- C5.4 **Regulated Providers** must provide to **Ofcom**, on request, any information considered by **Ofcom** to be necessary to demonstrate compliance with this **Condition**.
- C5.5 **Regulated Providers** must ensure that all staff are made aware of the policies and procedures and appropriately trained, including (if applicable) on how to refer **Consumers** to specialist teams or members of staff who have received additional training.

#### Measures for end-users with disabilities

C5.6 **Regulated Providers** must take the measures needed to meet the needs of **End-Users** with disabilities set out in **Conditions** C5.7 to C5.16 and take all reasonable steps to ensure that such measures are widely publicised, taking into consideration the need to disseminate information in appropriate formats through appropriate channels for **End-Users** with disabilities.<sup>13</sup>

#### **Access to directory information**

C5.7 Regulated Providers must ensure that any End-User of the Number-based Interpersonal Communications Services it provides who is unable to easily use a printed Directory due to visual impairment or other disabilities, can access, free of charge, Directory Information and Directory Enquiry Facilities in a form which is appropriate to meet their needs. Regulated Providers must ensure that such Directory Enquiry Facilities are capable of connecting such an End-User to a requested Telephone Number at the request of that End-User.

#### Relay service

- C5.8 **Regulated Providers** must ensure that any **End-User** of the **Voice Communications Services** it provides who, because of his or her disabilities, needs to make or receive calls in which some or all of the call is made or received in text format, can access and use a **Relay Service** which has been approved by **Ofcom**.
- C5.9 In providing access to **Relay Services** under **Condition** C5.8, **Regulated Providers** must comply with the following requirements:
  - (a) any charge for the conveyance of messages to which a Relay Service applies must not
    exceed the equivalent price as if that conveyance had been made directly between the
    caller and the called person without use of a Relay Service;
  - (b) in making the charges set out in **Condition** C5.9(a), **Regulated Providers** must apply a special tariff scheme designed to compensate **Subscribers** for the additional time taken by **End-Users** with disabilities to make telephone calls using a **Relay Service** where, because of their disabilities, those **End-Users** need to make calls using a **Relay Service**;
  - (c) Regulated Providers must ensure measures are taken to protect the confidentiality of communications between End-Users of the Relay Service;

<sup>&</sup>lt;sup>13</sup> See Ofcom's guide to publicising services available to disabled people

- (d) subject to **Condition** C3.11, **Regulated Providers** must ensure that the **Relay Service** is available for lawful use by **End-Users** at all times;
- (e) **Regulated Providers** must ensure **End-Users** are not prevented from communicating with other **End-Users** of other approved **Relay Services**; and
- (f) **Regulated Providers** must comply with any directions in respect of the **Relay Services** which **Ofcom** may make from time to time.

Mobile SMS access to emergency organisations

C5.10 Regulated Providers who are Mobile Service Providers must provide any End-User of their Mobile Communications Services who has hearing or speech impairments with Mobile SMS Access to Emergency Organisations by using the emergency call numbers "112" and "999" at no charge.

Emergency video relay access to emergency organisations

- C5.11 **Regulated Providers** must:
  - (a) provide or contract to provide an **Emergency Video Relay Service** which has been approved by **Ofcom**; and
  - (b) ensure that any **End-User** of **Internet Access Services** or **Number-based Interpersonal Communications Services** they provide, who communicates in British Sign Language because of their disabilities, can access and use the **Emergency Video Relay Service**.
- C5.12 In providing access to and facilitating use of **Emergency Video Relay Services** under **Condition** C5.11, **Regulated Providers** must:
  - (a) provide the Emergency Video Relay Service free of charge to the End-User;
  - (b) where technically feasible, apply an incremental price of zero to any data traffic associated with the use of the **Emergency Video Relay Service**;
  - (c) ensure measures are taken to protect the confidentiality of communications between **End-Users** of the **Emergency Video Relay Service** and the **Emergency Organisations**;
  - (d) subject to **Condition** C3.11, ensure that the **Emergency Video Relay Service** is available for lawful use by **End-Users** at all times; and
  - (e) comply with any directions in respect of the **Emergency Video Relay Service** which **Ofcom** may make from time to time.

#### **Priority fault repair**

C5.13 **Regulated Providers** must provide a priority **Fault Repair Service** as swiftly as practicable to any **End-User** of their **Fixed-line Telecommunications Service** with a disability who has a genuine need for an urgent repair. Charges for a priority **Fault Repair Service** must not exceed the **Regulated Provider's** standard charge for a **Fault Repair Service**.

#### Third party bill management<sup>14</sup>

- C5.14 Regulated Providers must make the following special measures available to any of their Subscribers with a disability who needs assistance in managing his or her Bills for any Public Electronic Communications Service, at no cost to such a Subscriber:
  - (a) enable such **Subscriber** to give prior notification to the **Regulated Provider** of a nominee to whom:
    - (i) that Subscriber's Bill shall initially be sent; or
    - (ii) any enquiry to establish why a **Bill** has not been paid shall be made;
  - (b) permit the nominee to pay that Subscriber's Bill on their behalf;
  - (c) require the nominee to give prior consent to the **Regulated Provider** to act in such capacity; and
  - (d) not require the nominee to accept liability to pay the Bills of that Subscriber.

#### Communications in an accessible format

- C5.15 **Regulated Providers**, upon request, must make available free of charge to any **Subscriber** who requires it because of their disabilities, all communications with them in a reasonably acceptable format, including the following information:
  - (a) any contract (or any subsequent variation) with that Subscriber for the provision of Public Electronic Communications Services, including any publicly available terms or conditions referred to in that contract or variation;
  - (b) any **End-of-Contract Notification**;
  - (c) any Annual Best Tariff Notification;
  - (d) any Bill rendered or made available in respect of those services; and
  - (e) any other communications (other than marketing communications) which relate to their services.

An acceptable format, for these purposes, includes for example: print large enough for such **Subscriber** to read, print on coloured paper, Braille or an electronic format appropriate to the reasonable needs of the **Subscriber**.

C5.16 **Regulated Providers**, upon request, must make available free of charge to any **Customer** who requires it because of their disabilities, any **Contract Information** or **Contract Summary** in accordance with **Conditions** C1.3 to C1.7, in a reasonably acceptable format.

<sup>&</sup>lt;sup>14</sup> See also <u>Ofcom's guide setting out the difference between third party bill management and power of attorney</u>

An acceptable format, for these purposes, includes for example: print large enough for such **Customer** to read, print on coloured paper, Braille or an electronic format appropriate to the reasonable needs of the **Customer**.

#### **Consultation with the Consumer Panel**

C5.17 **Regulated Providers** must consult the **Consumer Panel** on request to ensure that the requirements and interests of **End-Users** with disabilities and **Consumers** whose circumstances may make them vulnerable are fully taken into account in the development and provision of their services.

#### **Data protection**

C5.18 This Condition applies subject to the requirements of Relevant Data Protection Legislation.

## **C6** Calling line identification facilities

This condition requires communications providers to provide calling line identification facilities by default wherever technically feasible and economically viable, so that call recipients can identify the person calling them and choose whether or not to accept the call.

To assist with the identification of callers and reduce the incidence of nuisance calls, all communications providers should ensure that the CLI Data associated with a call at the network level and/or presented to a call recipient includes a valid, dialable number which uniquely identifies the calling party, so that the call recipient can make a return call to that person.

#### Scope

C6.1 This **Condition** applies to all providers of **Number-based Interpersonal Communications**Services and **Public Electronic Communications Networks** over which **Number-based**Interpersonal Communications Services are provided, each of whom is a 'Regulated **Provider**' for the purposes of this **Condition**.

Provision of calling line identification facilities<sup>15</sup>

- C6.2 **Regulated Providers** must provide **Calling Line Identification Facilities**, and enable them by default, unless they can demonstrate that it is not technically feasible or economically viable to do so.
- C6.3 **Regulated Providers** must inform **Subscribers** if **Calling Line Identification Facilities** are not available on the service they are providing to those **Subscribers**.
- C6.4 When providing Calling Line Identification Facilities, Regulated Providers must:
  - (a) ensure, so far as technically feasible, that any **CLI Data** provided with and/or associated with a call includes a valid, dialable **Telephone Number** which uniquely identifies the caller; and
  - (b) respect the privacy choices of **End-Users**.
- C6.5 **Regulated Providers** must not charge **Subscribers** any additional or separate fee for access to or use of standard **Calling Line Identification Facilities**.

CLI that is invalid, non-dialable or does not uniquely identify the caller

- C6.6 Where technically feasible, **Regulated Providers** must:
  - (a) take all reasonable steps to identify calls, other than calls to Emergency Organisations, in relation to which the CLI Data provided is invalid, does not uniquely identify the caller, or does not contain a Telephone Number that is dialable; and

<sup>&</sup>lt;sup>15</sup> See also Ofcom's guidance on the provision of Calling Line Identification facilities

#### **General Conditions**

(b) prevent those calls from being connected to the called party, where such calls are identified.

## **Data protection**

C6.7 This **Condition** applies subject to the requirements of **Relevant Data Protection Legislation**.

## C7 Switching and number porting

This condition aims to protect end-users during the process of switching communications services they receive using a telephone number (e.g. their voice services) or their internet access services or bundles. Specific protections also apply where end-users request to bring their number(s) with them when they switch.

#### In this Condition:

- Conditions C7.3 to C7.17 and C7.47 to C7.49 relate to switching of all Internet Access Services and Number-based Interpersonal Communications Services;
- Conditions C7.18 to C7.27 relate to switching Fixed Communications Services using the process known as 'One Touch Switch';
- Conditions C7.28 and C7.29 relate to home moves within Openreach's and KCOM's access network;
- Conditions C7.30 to C7.46 relate to mobile switching of fewer than 25 mobile numbers using the process known as 'Auto-switch'.

#### Scope

- C7.1 The provisions of this **Condition** C7 apply as follows:
  - (a) Unless specified otherwise, Conditions C7.3 to C7.16 and Conditions C7.47 to C7.49 apply to all providers of Internet Access Services and/or Number-based Interpersonal Communications Services to Switching Customers when a Communications Provider Migration takes place involving such services;
  - (b) **Conditions** C7.3(a) and (b)(i), C7.4(a) and (d), C7.5(b), C.7.7(a) and C7.10(a) apply to providers of **Bundles** to **Switching Customers** when a **Communications Provider Migration** takes place, and in so far as the **Switching Customer** concerned is:
    - (i) a **Consumer**; or
    - (ii) a **Microenterprise or Small Enterprise Customer** or **Not-For-Profit Customer**, unless such **Microenterprise or Small Enterprise Customer** or **Not-For-Profit Customer** has expressly agreed otherwise;
  - (c) Condition C7.17 applies to providers of Electronic Communications Networks;
  - (d) Conditions C7.18 to C7.20 apply to providers of Fixed Communications Services to Fixed Switching Customers when a Communications Provider Migration takes place involving such services at the same location;

- (e) Conditions C7.21 to C7.24 apply to Gaining Providers who provide Fixed
   Communications Services to Fixed Switching Customers when a Communications
   Provider Migration takes place involving such services at the same location;
- (f) Conditions C7.25 to C7.27 apply to Losing Providers who provide Fixed Communications Services to Fixed Switching Customers when a Communications Provider Migration takes place involving such services at the same location;<sup>16</sup>
- (g) Condition C7.25(c) applies to Losing Providers who provide Bundles to Fixed Switching Customers when a Communications Provider Migration takes place at the same location involving a Fixed Communications Service which forms part of that Bundle;
- (h) Condition C7.28 applies to any Communications Provider which provides Fixed-line Telecommunications Services and/or DSL Broadband Services to Switching Customers who are Consumers, Microenterprise or Small Enterprise Customers or Not-For-Profit Customers when a Working Line Takeover pursuant to a Home-Move Request is taking place within Openreach's or KCOM's Access Network;
- Condition C7.29 applies to any Communications Provider which provides Broadband Services to Switching Customers or Subscribers (as applicable) who are Consumers, Microenterprise or Small Enterprise Customers or Not-For-Profit Customers when a Migration is taking place within Openreach's or KCOM's Access Network, where not covered by Condition C7.28;
- (j) Conditions C7.30 to C7.46 apply to providers of Mobile Communications Services to Mobile Switching Customers when a Communications Provider Migration takes place involving fewer than 25 Mobile Numbers, and in particular:<sup>17</sup>
  - (i) **Conditions** C7.30(b)(ii), C7.31(c) and C7.35 to C7.37 apply in relation to **Mobile Switching Customers** that are on a residential tariff;
  - (ii) **Conditions** C7.38 to C7.41 apply in relation to **Mobile Switching Customers** that are on a business tariff; and
  - (iii) Condition C7.30(b)(ii)b. applies to providers of Bundles to Mobile Switching Customers when a Communications Provider Migration takes place involving a Mobile Communications Service which forms part of the Bundle.

Each person to whom a provision applies is a 'Regulated Provider' for the purposes of that provision.

C7.2 The following services are 'Relevant Communications Services':

<sup>17</sup> For the avoidance of doubt, **Conditions** C7.30 to C7.46 apply to **Regulated Providers** in addition to their obligations under the **Conditions** listed in **Condition** C7.1(a).

<sup>&</sup>lt;sup>16</sup> For the avoidance of doubt, **Conditions** C7.18 to C7.27 apply to **Regulated Providers** in addition to their obligations under the **Conditions** listed in **Condition** C7.1(a).

- (a) for the purposes of **Conditions** C7.3 to C7.16 and **Conditions** C7.47 to C7.49, any **Internet Access Services** and/or **Number-based Interpersonal Communications Services**:
  - (b) for the purposes of **Condition** C7.28, any **Fixed-line Telecommunications Services** and/or **DSL Broadband Services** within **Openreach's** or **KCOM's Access Network**;
- (c) for the purposes of **Condition** C7.29, any **Broadband Services** within **Openreach's** or **KCOM's Access Network**; and
- (d) for the purposes of Conditions C7.30 to C7.46, any Mobile Communications Services.

## Switching of all Internet Access Services and Number-based Interpersonal Communications Services

#### **Migration Date**

- C7.3 For the purposes of this **Condition** C7, the **Migration Date** shall be:
  - (a) where technically possible, the date requested by the Switching Customer; or
  - (b) except where **Condition** C7.3(a) applies:
    - (i) as soon as possible; and
    - (ii) no later than:
      - a. in cases involving a **Communications Provider Migration** of **Mobile Communications Services**, one **Working Day** after:
        - i. SIM Activation, where the relevant Switching Customer has already submitted the PAC or STAC to the Gaining Provider at the time when they entered into the contract; or
        - ii. where SIM Activation has already taken place, submission of the PAC or the STAC to the Gaining Provider;
      - b. in all other cases, one **Working Day** after the date on which all necessary validation processes have been completed, the network connection is ready for use by the **Switching Customer**, and, where relevant, the porting of the relevant **Telephone Number(s)** is(are) ready for activation.

**Communications Provider Migration process (including the Porting Process)** 

- C7.4 All **Regulated Providers** shall ensure that:
  - (a) they maintain simple and efficient processes:
    - (i) for Communications Provider Migrations involving Relevant Communications Services that they provide;

- (ii) for the transfer of any services which are included in a **Bundle** with the **Relevant Communications Service(s)** being transferred; and
- (iii) in relation to any **Terminal Equipment**, included as part of a **Bundle** with the **Relevant Communications Services(s)** being transferred, that the **Switching Customer** wishes to return or retain;
- (b) they cooperate in good faith and take all necessary steps within their control to complete the Communications Provider Migration process in accordance with this Condition C7 and Condition B3 and any applicable industry agreed processes;
- (c) they do not delay or abuse the **Communications Provider Migration** process;
- (d) there is continuity of service, unless not technically feasible, and any loss of service during the Communications Provider Migration does not exceed one Working Day; and
- (e) the Communications Provider Migration is completed on the Migration Date.
- C7.5 The **Regulated Provider** that is the **Gaining Provider** must:
  - (a) lead the Communications Provider Migration;
  - (b) allow a **Switching Customer** who so requests to make use of the processes set out in **Condition** C7.4(a).

Specific obligations relating to the Porting Process

- C7.6 All **Regulated Providers** shall ensure that:
  - (a) they provide Number Portability on reasonable terms and conditions to any Switching Customer who so requests;
  - (b) they provide Number Portability for a minimum of one month after the date of termination by the Switching Customer of the contract for the provision of the Relevant Communications Service(s), unless the Switching Customer expressly agrees otherwise at the point when they terminate the contract; and
  - (c) no direct charges are applied to the **Switching Customer** for the provision of **Number Portability**.

**Provision of services by Losing Provider** 

- C7.7 The **Regulated Provider** that is the **Losing Provider** must:
  - (a) where technically feasible, continue to provide the Relevant Communications Service(s)
    or Bundle on the same terms until the Communications Provider Migration is
    completed;
  - (b) ensure that its contract with the **Switching Customer** is automatically terminated on the **Working Day** on which the **Communications Provider Migration** has been completed;

- (c) ensure that in the case of failure of the Porting Process, the number and Relevant
   Communications Services of the Switching Customer are reactivated until the Porting
   Process is completed successfully;
- (d) refund, upon request, any remaining credit to the Switching Customer using prepaid services, minus any fees provided for in their contract with the Switching Customer, in so long as such fees are proportionate to the actual costs incurred by the Losing Provider in offering the refund.
- C7.8 The **Regulated Provider** that is the **Losing Provider** shall ensure that:
  - (a) when a **Communications Provider Migration** takes place involving fewer than 25 **Mobile Numbers**, any **Switching Customer**;
  - (b) in all other cases, a **Switching Customer** that is a **Consumer**;

is only required to pay charges, other than any **Early Termination Charge**, which are incurred as a result of the provision of the **Relevant Communications Services** being transferred up to, and including, the date on which the contract is automatically terminated, and do not include any charges in respect of any remaining notice period that the relevant **Switching Customer** is required to provide in order to exit the contract with the **Losing Provider**.

#### **Express Consent**

- C7.9 The **Regulated Provider** that is the **Gaining Provider** must take all reasonable steps to ensure that:
  - (a) it does not transfer a **Relevant Communications Service** without the **Switching Customer's Express Consent**, and in particular, that it does not engage in **Slamming**; and
  - (b) any **Switching Customer** who is requesting a **Communications Provider Migration** is authorised to do so and intends to enter into the contract.

#### **Provision of information**

- C7.10 **Regulated Providers** must take all reasonable steps to ensure that:
  - (a) **Switching Customers** are adequately informed before and during the **Communications Provider Migration** process, including in relation to their right to compensation in accordance with **Condition** C7.47;
  - (b) they provide guidance on the **Communications Provider Migration** process, including the right to compensation in accordance with Condition C7.47, that:
    - (i) is concise and easy to understand;
    - (ii) only contains relevant information about the process, including any steps that Switching Customers may need to take in order to continue using any services and/or facilities they may have access to pursuant to Condition C5; and

- (iii) is well publicised and readily available on their websites.
- C7.11 The **Regulated Provider** that is the **Gaining Provider** must include the following information as part of the information provided in accordance with **Condition** C1.3, when such information is provided to a **Switching Customer** that is a **Consumer**:
  - (a) the **Relevant Communications Services** that will be transferred, including, where relevant, the **Calling Line Identification** of all **Relevant Communications Services** that will be transferred;
  - (b) an explanation that the **Switching Customer** is transferring their services; and
  - (c) the location of the **Regulated Provider's** guidance in accordance with **Condition** C7.10.
- C7.12 The **Regulated Provider** that is the **Losing Provider** must take all reasonable steps to ensure that **Switching Customers** who are **Consumers** are provided with the following information, in the manner and form set out in **Condition** C7.13:
  - (a) an explanation that the **Switching Customer** is transferring their **Relevant Communications Services**;
  - (b) the Migration Date, where known to the Losing Provider;
  - (c) a clear identification of all Relevant Communications Services that will be transferred, including, where relevant, the Calling Line Identification of all Relevant Communications Services that will be transferred;
  - (d) the impact, whether direct or indirect, financial or otherwise, that the Losing Provider reasonably expects the Communications Provider Migration to have on any Relevant Communications Services or other types of services provided by the Losing Provider, including any services and/or facilities that the Switching Customer may have access to pursuant to Condition C5;
  - (e) all **Relevant Communications Services** provided by the **Losing Provider** that the **Losing Provider** reasonably expects to remain unaffected by the transfer;
  - (f) the total charge payable by the **Switching Customer** on the **Migration Date**, or where that date is not known to the **Losing Provider**, on the day on which the information is provided, presented as a single (where applicable, aggregated) charge;
  - (g) an explanation of the following:
    - (i) the cost and any process or conditions for retaining or returning **Terminal Equipment**;
    - (ii) in relation to Mobile Communications Services, as part of the information provided under (i), whether the handset is provided on a separate contractual basis than the SIM, and if it is, the amount still payable under the contract after transfer to another Communications Provider and/or the date on which the Switching Customer will cease to pay for the handset; and

- (iii) any credit balance in respect of prepaid services and, if applicable, the right to a refund of this balance in accordance with **Condition** C7.7(d), including the process for claiming such a refund and any conditions applying to this refund;
- (h) the location of the Regulated Provider's guidance in accordance with Condition C7.10;
- (i) the right to compensation in accordance with **Condition** C7.47;
- (j) where the information is provided in a letter, the date of the letter and the relevant contact details of the **Losing Provider**; and
- (k) where the information is provided in an electronic format, a web link to the log-in page for the **Switching Customer's** account with the **Losing Provider**.
- C7.13 The information set out in **Condition** C7.12 must be:
  - (a) accurate; and
  - (b) provided in clear, comprehensible and neutral terms and on a Durable Medium.
- C7.14 Conditions C7.12 and C7.13 shall not apply to providers of Mobile Communications Services where a Communications Provider Migration is taking place involving less than 25 Mobile Numbers (except to the extent set out in Conditions C7.30 to C7.46).

#### **Records retention**

- C7.15 For each contract entered into with a **Switching Customer** who is a **Consumer**, in relation to all **Relevant Communications Services**, the **Regulated Provider** that is the **Gaining Provider** must create and keep individually retrievable records of the following, for a period of no less than twelve months:
  - (a) a direct record of consent, as provided by the Switching Customer, to migrate from the Relevant Communications Services supplied by the Losing Provider to the Relevant Communications Services supplied by the Gaining Provider;
  - (b) a record of the explanation from the **Gaining Provider** that they are required to create a record of the **Switching Customer's** consent;
  - (c) the name and address of the **Switching Customer**;
  - (d) the time, date and means by which the consent in sub-section (a) above was given;
  - (e) where appropriate, the place where the consent in sub-section (a) above was given and the salesperson(s) involved;
  - (f) where relevant, a direct record of consent to begin acquiring the Relevant Communications Services over the Target Line, the Target Address; and where appropriate, the Calling Line Identification of the Target Line; and
  - (g) all available records regarding the sale of its Relevant Communications Services, including the date and approximate time of the contact with the Switching Customer, the means through which the contract was entered into, the place where the contract

was entered into, where relevant, and sufficient information to allow subsequent identification of the salesperson(s) involved and to assist in dealing with any complaint or query.

C7.16 The **Regulated Provider** that is the **Gaining Provider** shall keep the records in accordance with **Condition** C7.15 irrespective of whether the contract for the provision of the **Relevant Communications Service** is cancelled or terminated within the minimum twelve-month period specified in that **Condition**.

**Obligations on providers of Electronic Communications Networks** 

C7.17 Communications Providers whose Electronic Communications Networks are used by either the Gaining Provider or the Losing Provider, or both, shall ensure that there is no loss of service that would delay the Communications Provider Migration.

# **Switching of Fixed Communications Services**

In this section, Conditions C7.18 to C7.27 apply to residential customers who are switching Fixed Communications Services at the same location. It requires providers to implement and operate the fixed switching process known as 'One Touch Switch'.<sup>18</sup>

Obligation to maintain a single fixed switching process

- C7.18 In complying with Conditions C7.3 to C7.16, Regulated Providers must ensure that they maintain a single process for Communications Provider Migrations of Fixed Communications Services for use by Fixed Switching Customers in accordance with:
  - (a) these Conditions C7.18 to C7.27; and
  - (b) any applicable industry processes as agreed by the relevant industry forum.
- C7.19 **Regulated Providers** must ensure that the process referred to at **Condition** C7.18 does not require the **Fixed Switching Customer** to:
  - (a) initiate the process by contacting the Losing Provider;
  - (b) obtain consent from the Losing Provider; and/or
  - (c) take any other steps required by the Losing Provider;

in order for a Communications Provider Migration to be put into effect.

C7.20 **Regulated Providers** must ensure that **Fixed Switching Customers** can use the process referred to at **Condition** C7.18 free of charge.

<sup>&</sup>lt;sup>18</sup>See Ofcom's Statement: Quick, easy and reliable switching

# **Gaining Provider's obligations**

- C7.21 Upon receiving a request for a **Communications Provider Migration** from a **Fixed Switching Customer**, the **Regulated Provider** must request that the **Losing Provider** makes available the information referred to at **Condition** C7.25 to the **Fixed Switching Customer**.
- C7.22 The **Regulated Provider** must provide the information listed at **Condition** C7.11 to the **Fixed Switching Customer** as part of the information provided in accordance with **Condition** C1.3.
- C7.23 The **Regulated Provider** must also notify the **Fixed Switching Customer** that:
  - (a) the information referred to at **Condition** C7.25 has been made available to them; and
  - (b) specify the means by which such information has been made available.

The **Regulated Provider** must expressly draw the attention of the **Fixed Switching Customer** to the availability and importance of such information.

C7.24 The **Regulated Provider** must co-operate with the **Losing Provider** to ensure that the information referred to at **Condition** C7.23 is provided to the **Fixed Switching Customer** promptly in accordance with any applicable industry agreed processes.

#### **Losing Provider's obligations**

- C7.25 The **Regulated Provider** must, upon request from the **Gaining Provider**, make available to the **Fixed Switching Customer** that is identified by the **Gaining Provider** the following information:
  - (a) the information listed at **Condition** C7.12;
  - (b) confirmation of the identity of the Gaining Provider; and
  - (c) where the **Fixed Switching Customer** requests to transfer a **Bundle**, an explanation of any steps the **Fixed Switching Customer** needs to take in order to transfer any services forming part of the **Bundle**, including where relevant the steps for transferring **Mobile Communications Services** in accordance with the process set out in **Conditions** C7.30 to C7.46.
- C7.26 The **Regulated Provider** must make available to the **Fixed Switching Customer** the information referred to at **Condition** C7.25 in the manner and form set out at **Condition** C7.13.
- C7.27 The **Regulated Provider** must:
  - (a) ensure that the information referred to at Condition C7.25 is made available to the Fixed Switching Customer promptly in accordance with any applicable industry agreed processes and via the quickest communications method, unless the Fixed Switching Customer requests an alternative communications method; and

(b) inform the **Gaining Provider** of the means by which this information has been made available to the **Fixed Switching Customer**.

# Home-Moves within Openreach's or KCOM's Access Network

This section applies when residential or small business customers are moving home.

Condition C7.28 applies in the case of customers who are changing the provider of their Fixed-line Telecommunications Services and DSL Broadband Services when moving home.

Condition C7.29 applies in the case of customers who are either: (i) changing provider of Broadband Services that are outside the scope of C7.28; or (ii) keeping their DSL Broadband Service with the same provider, when moving home.

- C7.28 Where the **Regulated Provider** is a **Gaining Provider** which elects to carry out a **Working Line Takeover** within **Openreach's** or **KCOM's Access Network** (as applicable) pursuant to a **Home-Move Request**, it shall comply with the provisions of Annex 1 to this **Condition**.
- C7.29 In relation to **Migrations** of **Broadband Services** not falling within the scope of **Condition** C7.28, **Regulated Providers** shall:
  - (a) facilitate the **Migration** (or where applicable, connection) of the **Broadband Service** in a manner that is fair and reasonable;
  - (b) ensure that the **Migration** (or where applicable, connection) of the **Broadband Service** is carried out within a reasonable period; and
  - (c) ensure that the **Migration** (or where applicable, connection) of the **Broadband Service** is carried out with minimal loss of the **Broadband Service**.

# Mobile switching (fewer than 25 mobile numbers)

The following Conditions relate to the mobile switching process known as 'Auto-switch':

- Conditions C7.30(a), C7.30(b)(i), C7.31(a) and (b), C7.32 to C7.34 and C7.42 to C7.46 apply to all mobile switches of fewer than 25 mobile numbers.
- Conditions C7.30(b)(ii), C7.31(c) and C7.35 to C7.37 apply only to residential customers switching fewer than 25 mobile numbers.
- Conditions C7.38 to C7.41 apply only to business customers switching fewer than 25 mobile numbers.

Providing the PAC or the STAC, and Mobile Switching Information on request

- C7.30 The **Regulated Provider** that is the **Losing Provider** must provide to their **Mobile Switching Customers** the following upon request, in the manner and form set out in **Conditions** C7.30 to C7.46:
  - (a) a PAC or a STAC (as applicable);
  - (b) the Mobile Switching Information, comprising of:

- (i) the information listed in **Condition** C7.12(f) to (k); and
- (ii) in relation to **Mobile Switching Customers** who are on a residential tariff:
  - a. the information set out at Condition C7.12(c) to (e); and
  - b. where the Losing Provider provides a Bundle to a Mobile Switching Customer, an explanation of any steps the Mobile Switching Customer needs to take in order to transfer any services forming part of the Bundle, including where relevant the steps for transferring Fixed Communications Services in accordance with the process set out in Conditions C7.18 to C7.27.
- C7.31 The **Regulated Provider** that is the **Losing Provider** must ensure that the **Mobile Switching Information** is:
  - (a) accurate;
  - (b) provided in clear, comprehensible and neutral terms; and
  - (c) in relation to **Mobile Switching Customers** who are on a residential tariff, provided on a **Durable Medium**.

How the PAC, STAC and/or Mobile Switching Information can be requested and received

- C7.32 The Regulated Provider that is the Losing Provider must ensure their Mobile Switching Customers can request free of charge, a PAC, or a STAC and/or (as applicable) Mobile Switching Information via, at least, the following three means:
  - (a) SMS, but only where the request for a PAC or STAC is in respect of no more than one Mobile Number;<sup>19</sup>
  - (b) online, via an account which must be provided by the **Regulated Provider** to its **Subscribers**; and
  - (c) by phone.
- C7.33 In respect of the obligation under **Condition** C7.32(a), the **Regulated Provider** that is the **Losing Provider** must ensure that all their **Mobile Switching Customers** can request a **PAC**, or a **STAC** and/or (as applicable) **Mobile Switching Information** by using the respective unique numbers for each type of request as agreed by the relevant industry forum and approved by **Ofcom**.

When the PAC, STAC and/or Mobile Switching Information can be requested

C7.34 The **Regulated Provider** that is the **Losing Provider** must ensure that **Mobile Switching Customers** are able to request a **PAC**, a **STAC** and/or (as applicable) **Mobile Switching Information**:

<sup>&</sup>lt;sup>19</sup> See Ofcom's Update 4 January 2019: Multi-SIM contracts and Multi-SIM accounts

- (a) by SMS and/or online in accordance with Condition C7.32(a) and (b) at all times; or
- (b) by phone in accordance with **Condition** C7.32(c) during at least any **Working Day**.

How and when the PAC, STAC and /or Mobile Switching Information must be provided to residential tariff customers

- C7.35 The **Regulated Provider** that is the **Losing Provider** must ensure that they always provide, free of charge, the **PAC**, **STAC** and /or **Mobile Switching Information** to a **Mobile Switching Customer** who has requested it:
  - (a) via the same means through which the request was made; and
  - (b) if the request was not made by **SMS** and does not relate to more than one **Mobile Number**, in addition via **SMS**.
- C7.36 The Regulated Provider that is the Losing Provider must ensure that when they provide the PAC or STAC and/or (as applicable) the Mobile Switching Information in accordance with Condition C7.35(a) and (b) to a Mobile Switching Customer, they do so no later than one minute from receipt of the request, save that, in the case of a request made by phone, the SMS required under Condition C7.35(b) may be sent at the latest up to one minute from the end of the phone call.<sup>20</sup>
- C7.37 When the **Regulated Provider** that is the **Losing Provider** provides a **PAC** or **STAC** to a **Mobile Switching Customer** they must at the same time also provide **Mobile Switching Information.**

How and when the PAC, STAC and/or Mobile Switching Information must be provided to business tariff customers

- C7.38 The **Regulated Provider** that is the **Losing Provider** must ensure that they always provide, free of charge, a **PAC** or **STAC**, to a **Mobile Switching Customer** who has requested it in relation to a single mobile number:
  - (a) where the request was made by phone, immediately over the phone, or if this is not possible by **SMS** within two hours from the end of the phone call;<sup>21</sup>
  - (b) where the request was made by **SMS**, by **SMS** within no more than two **Working Days** from the request;
  - (c) where the request was made online, via the account online and in addition via **SMS** within no more than two **Working Days** from the request.

<sup>&</sup>lt;sup>20</sup> See Ofcom's guidance regarding multi-factor authentication

<sup>&</sup>lt;sup>19</sup> See Ofcom's Update 4 January 2019: Multi-SIM contracts and Multi-SIM accounts

- C7.39 The **Regulated Provider** that is the **Losing Provider** must ensure that they always provide, free of charge, a **PAC** or **STAC**, to a **Mobile Switching Customer** whose request relates to more than one mobile number:
  - (a) where the request was made by phone, over the phone within two hours from the end of the phone call; or
  - (b) where the request was made online, via the account online within no more than two **Working Days** from the request.
- C7.40 When the **Regulated Provider** that is the **Losing Provider** provides a **PAC** or **STAC**, in response to a request made by **SMS** or online from a **Mobile Switching Customer** they must at the same time also provide **Mobile Switching Information**.
- C7.41 Regulated Providers must ensure that they always provide, free of charge, Mobile Switching Information to a Mobile Switching Customer who has requested it (whether the request is made by phone, SMS or online) by SMS (unless the request relates to more than one mobile number), or via the account online, within no more than two Working Days from the request.

**Duration of validity of PAC and STAC** 

C7.42 A **PAC** and a **STAC** shall be valid from the day it is provided for a period of thirty calendar days.

Obligation to ensure Regulated Providers provide information where request for a PAC, STAC and/or Mobile Switching Information is rejected

- C7.43 Where the **Regulated Provider** that is the **Losing Provider** receives a request for a **PAC**, **STAC** and/or **Mobile Switching Information**, the **Regulated Provider** is only entitled to reject the request in accordance with the circumstances as agreed by the relevant industry forum and approved by **Ofcom**.
- C7.44 Where the **Regulated Provider** rejects a request, it must immediately send confirmation of the rejection via **SMS** to the **Mobile Number** of the **Mobile Switching Customer**.

Submission of PAC or STAC at point of sale

C7.45 Before entering into a contract for a **Mobile Communications Service** with an **End-user**, a **Regulated Provider** that is the **Gaining Provider** must ensure that the relevant **Mobile Switching Customer** is provided with the option of submitting the **PAC** or **STAC** that they have received or will receive from their **Losing Provider** at the same time that they enter into the contract.

Obligation to advertise means by which PAC or STAC or Mobile Switching Information can be requested

C7.46 **Regulated Providers** must ensure that the three means by which a **Mobile Switching Customer** must be able to request and receive a **PAC** or **STAC** and **Mobile Switching** 

**Information** as set out in **Condition** C7.32, are well publicised and readily available, including ensuring that they are all easily accessible on **Regulated Providers'** websites, with either:

- (a) a weblink being clearly visible on a **Regulated Provider's** primary webpage for all **Mobile Switching Customers** (i.e. '1 click' access); or
- (b) a weblink being clearly visible on a webpage that is directly accessible from a primary webpage for all **Mobile Switching Customers** (i.e. '2 click' access).

# Obligation to provide compensation<sup>22</sup>

- C7.47 **Regulated Providers** shall provide **Switching Customers** with compensation in an easy and timely manner in the case of failure to comply with the obligations laid down in this **Condition** C7, as well as any missed service and installation appointments.
- C7.48 Where compensation is payable in accordance with **Condition** C7.47 to a **Switching Customer** that is a **Consumer**, compensation must be paid no later than:
  - (a) where compensation is due for delays in completing the Communications Provider Migration, 30 calendar days after the date on which the delayed Communications Provider Migration is completed or the Switching Customer or Regulated Provider terminates or cancels the Relevant Communications Service(s) intended to be transferred; or
  - (b) where compensation is due for a missed service or installation appointment, 30 calendar days after the date of the missed appointment.

Condition C7.48(a) shall not apply to delays in completing the Porting Process.

C7.49 No compensation shall be payable in accordance with **Condition** C7.47 where the **Regulated Provider** has given notice of a change or cancellation of a service or installation appointment at least 24 hours in advance of the original appointment time or if the **Switching Customer** has otherwise agreed to a change in the appointment time slot for the same day. Any such agreement by the **Switching Customer** must be recorded by the **Regulated Provider**.

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<sup>&</sup>lt;sup>22</sup> See Ofcom's guidance on compensation related to switching and porting

# **Annex 1 to Condition C7**

[See Condition C7.28]

#### Working line takeovers

Subject to paragraphs 2 and 3 of this Annex, where a **Regulated Provider** that is a **Gaining Provider** elects to carry out a **Working Line Takeover** pursuant to a **Home-Move Request** that **Gaining Provider** shall ensure a **Working Line Takeover Order** is placed.

# **Asset identification**

- 2 Before a **Working Line Takeover Order** is placed, a **Gaining Provider** shall take reasonable steps, having regard to industry best practice, to identify the **Target Line**.
- A **Gaining Provider** may only place a **Working Line Takeover Order** if it has identified an exact match for the **Target Line**.

#### **Notification letter**

- 4 After being notified of the **Working Line Takeover Order**, the **Incumbent Communications Provider** shall send the **Incumbent Switching Customer** a letter, in accordance with the industry agreed process, in paper or another **Durable Medium**, which clearly sets out:
  - (a) the date of the letter;
  - (b) a notification that an **Inbound Switching Customer** wants to take over the **Target Line**;
  - (c) all Relevant Communications Services directly affected by the Working Line Takeover;
  - (d) where relevant, the Calling Line Identification of all Relevant Communications Services that are directly affected;
  - (e) the expected Migration Date;
  - (f) that the Incumbent Switching Customer should notify the Incumbent Communications Provider if that Incumbent Switching Customer is not moving out of the Target Address or expects to move at a later date than the expected Migration Date; and
  - (g) the relevant contact details.
- The letter must be sent by post, unless the **Switching Customer** has explicitly agreed to receive correspondence electronically, such as through verbal consent in a call or through electronic confirmation when ordering online.

# C8 Sales and marketing of mobile communications services

This condition aims to protect consumers, microenterprise and small enterprise customers and not-for-profit customers by ensuring communications providers observe certain obligations when selling and marketing their mobile call and text services. It also requires communications providers to put in place certain minimum standard provisions in respect of the sales and marketing behaviour of their retailers.

#### Scope

- C8.1 This Condition applies to any Communications Provider which provides a Mobile Communications Service to Consumers, Microenterprise or Small Enterprise Customers or Not-For-Profit Customers, including any SMS service sold as part of the package, except that Conditions C8.4(b)(iii), C8.5 and C8.7 to C8.11 do not apply to Prepaid Mobile Services and SIM Only Contracts. For the purposes of this Condition:
  - (a) each of these Communications Providers is a 'Regulated Provider';
  - (b) any such Mobile Communications Services are 'Relevant Mobile Services'; and
  - (c) any such Consumers, Microenterprise or Small Enterprise Customers or Not-For-Profit Customers are 'Relevant Customers'.

# Obligations to prevent mis-selling

- C8.2 When selling or marketing Relevant Mobile Services, Regulated Providers must ensure that:
  - (a) any information they provide to **Relevant Customers** is accurate and not misleading; and
  - (b) they ask Relevant Customers if they also want the information to be provided in a Durable Medium and, if they do, Regulated Providers must provide the information in that form.

#### **Publication of relevant obligations**

- C8.3 **Regulated Providers** must:
  - (a) publish a comprehensive summary of their obligations under Condition C8 in an easily accessible and reasonably prominent manner on their website or, where there is no such website, in such manner and form as directed by Ofcom; and
  - (b) provide a copy of Condition C8 to a Relevant Customer free of charge upon reasonable request.

Obligation with regards to mobile service retailers

C8.4 Where the **Regulated Provider** contracts with or appoints a **Mobile Service Retailer** directly in order to sell or market the **Regulated Provider's Relevant Mobile Services** it must ensure,

and where a third party acting on behalf of the **Regulated Provider** contracts with or appoints a **Mobile Service Retailer** in order to sell or market the **Regulated Provider's Relevant Mobile Services**, the **Regulated Provider** must use reasonable endeavours to ensure, that:

- (a) the Mobile Service Retailer is aware of Condition C8;
- (b) provisions are in place which require the **Mobile Service Retailer** to ensure that:
  - (i) any information it provides to **Relevant Customers** is accurate and not misleading;
  - (ii) it asks Relevant Customers if they also want the information to be provided in a
     Durable Medium and, if they do, the Mobile Service Retailer must provide the
     information in that form; and
  - (iii) it creates and keeps records about the sale of the Regulated Provider's Relevant Mobile Services for a period of not less than six months and, where applicable, about a related sales incentive as referred to in Condition C8.11, for a period of not less than ninety days after the date by which this sales incentive has to be fully redeemed, but not less than six months;
- (c) the **Regulated Provider** monitors the **Mobile Service Retailer's** compliance with the provisions referred to in **Condition** C8.4(b); and
- (d) non-compliance by the **Mobile Service Retailer** with the provisions referred to in **Condition** C8.4(b) is appropriately sanctioned by the **Regulated Provider**.

Relevant mobile service - information at point of sale

- C8.5 **Regulated Providers** must use reasonable endeavours to ensure that before entering into or amending a contract for a **Relevant Mobile Service**, a **Relevant Customer**:
  - (a) is authorised to do so;
  - (b) intends to enter into this contract; and
  - (c) is provided with the information set out below in a clear, comprehensible and accurate manner in a **Durable Medium** which is available or accessible to the **Relevant Customer** or, where the **Relevant Customer** enters into or amends the contract during a sales call, by telephone:
    - (i) the identity of the legal entity the **Relevant Customer** is contracting with; its address and telephone, fax and/or e-mail contact details; and
    - (ii) a description of the Relevant Mobile Service; the key charges; payment terms; the existence of any termination right, including termination procedures; the likely date the Relevant Mobile Service will be provided, in case the provision of the Relevant Mobile Service is not immediate; and any Commitment Period. For the purposes of this provision, key charges include minimum contract charges, any Early

**Termination Charges** and, if the **Relevant Customer** is a **Consumer**, the **Access Charge** to be applied by the **Regulated Provider** for the purpose of calculating the amounts payable by that **Relevant Customer** for calls to **Unbundled Tariff Numbers** in accordance with **Condition** B1.

Where the **Relevant Customer** enters into a contract during a sales call, in addition to the oral provision of this information the **Regulated Provider** must use reasonable endeavours to ensure that this information is sent to the **Relevant Customer** in good time following the call in a **Durable Medium**.

#### **Provision of relevant mobile services**

C8.6 **Regulated Providers** must ensure that the **Relevant Mobile Services** that they have contracted with each **Relevant Customer** to provide are available to each **Relevant Customer** to receive.

#### **Records retention**

C8.7 Where the **Regulated Provider** acts as a **Mobile Service Retailer**, it must create and keep records about the sale of its **Relevant Mobile Services** for a period of not less than six months and, where applicable, about a related sales incentive as referred to in **Condition** C8.11, for a period of not less than ninety days after the date by which this sales incentive has to be fully redeemed, but not less than six months. Such records must include the date of the sale, the means through which the contract was entered into and the place where the contract was entered into, where applicable.

#### **Training**

C8.8 **Regulated Providers** must use reasonable endeavours to ensure that processes are in place which ensure that a **Mobile Service Retailer** is appropriately trained to comply with **Condition** C8.

# **Due diligence**

- C8.9 Where the Regulated Provider contracts with or appoints a Mobile Service Retailer directly in order to sell or market the Regulated Provider's Relevant Mobile Services it must ensure that, and where a third party acting on behalf of the Regulated Provider contracts with or appoints a Mobile Service Retailer in order to sell or market the Regulated Provider's Relevant Mobile Services the Regulated Provider must use reasonable endeavours to ensure that, it, or a person acting on its behalf, carries out and retains a record of the following minimum procedures with regards to any Mobile Service Retailer, contracted or appointed to sell or market the Regulated Provider's Relevant Mobile Services:
  - (a) a credit reference search and check that the Mobile Service Retailer does not have a
    history of failing to meet its financial undertakings to creditors;

- (b) a check that any director of a **Mobile Service Retailer** concerned has not been subject to a period of disqualification from acting as a director, or has not been a director of a third party that has filed for bankruptcy or gone into administration; and
- (c) appropriate checks to ensure that any due diligence information referred to in **Condition** C8.9 remains up-to-date and relevant.

These procedures must be carried out before contracting with or appointing the **Mobile Service Retailer**.

Use of information for the purpose of monitoring compliance

C8.10 Where a **Regulated Provider** acquires information from a **Mobile Service Retailer** for the purpose of monitoring compliance with this **Condition**, the **Regulated Provider** shall use that information solely for the purpose for which it was supplied and keep the information confidential. For the avoidance of doubt, the **Regulated Provider** shall not pass the information on to any other party (including its subsidiaries or partners) for whom such information could provide a competitive advantage.

Sales incentives – information at point of sale

- C8.11 Regulated Providers must use reasonable endeavours to ensure that where a Mobile Service Retailer offers to a Relevant Customer a sales incentive, from which the Relevant Customer does not benefit immediately and which the Relevant Customer is entitled to receive after entering into the contract for the Relevant Mobile Service, the terms and conditions of such an offer are not unduly restrictive and that a Relevant Customer is provided with the following information in a clear, comprehensible and accurate manner in a Durable Medium or, where the sales incentive offer is made during a sales call, by telephone:
  - (a) the identity of the legal entity which makes the sales incentive offer and undertakes to meet the obligation(s) tied to this offer; its address; and telephone, fax and/or e-mail contact details;
  - (b) a description of the sales incentive itself; and
  - (c) the terms and conditions of the sales incentive, including a detailed and clear explanation as to the process the **Relevant Customer** has to follow to obtain the sales incentive.
- C8.12 Where the sales incentive offer is made during a sales call, in addition to the oral provision of this information, the **Regulated Provider** must use reasonable endeavours to ensure that this information is sent to the **Relevant Customer** in good time following the call in a **Durable Medium**.

# **Definitions**

'Access Charge' means a rate set by a Communications Provider in accordance with Condition B1.22 in respect of the retail and origination of a call to an Unbundled Tariff Number and its conveyance up to and including the Assumed Handover Point for the purpose of calculating the amount payable by a Consumer for making such a call;

'Access Charge Element' means, in respect of a call to an Unbundled Tariff Number retailed to a Consumer:

- (a) the amount produced by multiplying the **Access Charge** applicable to that **Consumer** by the length of the call, in accordance with **Condition** B1.24; or
- (b) where **Condition** B1.27 applies, zero;

'Access Network' means the Electronic Communications Network which runs from a local access node to a Network Termination Point on an End-User's premises and which supports the provision of copper-based access services and fibre-based access services to End-Users. In the case of KCOM, this means the Access Network in the Hull Area;

'Act' means the Communications Act 2003;

'Additional Conveyance Costs' means any costs incurred by the **Donor Provider** associated with resources used in:

- (a) effecting the switch-processing required to set up each ported call; and
- (b) providing the switch and transmission capacity for any part of the duration of each ported call,

additional to the costs of conveyance of non-ported calls from the **Donor Provider's** network to the **Recipient Provider's** network;

'Adopt' and 'Adoption' (and cognate expressions) mean doing any of the following by a Communications Provider in relation to an Allocated Telephone Number (whether or not such Allocation is to that Communications Provider):

- (a) assigning or transferring that number to a particular **Customer** or piece of **Apparatus**;
- (b) using that **Telephone Number** for identifying a service or route used by that **Communications Provider** or by any of its **Customers**;
- (c) using that **Telephone Number** for identifying a communication as one to be transmitted by that **Communications Provider**;
- (d) designating that **Telephone Number** for use in selecting a service or the required elements or characteristics of a service; or
- (e) authorising the use of that **Telephone Number** by others for any of the following purposes:
  - (i) identifying the destination for, or recipient of, an **Electronic Communication**;

- (ii) identifying the origin, or sender, of an **Electronic Communication**;
- (iii) identifying the route for an **Electronic Communication**;
- (iv) identifying the source from which an Electronic Communication or ElectronicCommunications Service may be obtained or accessed;
- (v) selecting the service that is to be obtained or accessed, or required elements or characteristics of that service; or
- (vi) identifying the **Communications Provider** by means of whose network or service an **Electronic Communication** is to be transmitted, or treated as transmitted;

'ADR Letter' means a notification issued from a Communication Provider to a Complainant concerning the Complainant's right to take their Complaint to an ADR Scheme;

'ADR Scheme' has the same meaning as 'Alternative Dispute Resolution (ADR) Scheme';

'Affiliated Company' means any subsidiary or holding company of the Communications Provider, or any subsidiary of a holding company of the Communications Provider, all as defined in section 1159 of the Companies Act 2006;

'Allocate' and 'Allocation' (and cognate expressions), in relation to a **Telephone Number**, mean allocation by **Ofcom**;

'Alternative Dispute Resolution (ADR) Scheme' means any dispute procedures approved by Ofcom under section 54 of the Act for the resolution of disputes in relation to any Complaints between a Communications Provider and its Customers who are Consumers, Microenterprise or Small Enterprise Customers or Not-For-Profit Customers;

'Annual Best Tariff Notification' means a communication sent by a Regulated Provider to their Subscriber (if the Subscriber is a Consumer), and which comprises the information described in Condition C1.33;

'Annual Number Charge' means a charge invoiced by Ofcom to a Communications Provider in respect of a Charging Year, which is calculated in accordance with Condition B1.14;

'Apparatus' includes any equipment, machinery or device and any wire or cable and the casing or coating for any wire or cable;

'Appropriate Network' means an Electronic Communications Network by means of which Public Electronic Communications Services are provided that are used by a significant number of End-Users as their principal means of receiving television programmes. For the purposes of this definition an Electronic Communications Network is not an Appropriate Network in relation to so much of a channel or other service as is provided only for a particular area or locality of the United Kingdom unless it is a network by means of which Electronic Communications Services are provided to persons in that area or locality;

'Approval' means an approval granted by an Approval Body confirming that a Communications Provider's Total Metering and Billing System is compliant with the standards specified by Ofcom in a direction issued under Condition C3.4;

'Approval Body' means each of Tüv Süd Babt Unlimited, the British Standards Institution (BSI) and Enigma QPM Limited;

'Approved Apparatus' means, in relation to any network, Apparatus which meets the appropriate essential requirements of regulation 4 of the Radio Equipment and Telecommunications Terminal Equipment Regulations 2000 (S.I. 2000/730);

'Assumed Handover Point' means the point of interconnection nearest to the origination of a call to an Unbundled Tariff Number at which the call may be handed over to the Electronic Communications Network of another Communications Provider for conveyance. For these purposes, where the call is routed via a Transit Network, the Assumed Handover Point is deemed to be the nearest point of ingress from the Electronic Communications Network on which the call originates to that Transit Network;

'Average Industry Utilisation Rate' means the weighted average utilisation rate of Specified Geographic Numbers for the industry as calculated by Ofcom and notified to Communications Providers prior to the first Charging Year;

'Bill' means the information issued, or made available, by a Communications Provider to an End-User about the charges levied and due for payment or the debits and credits applied to an End-User's account;

'Broadband Service' means any service which is capable of supporting an always-on connection at a fixed location that provides data at speeds greater than a dial-up connection, including all DSL (including FTTC) services, FTTP services and services provided over a Cable Network, but excluding any Leased Lines Service;

'BT' means BT Group plc;

'BT Average Utilisation Rate' means the average utilisation rate of Specified Geographic Numbers Allocated to BT as calculated by Ofcom and notified to BT prior to the first Charging Year;

'Bundle' means a contract, or two or more closely related or linked contracts, between the provider of a Public Electronic Communications Service and an End-User, which:

- (a) relates, or together relate, to the provision of at least one of the following:
  - (i) an Internet Access Service; or
  - (ii) a Number-based Interpersonal Communications Service; and
- (b) also relates, or together also relate, to the provision of at least one of the following:
  - (i) another service falling within paragraph (a)(i) or (ii);
  - (ii) any other Public Electronic Communications Service;
  - (iii) an Information Society Service;
  - (iv) a Content Service; and/or
  - (v) Terminal Equipment;

'Cable Network' means a hybrid fibre-coax Electronic Communications Network that uses a combination of optical fibres and coaxial cable;

'Caller Location Information' means any data or information processed in an Electronic Communications Network indicating the geographic position of the terminal equipment of a person initiating a call;

'Calling Line Identification' means data that enables identification of the number from which a call could be made or to which a return call could be made;

'Calling Line Identification Facilities' means facilities which enable the Telephone Number of a calling party to be presented to the called party prior to a call being established;

'Carrier Pre-Selection' or 'CPS' means a facility which allows a Customer of a Voice Communications Service to select a provider designated in advance to apply on every occasion where no other providers have been pre-selected for the use of a Telephone Number;

'Cell Identification' means the geographic coordinates of the cell which is hosting the call;

'Charging Year' means the 12 month period beginning on 1 April and ending on 31 March (excluding 29 February in any leap year), and the first Charging Year shall be 1 April 2013 to 31 March 2014;

'CLI Data' means the contents of all signalling messages which can be used between Communications Providers and/or between Communications Providers and End-Users to signal the origin of the call and/or the identity of the calling party, including any associated privacy markings;

'Click to Call Service' means a service which may be selected on a web-site or other application by an End-User and which connects the End-User only to a number or a limited set of numbers preselected by the Communications Provider or an End-User;

'Commitment Period' means a period beginning on the date that contract terms agreed by a Communications Provider and a Subscriber take effect and ending on a date specified in that contract, and during which the Subscriber is required to pay for services, facilities and/or Terminal Equipment provided under the contract and the Communications Provider is bound to provide them;

'Communications Provider' means a person who (within the meaning of section 32(4) of the Act) provides an Electronic Communications Network or an Electronic Communications Service;

'Communications Provider Average Utilisation Rate' means the average utilisation rate of Specified Geographic Numbers Allocated to the relevant Communications Provider as calculated by Ofcom and notified to the Communications Provider prior to the first Charging Year;

'Communications Provider Migration' means a process by which a Switching Customer transfers from a Public Electronic Communications Service supplied by one Communications Provider to a Public Electronic Communications Service provided by another Communications Provider, including activation of the service by the new provider. Where the Switching Customer has requested to retain their Telephone Number(s), the Communications Provider Migration includes the Porting Process;

'Comparison Tool' in Condition C2.19 means a tool that enables Consumers to compare and evaluate different Internet Access Services and Number-based Interpersonal Communications Services with regard to:

- (a) prices and tariffs of services provided against recurring or consumption-based direct monetary payments; and
- (b) minimum quality of service where offered, or the **Regulated Provider** is required to publish such information;

'Complainant' means a Customer who is a Consumer, Microenterprise or Small Enterprise Customer or Not-For-Profit Customer and who makes a Complaint to a Communications Provider;

#### 'Complaint' means:

- (a) an expression of dissatisfaction made by a **Customer** who is a **Consumer**, **Microenterprise** or **Small Enterprise Customer** or **Not-For-Profit Customer** to a **Communications Provider** related to either:
  - (i) the Communications Provider's provision of Public Electronic Communications
    Services to that Customer;
  - (ii) the complaint-handling process itself; or
  - (iii) the level of customer service experienced by that Customer; and
- (b) where a response or resolution is explicitly or implicitly expected;

'Complaints Handling Procedures' means procedures for the handling of Complaints that Communications Providers must have and comply with pursuant to Condition C4.2(a);

'Condition' means a Condition in this Schedule;

'Consumer' means any natural person who uses or requests a Public Electronic Communications Service or Bundle for purposes which are outside his or her trade, business, craft or profession;

'Consumer Panel' means the panel established under section 16(2) of the Act;

'Content Service' means so much of any service as consists in one or both of the following:

- (a) the provision of material with a view to its being comprised in **Signals** conveyed by means of an **Electronic Communications Network**;
- (b) the exercise of editorial control over the content of **Signals** conveyed by means of such a network:

'Contract Information' means the information set out in the Annex to Condition C1;

'Contract Summary' means the information required and set out in accordance with the contract summary template specified by the European Commission under Commission Implementing Regulation (EU) 2019/2243 of 17 December 2019 establishing a template for the contract summary

to be used by providers of publicly available electronic communications services pursuant to Directive (EU) 2018/1972;<sup>23</sup>

'Controlled Premium Rate Service' or 'CPRS' has the meaning set out in the condition<sup>24</sup> issued by Ofcom under section 120 of the Act;

'Core Subscription Price' means the sum (however expressed in the contract) that the Subscriber is bound to pay to a Communications Provider at regular intervals for services and/or facilities the Communications Provider is bound to provide in return for that sum. It does not include sums payable for additional services or facilities (or the additional use of services or facilities) that the Subscriber is only liable to pay for if the additional service or facility is used;

'Customer', in relation to a Communications Provider, means the following (including any of them whose use or potential use of the network, service or **Bundle** is for the purposes of, or in connection with, a business):

- (a) the persons to whom the network, service or **Bundle** is provided in the course of any business carried on as such by the **Communications Provider**;
- (b) the persons to whom the Communications Provider is seeking to secure that the network, service or Bundle is so provided;
- (c) the persons who wish to be so provided with the network, service or **Bundle**, or who are likely to seek to become persons to whom the network, service or **Bundle** is so provided;

'Customer Complaints Code' means a code of practice containing relevant information about how Complaints from Customers who are Consumers, Microenterprise or Small Enterprise Customers or Not-For-Profit Customers are handled and how, and when, Complainants can take their unresolved Complaints to an ADR Scheme;

'Customer Number' means the Telephone Number(s) which any Communications Provider's Public Electronic Communications Network recognises as relating to a particular Customer of that Communications Provider;

'Digital Subscriber Line' or 'DSL' means a family of technologies generically referred to as DSL, or xDSL, capable of transforming ordinary phone lines (also known as 'twisted copper pairs') into high speed digital lines;

'Directory' means a printed document containing Directory Information on Subscribers of Numberbased Interpersonal Communications Services in the United Kingdom which is made available to members of the public;

'Directory Enquiry Facility' means Directory Information provided by means of a Public Electronic Communications Network;

<sup>&</sup>lt;sup>23</sup> See Commission Implementing Regulation (EU) 2019/2243

<sup>&</sup>lt;sup>24</sup> See Ofcom's PRS Condition

'Directory Information' means, in the case of a Directory, the name and address of the Subscriber and the Telephone Number assigned to the Subscriber for their use of Number-based Interpersonal Communications Services and, in the case of a Directory Enquiry Facility, shall be either such a Telephone Number of the Subscriber or information that such a Telephone Number of the Subscriber may not be supplied;

'Donor Provider' means a Communications Provider whose Customer Numbers are in the process of being, or have been passed or ported to a Recipient Provider;

'DSL Broadband Service' means any DSL (including FTTC) service which is capable of supporting an always-on connection at a fixed location that provides data at speeds greater than a dial-up connection, excluding services provided over a Cable Network;

'Durable Medium' means paper or email, or any other medium that:

- (a) allows information to be addressed personally to the recipient;
- (b) enables the recipient to store the information in a way accessible for future reference for a period that is long enough for the purposes of the information; and
- (c) allows the unchanged reproduction of the information to be stored;

'eCall' has the meaning set out in Article 2(h) of the Commission Delegated Regulation (EU) 305/2013 of 26 November 2012 supplementing Directive 2010/40/EU of the European Parliament and of the Council with regard to harmonised provision for an interoperable EU-wide eCall;

**'Early Termination Charge'** means a charge that may be payable by the **Subscriber** for terminating a contract before the end of the **Commitment Period**:

'EECC Directive' means Directive (EU) 2018/1972 of the European Parliament and of the Council of 11 December 2018 establishing the European Electronic Communications Code<sup>25</sup>;

'Electronic Communication' means a communication for transmission by means of an Electronic Communications Network;

#### 'Electronic Communications Network' means:

- (a) a transmission system for the conveyance, by the use of electrical, magnetic or electromagnetic energy, of signals of any description; and
- (b) such of the following as are used, by the person providing the system and in association with it, for the conveyance of the signals:
  - (i) Apparatus comprised in the system;
  - (ii) Apparatus used for the switching or routing of the signals; and

<sup>&</sup>lt;sup>25</sup> See <u>Directive (EU) 2018/1972</u>

(iii) software and stored data;

'Electronic Communications Service' means any of the following types of service provided by means of an Electronic Communications Network, except so far as it is a Content Service:

- (a) an Internet Access Service;
- (b) a Number-based Interpersonal Communications Service; and
- (c) any other service consisting in, or having as its principal feature, the conveyance of Signals, such as a Machine-to-Machine Transmission Service or a transmission service used for broadcasting;

'Emergency Organisation' means in respect of any locality:

- (a) the relevant public police, fire, ambulance and coastguard services for that locality; and
- (b) any other organisation, as directed from time to time by **Ofcom** as providing a vital service relating to the safety of life in emergencies;

'Emergency Video Relay Service' means any service which:

- (a) for the purposes of requesting and receiving emergency relief from **Emergency Organisations**, provides British Sign Language translation and relay facilities for emergency communications to be conveyed via video between any **End-User** and **Emergency Organisations**;
- (b) is capable of being accessed by **End-Users** of the service from readily available compatible terminal equipment with video capabilities, including smartphones and computers or tablets;
- (c) provides facilities for access to **Emergency Organisations** and is available twenty-four hours a day, seven days a week;
- (d) insofar as reasonably practicable, allows for communication between **End-Users** of the service at speeds equivalent to voice communications;
- (e) provides a means of communicating by text in conjunction with video relay.

'End-of-Contract Notification' means a communication sent by a Regulated Provider to their Subscriber that comprises the information described in Condition C1.24 (if the Subscriber is a Consumer) or Condition C1.25 (if the Subscriber is not a Consumer);

'End-User', in relation to a Public Electronic Communications Service or Bundle, means:

- (a) a person who, otherwise than as a **Communications Provider**, is a **Customer** of the provider of that service or **Bundle**;
- (b) a person who makes use of the service or **Bundle** otherwise than as a **Communications Provider**; or

(c) a person who may be authorised, by a person falling within paragraph (a), so to make use of the service or **Bundle**;

**Express Consent**' means the express agreement of a **Customer** to contract with a **Communications Provider**, or to transfer their **Public Electronic Communications Service(s)** or port their **Telephone Number(s)**, where the **Communications Provider** has obtained such consent in a manner which has enabled the **Customer** to make an informed choice;

'Fault Repair Service' means a service consisting of such repair, maintenance, adjustment or replacement of any part of the Communications Provider's Electronic Communications Network, or such repair or adjustment of any connected or connectable network, or such repair or replacement for any Apparatus for which the Communications Provider has undertaken the responsibility for repair and maintenance, as is necessary to restore and maintain a sufficient service;

'Fibre-To-The-Cabinet' or 'FTTC' means an Access Network consisting of optical fibre extending from the local access node to the street cabinet;

'Fibre-To-The-Premises' or 'FTTP' means an Access Network consisting of optical fibre extending from the local access node to a Network Termination Point;

'Fixed Communications Service' means an Internet Access Service and/or a Number-based Interpersonal Communications Service which is provided at a fixed location;

'Fixed Switching Customer' means a Subscriber (of either the Gaining Provider or the Losing Provider) who is a Consumer who has requested, is requesting or considers requesting a Communications Provider Migration for the purposes of Conditions C7.18 to C7.27;

'Fixed-line Telecommunications Service' means Narrowband calls and lines services that allow for the transfer of speech communications, and other forms of communications such as facsimile and data;

'Fixed Voice or Other Fixed-Line Service' is a service provided at a fixed location that allows for:

- (i) the transfer of speech communications; and/or
- (ii) other forms of communications such as facsimile and data, up to a speed of 64 kbit/s,

including a service provided over a Cable Network, but excluding any Leased Lines Service;

**'Framework Directive'** means Directive 2002/21/EC of the European Parliament and of the Council on a common regulatory framework for electronic communications networks and services;

# 'Gaining Provider' means:

- (a) the **Communications Provider** to whom a **Switching Customer** is or is considering transferring; or
- (b) the **Communications Provider** to whom an **Inbound Switching Customer** makes a **Home-Move Request**;

'Geographic Area Code' has the meaning set out in the National Telephone Numbering Plan;

'Geographic Number' has the meaning set out in the National Telephone Numbering Plan;

'Handset Locking Restriction' means any restriction applied on a Mobile Device sold or provided as part of a Bundle with the Mobile Communications Services of a Communications Provider and which limits use of that device on the Electronic Communications Network of another Communications Provider;

'Home-Move Request' means a request by an Inbound Switching Customer to begin acquiring a Fixed-line Telecommunications Service and/or a DSL Broadband Service supplied by a Communications Provider operating on Openreach's or KCOM's Access Network over the Target Line;

'Hull Area' means the area defined as the "Licensed Area" in the licence granted on 30 November 1987 by the Secretary of State under section 7 of the Telecommunications Act 1984 to Kingston upon Hull City Council and Kingston Communications (Hull) plc (which became KCOM Group PLC in 2007);

'Inbound Switching Customer' means a Switching Customer who is moving into a Target Address;

'Incumbent Communications Provider' means the Communications Provider who supplies a Fixedline Telecommunications Service and/or a DSL Broadband Service on Openreach's or KCOM's Access Network to the Incumbent Switching Customer over the Target Line;

'Incumbent Switching Customer' means the Switching Customer who is residing in the Target Address;

'Information Society Service' is to be read in accordance with Article 1(1)(b) of Directive (EU) 2015/1535 of the European Parliament and of the Council of 9 September 2015 laying down a procedure for the provision of information in the field of technical regulations and of rules on Information Society services (codification)<sup>26</sup>;

'Instalment Contract for a Physical Connection' means a contract in which a Consumer,

Microenterprise or Small Enterprise Customer or Not-For-Profit Customer, as the case may be, has
agreed to instalment payments exclusively for the deployment of a physical connection, excluding
provision of any Terminal Equipment, and which is separate from any contract or contracts for the
provision of a Public Electronic Communications Service or Bundle;

<sup>&</sup>lt;sup>26</sup> See <u>Directive (EU) 2015/1535</u> of the European Parliament and of the Council of 9 September 2015 laying down a procedure for the provision of information in the field of technical regulations and of rules on Information Society services (codification). Article 1(1)(b) of the Directive explains that an information society service is any service normally provided for remuneration, at a distance, by electronic means and at the individual request of a recipient of services. For the purposes of this definition: (i) 'at a distance' means that the service is provided without the parties being simultaneously present; (ii) 'by electronic means' means that the service is sent initially and received at its destination by means of electronic equipment for the processing (including digital compression) and storage of data, and entirely transmitted, conveyed and received by wire, by radio, by optical means or by other electromagnetic means; (iii) 'at the individual request of a recipient of services' means that the service is provided through the transmission of data on individual request. An indicative list of services not covered by that definition is set out at Annex I to the Directive.

'Interconnection' means the linking (whether directly or indirectly by physical or logical means, or by a combination of physical and logical means) of one **Public Electronic Communications Network** to another for the purpose of enabling the persons using one of them to be able:

- (a) to communicate with users of the other one; or
- (b) to make use of services provided by means of the other one (whether by the provider of that network or by another person);

'Internet Access Service' means a service made available to the public that provides access to the internet, and thereby connectivity to virtually all end points of the internet, irrespective of the network technology and terminal equipment used;

'Interpersonal Communications Service' means a service which enables direct interpersonal and interactive exchange of information by means of Electronic Communications Networks between a finite number of persons, where the persons initiating or participating in the communication determine its recipient;

'KCOM' means KCOM Group plc;

'Leased Line Service' means the provision of dedicated point-to-point transmission capacity;

**'Linked Split Mobile Contracts'** means where a **Subscriber** enters into two contracts for the provision of a **Mobile Communications Service** and a **Mobile Device** (with the **Mobile Device** being provided under a **Mobile Device Loan Agreement**) and where the monthly cost to the **Subscriber** is separated into the cost of the **Mobile Communications Service** and the **Mobile Device**, provided there is a technical, financial or contractual link between the two contracts;

'Losing Provider' means the Communications Provider from whom a Switching Customer is or is considering transferring;

#### 'Loss of Service' means:

- (a) in relation to a **Fixed Voice or Other Fixed-Line Service**, where:
  - (i) the **Customer** is unable to make an outgoing call or to receive an incoming call; or
  - (ii) where the service only allows for one-way transmission;
- (b) in relation to a **Broadband Service**, where the **Customer** is unable to access the public internet,

in each case as a result of a planned or unplanned change in the operation of the **Electronic Communications Network(s)** (and/or elements of that network or networks) provided by the **Customer's Communications Provider** or used by it to provide its services, including any failure of equipment;

'Machine-to-Machine Transmission Service' means a service made available to the public which allows for the automated transfer of data and information between devices or software-based applications with limited or no human interaction;

'Metallic Path Facility' or 'MPF' means a circuit comprising a pair of twisted metal wires between a Customer's premises and a main distribution frame in a local access node that employs electric, magnetic, electro-magnetic, electro-chemical or electro-mechanical energy to convey Signals when connected to an Electronic Communications Network;

'Microenterprise or Small Enterprise Customer', in relation to a Communications Provider which provides services to the public, means a Customer of that provider acting in the course of a business which is carried on by that Customer, and for which not more than 10 individuals work (whether as employees or volunteers or otherwise), but who is not himself a Communications Provider;

# 'Migration' means:

- (a) the process by which a Switching Customer who is a Consumer, Microenterprise or Small Enterprise Customer or Not-For-Profit Customer transfers from a Broadband Service supplied by a Communications Provider operating on Openreach's or KCOM's Access Network to a Broadband Service supplied by another Communications Provider operating on Openreach's or KCOM's Access Network at a different location; or
- (b) the process by which a Subscriber who is a Consumer, Microenterprise or Small Enterprise Customer or Not-For-Profit Customer transfers from a DSL Broadband Service supplied by a Communications Provider operating on Openreach's or KCOM's Access Network at one location to a DSL Broadband Service supplied by the same Communications Provider at a different location;

'Migration Date' means the date on which the Communications Provider Migration or takeover of the Target Line will be effected, at which point the Switching Customer's Public Electronic Communications Service will commence being provided by a different Communications Provider or at a different location, and, where applicable, the porting and subsequent activation of the relevant Telephone Number(s) will have been completed;

'Mobile Communications Service' means a Public Electronic Communications Service consisting in the conveyance of Signals by means of a Public Electronic Communications Network through the agency of Wireless Telegraphy to or from Apparatus which is designed or adapted to be capable of being used in motion;

'Mobile Device' means any Apparatus designed or adapted to be capable of being used while in motion, through which a Communications Provider is able to provide, and the person using the Apparatus is able to receive, Mobile Communications Services;

'Mobile Device Loan Agreement' means an agreement by which a Communications Provider provides a Subscriber with a Mobile Device on credit and which the Subscriber commits to repaying in installments over an agreed period of time;

'Mobile Number' means a **Telephone Number**, from a range of numbers in the **National Telephone Numbering Plan**, that is **Adopted** or otherwise used to identify **Apparatus** designed or adapted to be capable of being used while in motion;

'Mobile Service Provider' means a Communications Provider that provides a Mobile Communications Service;

'Mobile Service Retailer' means any person who sells or markets a Mobile Communications Service directly to a Consumer, Microenterprise or Small Enterprise Customer or Not-For-Profit Customer;

'Mobile SMS Access' means the ability to send and receive Short Messages using an SMS defined by the European Telecommunications Standards Institute in the GSM (Global System for Mobile communications) or UMTS (Universal Mobile Telecommunications System) standards, or any other standard for mobile communications that is, or may be, adopted in the UK;

'Mobile Switching Customer' means a Subscriber (of either the Gaining Provider or the Losing Provider) who has requested, is requesting or considers requesting a Communications Provider Migration or Number Portability for the purposes of Conditions C7.30 to C7.46;

'Mobile Switching Information' means the information referred to in Condition C7.30(b);

'Narrowband' means the services provided over a traditional Public Electronic Communications Network, excluding services provided over a Cable Network;

'National Telephone Numbering Plan' means the document<sup>27</sup> published by Ofcom (known as "the National Telephone Numbering Plan") setting out:

- (a) the numbers that they have determined to be available for allocation by them as Telephone Numbers;
- (b) such restrictions as they consider appropriate on the **Adoption** of numbers available for **Allocation** in accordance with the plan; and
- (c) such restrictions as they consider appropriate on the other uses to which numbers available for **Allocation** in accordance with the plan may be put;

# 'Network Access' means:

- (a) Interconnection of Public Electronic Communications Networks; or
- (b) any services, facilities or arrangements which:
  - (i) are not comprised in **Interconnection**; but
  - (ii) are services, facilities or arrangements by means of which a Communications Provider or person making available associated facilities is able, for the purposes of the provision of an Electronic Communications Service (whether by him or by another), to make use of any of the following:
    - a. any Electronic Communications Network or Electronic Communications Service provided by another Communications Provider;

<sup>&</sup>lt;sup>27</sup> See the National Telephone Numbering Plan

- b. any **Apparatus** comprised in such a network or used for the purposes of such a network or service;
- c. any facilities made available by another that are associated facilities by reference to any network or service (whether one provided by that provider or by another);
- any other services or facilities which are provided or made available by another person and are capable of being used for the provision of an **Electronic** Communications Service;

and references to providing **Network Access** include references to providing any such services, making available any such facilities or entering into any such arrangements;

'Network Termination and Testing Apparatus' means an item of Apparatus comprised in an Electronic Communications Network installed in a fixed position on Served Premises which enables:

- (a) Approved Apparatus to be readily connected to, and disconnected from, the network;
- (b) the conveyance of Signals between such Approved Apparatus and the network; and
- (c) the due functioning of the network to be tested, but the only other functions of which, if any, are:
  - (i) to supply energy between such **Approved Apparatus** and the network;
  - (ii) to protect the safety or security of the operation of the network; or
  - (iii) to enable other operations exclusively related to the running of the network to be performed or the due functioning of any system to which the network is or is to be connected to be tested (separately or together with the network);

'Network Termination Point' means the physical point at which an End-User is provided with access to a Public Electronic Communications Network and, where it concerns Electronic Communications Networks involving switching or routing, that physical point is identified by means of a specific network address, which may be linked to the Telephone Number or name of an End-User. A Network Termination Point provided at a fixed position on Served Premises shall be within an item of Network Termination and Testing Apparatus;

'Non-Geographic Number' has the meaning set out in the National Telephone Numbering Plan;

'Non-provider Numbering Condition' means the condition<sup>28</sup> that applies to persons other than Communications Providers relating to the use of Unbundled Tariff Numbers made under section 59 of the Act:

'Not-For-Profit Customer', in relation to a Communications Provider which provides services to the public, means a Customer of that provider, which is a body for which no more than 10 individuals

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<sup>&</sup>lt;sup>28</sup> See condition made on 12 December 2013

work (whether as employees or otherwise but excluding volunteers) and which, by virtue of its constitution or any enactment:

- (a) is required (after payment of outgoings) to apply the whole of its income, and any capital which it expends, for charitable or public purposes; and
- (b) is prohibited from directly or indirectly distributing among its members any part of its assets (otherwise than for charitable or public purposes);

'Number-based Interpersonal Communications Service' means an Interpersonal Communications Service made available to the public which:

- (a) connects with publicly assigned numbering resources, namely, a number or numbers in a national or international numbering plan; or
- (b) enables communication with a number or numbers in a national or international numbering plan;

'Number Portability' means a facility whereby Switching Customers who so request can retain their Telephone Number(s) on a Public Electronic Communications Network, independently of the person providing the service at the Network Termination Point of the Switching Customer provided that such retention of a Telephone Number is in accordance with the National Telephone Numbering Plan;

'**Ofcom**' means the Office of Communications as established under section 1 of the Office of Communications Act 2002;

'Ofcom Approved Complaints Code' means the code of practice annexed to Condition C4 and entitled 'Ofcom approved complaints code of practice for customer service and complaints handling';

'Openreach' means the BT group business offering Communications Providers products and services that are linked to BT's nationwide Electronic Communications Network;

'Pay Telephone' means a telephone for the use of which the means of payment may include coins and/or credit/debit cards and/or pre-payment cards, including cards for use with dialling codes;

'Personal Number' has the meaning given to that term in the National Telephone Numbering Plan;

'Phone-paid Services Authority' means the UK regulator with responsibility for content, goods and services charged to a phone bill;

'Portability' means any facility which may be provided by a Communications Provider to another Communications Provider enabling Number Portability;

'Portability Transit Provider' means a Communications Provider providing, by agreement, Interconnection between a Donor Provider and Recipient Provider via one or more points at which the Communications Provider connects with both the Donor Provider and Recipient Provider;

'Ported Specified Geographic Number' means a Specified Geographic Number Allocated to the Communications Provider which Ofcom is satisfied (pursuant to information received from the

Communications Provider by 1 December of the Charging Year (or such other date as Ofcom notifies prior to the start of the Charging Year)) was on a particular day (as specified by the Communications Provider) between 1 September and 31 October of the Charging Year (or such other time period as Ofcom notifies prior to the start of the Charging Year) subject to an arrangement under which that Communications Provider had ported, in accordance with Condition B3, that Specified Geographic Number to a person who was not an Affiliated Company;

'Porting Authorisation Code' or 'PAC' means a unique code used to signify the Donor Provider's consent to the Switching Customer being entitled to request and have their Mobile Number ported to another Communications Provider;

'Porting Process' means a process by which Number Portability is carried out pursuant to Conditions C7 and B3, including activation by the Communications Provider to whom the Switching Customer has switched, of the Telephone Number(s) and/or Mobile Number(s) that has(have) been ported;

'Porting System Set-Up Costs' means costs of the Donor Provider incurred:

- (a) in the course of making network and system modifications, configuration and reconfiguration, including adapting or replacing software;
- (b) in the course of testing functionality within that provider's network and in conjunction with any **Recipient Provider's** network; and
- (c) thereby establishing the technical and administrative capability to provide **Portability**;

'Prepaid Mobile Service' means a Mobile Communications Service for which the Customer pays charges in advance of the service being provided;

'Price Point' means a rate which may be set as a Service Charge and is used for calculating or billing the Service Charge Element of the retail price for a call to an Unbundled Tariff Number which is charged to a Consumer;

'Public Electronic Communications Network' means an Electronic Communications Network provided wholly or mainly for the purpose of making Electronic Communications Services available to members of the public;

'Public Electronic Communications Service' means any Electronic Communications Service that is provided so as to be available for use by members of the public;

'Public Pay Telephone' means a Pay Telephone which is available to the general public;

'Public Payphone Specified Geographic Number' means a Specified Geographic Number Allocated to the Communications Provider which Ofcom is satisfied (pursuant to information received from the Communications Provider by 1 December of the Charging Year (or such other date as Ofcom notifies prior to the start of the Charging Year)) was on a particular day (as specified by the Communications Provider) between 1 September and 31 October of the Charging Year (or such other time period as Ofcom notifies prior to the start of the Charging Year) in use in respect of a Public Pay Telephone service provided under a Universal Service Condition;

'Recipient Provider' means a Communications Provider to whom Customer Number(s) are in the process of being, or have been passed or ported from a Donor Provider;

'Records' means data or information showing the extent of any network or service actually provided to an End-User and any data or information used in the creation of a Bill for an End-User;

'Regulated Provider' has the meaning given to it in the "Scope" section of each Condition in which it is used;

'Relay Service' means any service which:

- (a) provides facilities for the receipt and translation of voice communications into text and the conveyance of that text to the terminal of End-Users of any provider of Voice
   Communications Services and vice versa;
- (b) provides facilities for the receipt and transmission of voice communications in parallel with text communications, allowing both channels to work in tandem to deliver near synchronous voice and text;
- is capable of being accessed by End-Users of the service from readily available compatible terminal equipment, including textphones, Braille readers, personal computers and mobile telephones;
- (d) provides facilities to allow End-Users, who because of their disabilities need to make calls using a Relay Service, to receive incoming calls via the Relay Service, without the calling party needing to dial a prefix;
- (e) insofar as reasonably practicable, allows for communication between **End-Users** of the service at speeds equivalent to voice communications;
- (f) provides call progress voice announcements in a suitable form;
- (g) provides facilities for access to Emergency Organisations; and
- (h) provides access to operator assistance services and a **Directory Enquiry Facility** using short code numbers;

'Relevant Data Protection Legislation' means the General Data Protection Regulation (EU) 2016/679<sup>29</sup>, the Data Protection Act 2018 and the Privacy and Electronic Communications (EC Directive) Regulations 2003;

'Relevant Turnover' means annual turnover attributable to the provision (including any wholesale provision) of Voice Communications Services and/or Internet Access Services after the deduction of sales rebates, value added tax and other taxes directly related to turnover;

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<sup>&</sup>lt;sup>29</sup> https://www.legislation.gov.uk/eur/2016/679/contents

'Served Premises' means a single set of premises in single occupation where **Apparatus** has been installed for the purpose of the provision of **Electronic Communications Services** by means of an **Electronic Communications Network** at those premises;

'Service Charge' means the rate set by a Communications Provider in accordance with Condition B1.23 in respect of the conveyance of a call to an Unbundled Tariff Number from the Assumed Handover Point to the point of termination and the enabling of a Consumer to use an Unbundled Tariff Number to access any service provided by means of that number;

**'Service Charge Element**' means, in respect of a call to an **Unbundled Tariff Number** retailed to a **Consumer**:

- the amount produced by multiplying the Service Charge for that Unbundled Tariff Number by the length of the call in accordance with Condition B1.25, where the Service Charge is charged at a pence per minute rate;
- (b) an amount equal to the **Service Charge** for that **Unbundled Tariff Number**, where the **Service Charge** is charged at a pence per call rate;
- (c) an amount equal to sum of the amounts calculated under paragraphs (a) and (b) of this
  definition, where the Service Charge is charged at a rate which combines a pence per
  minute rate and a pence per call rate;

'Service Fee' means the amount sought by a Communications Provider for the provision and usage of an Electronic Communications Service or any other service included in a Bundle;

'Service Level Agreement' means the service quality levels offered by a Communications Provider under a contract;

'Service Level Guarantee' means the compensation payable under a contract if the Communications Provider fails to meet a Service Level Agreement;

'Service Termination Authorisation Code' or 'STAC' means a unique code used to signify the Losing Provider's consent to a Subscriber being entitled to switch to another Communications Provider without the Subscriber porting their Mobile Number;

'Shared Metallic Path Facility' or 'SMPF' means access to the non-voiceband frequencies of the MPF;

'Short Message' means information that may be conveyed by means of the Short Message Service;

'Short Message Service' or 'SMS' means a text message delivered to a Subscriber's handset or, if SMS is superseded or withdrawn, an equivalent text communication sent directly to the Subscriber's handset;

#### 'Signal' includes:

(a) anything comprising speech, music, sounds, visual images or communications or data of any description; and

(b) signals serving for the impartation of anything between persons, between a person and a thing or between things, or for the actuation or control of apparatus;

'SIM Activation' means the process allowing a Communications Provider, to whom a Switching Customer is moving for the supply of Mobile Communications Services, to verify the identity of that Switching Customer. This process is carried out by the Switching Customer with the use of their Subscriber Identity Module (SIM) card which they will have obtained from the Communications Provider to whom they are moving. Where the Communications Provider Migration process does not include the porting of Telephone Numbers, SIM Activation also includes activation of the Mobile Number(s) that a Switching Customer is taking from a Communications Provider to whom they have switched;

'SIM Only Contract' means a contract for a Mobile Communications Service where the only physical equipment the Customer obtains from the Mobile Service Provider is a Subscriber Identity Module (SIM) card and the notice period for cancelling this contract does not exceed one calendar month;

'Slamming' means where a transfer of Internet Access Services and/or Number-based Interpersonal Communications Services has been initiated that is in the following circumstances:

- (a) where the **Subscriber** has never contacted, or has never been contacted by, the **Gaining Provider**;
- (b) where the Switching Customer has contacted, or has been contacted by, the Gaining Provider, but has not given the Gaining Provider authorisation to transfer some or all of their Internet Access Services and/or Number-based Interpersonal Communications Services;
- (c) where the **Switching Customer** has agreed to purchase a product or service from the **Gaining Provider** and the **Gaining Provider** has submitted an order for a different product or service which the **Switching Customer** has not agreed to purchase; or
- (d) where the Switching Customer has agreed to transfer some or all of their Internet Access Services and/or Number-based Interpersonal Communications Services to the Gaining Provider having understood as a result of a deliberate attempt by the Gaining Provider to mislead, that they are making an agreement with a different Communications Provider;

**'SME Customer**' means, in relation to a **Communications Provider**, a **Customer** of that provider which is an undertaking for which fewer than two hundred and fifty (250) individuals work (whether as employees or volunteers or otherwise);

'Specialised Service' means a service other than an Internet Access Service which is optimised for specific content, applications or services, or a combination thereof;

'Specified Geographic Number' means any Geographic Number which starts with any of the Geographic Area Codes set out in the Annex to Condition B1;

'Subscriber' means any End-User who is party to a contract with a provider of Public Electronic Communications Services or Bundles for the supply of such services or such a Bundle;

#### 'Switching Customer' means:

- (a) a Subscriber (of either the Gaining or the Losing Provider) who has requested, is requesting, or considers requesting a Communications Provider Migration or Number Portability; and/or
- (b) an **End-User** who has requested, is requesting, or considers requesting **Number Portability** after the termination of their contract, pursuant to **Condition** C7.6(b);

'Target Address' means the UK postal address where the Target Line is situated;

'Target Line' means the working WLR, MPF or SMPF line to which a Switching Customer's request for a Communications Provider Migration, Migration, or a Home-Move Request, refers;

'Telephone Number' means, subject to any order of the Secretary of State pursuant to section 56(7) of the Act, any number, including data of any description, that is used (whether or not in connection with telephony) for any one or more of the purposes listed in section 56(5) of the Act;

# 'Terminal Equipment' means:

- (a) equipment directly or indirectly connected to the interface of a Public Electronic
   Communications Network to send, process or receive information, with the direct or indirect connection being made by a wire or optical fibre or electromagnetically; or
- (b) equipment which is capable of being used for the transmission or reception, or both, of radio communication signals by means of satellites or other space-based systems;

'Terminal Equipment Fee' means a proportion of the Core Subscription Price which reflects the provision of Terminal Equipment included in a Bundle. It excludes any amount due under a Mobile Device Loan Agreement;

'Total Metering and Billing System' means the totality of all equipment, data, procedures and activities used to determine the charges to be sought for provision and usage of Electronic Communications Services, and/or to present these charges on End-User's Bills. For purposes of clarity, a Total Metering and Billing System incorporates all processing steps from the original recording of a chargeable event to its readiness for presentation on a Bill to the End-User whether performed by one or more Communications Providers;

'Transit Network' means the Electronic Communications Network of a Communications Provider used to convey a call from the Electronic Communications Network of another Communications Provider to the Electronic Communications Network of a third Communications Provider;

'Unbundled Tariff Number' means a Non-Geographic Number starting 084, 087, 090, 091, 098 or 118;

**'Universal Service Condition'** means a **Condition** set as a universal service condition under section 45 of the **Act**;

'Voice Communications Service' means a service made available to the public for originating and receiving, directly or indirectly, national or national and international calls through a number or numbers in a national or international telephone numbering plan;

'VoIP Outbound Call Service' means a service that allows End-Users to make (but not receive) a voice call to a number included in the National Telephone Numbering Plan using an internet connection where the service is provided independently of the provision of the internet connection, excluding any Click to Call Service;

'Wireless Telegraphy' means the emitting or receiving, over paths that are not provided by any material substance constructed or arranged for the purpose, of electromagnetic energy of a frequency not exceeding 3,000 gigahertz that:

- (a) serves for conveying messages, sound or visual images (whether or not the messages, sound or images are actually received by anyone), or for operating or controlling machinery or apparatus; or
- (b) is used in connection with determining position, bearing or distance, or for gaining information as to the presence, absence, position or motion of an object or of a class of objects;

'Wholesale Line Rental' or 'WLR' means a regulated wholesale service sold by a Communications Provider, which is used by the Communications Provider to provide retail Customers with exchange lines and, in turn, access to other narrowband telephony services (for example, telephone calls, facsimile and dial-up internet access);

'WLR Specified Geographic Number' means a Specified Geographic Number Allocated to the Communications Provider which Ofcom is satisfied (pursuant to information received from the Communications Provider by 1 December of the Charging Year (or such other date as Ofcom notifies prior to the start of the Charging Year)) was on a particular day (as specified by the Communications Provider) between 1 September and 31 October of the Charging Year (or such other time period as Ofcom notifies prior to the start of the Charging Year) subject to an arrangement under which that Communications Provider was providing WLR to a person who was not an Affiliated Company;

'Working Day' means the hours between 09.00 – 17.00 on Monday to Friday, with the exception of Bank Holidays and public holidays;

'Working Line Takeover" means a process by which:

- (a) a **Communications Provider** takes over a **WLR** or **MPF** line in order to provide a **Fixed-line Telecommunications Service** and/or a **DSL Broadband Service**; or
- (b) in the case of **KCOM** a process by which a **Communications Provider** takes over the provision of a **Fixed-line Telecommunications Service** and/or a **DSL Broadband Service** to the **Inbound Switching Customer**,

where that line is being used by that same or a different **Communications Provider** to supply a **Fixed-line Telecommunications Service** and/or a **DSL Broadband Service** to the **Incumbent Switching Customer**;

'Working Line Takeover Order' means an order submitted by, or on behalf of, a Gaining Provider to Openreach or to KCOM (as applicable), requesting for the Working Line Takeover of the Target Line; and

'Zone Code' means a code which identifies the geographic region in which the call was originated.

# Version history

The table below sets out the date and effect of changes that have been made to the General Conditions, in order to assist users in accessing the most up-to-date version of the General Conditions.

Date	Condition(s) affected	Summary of change	Relevant Ofcom document(s)
19 September 2017	All	Revoking the former general conditions and setting new general conditions	Review of the General Conditions of Entitlement, Statement and Consultation
10 November 2017	C2 and Definitions	Setting a new general condition on transparency and information requirements to help small and medium sized businesses customers	Automatic compensation – Protecting consumers from service quality problems
19 December 2017		Reforming the process for switching mobile provider by amending conditions C7 and B3, with effect from 1 July 2019 [These changes were shown in the consolidated version of the GCs after 1 July 2019]	Consumer Switching. Decision on reforming the switching of mobile communication services
26 March 2018	B1	Updating the emergency planning direction, extending Ofcom's power to withdraw telephone numbers and providing guidance under condition C1	Review of the General Conditions of Entitlement. Statement on emergency planning direction, number withdrawal and guidance on contract termination
30 July 2018	A4, B1, C1, C4, C6, C8 and Definitions	Drafting changes and changes to prevent calls to the emergency services being blocked under GC C6.6	Statement on changes to the General Conditions of Entitlement: Changes to General Condition C6, other minor drafting changes and changes to the guidance on the Calling Line Identification facilities

Date	Condition(s) affected	Summary of change	Relevant Ofcom document(s)
15 May 2019		Introducing end-of-contract notifications and annual best tariff information, by amending conditions C1, C5 and the Definitions. These changes took effect from 15 February 2020.  [These changes were shown in	Helping consumers get better deals, Statement on end-of- contract notifications and annual best tariff information
		the consolidated version of the GCs after 15 February 2020]	
1 July 2019	C7, B3 and Definitions	Reforming the process for switching mobile provider by amending conditions C7 and B3, with effect from 1 July 2019.	Consumer Switching. Decision on reforming the switching of mobile communication services
15 February 2020	C1, C5 and Definitions <sup>30</sup>	Introducing end-of-contract notifications and annual best tariff information, by amending conditions C1, C5 and the Definitions, with effect from 15 February 2020.	Helping consumers get better deals, Statement on end-of- contract notifications and annual best tariff information
27 October 2020	C1	Change to the scope of annual best tariff information requirements	Fair treatment and easier switching for broadband and mobile customers: Implementation of the new European Electronic Communications Code

<sup>&</sup>lt;sup>30</sup> The unofficial consolidated version of the General Conditions published on 17 February 2020 also reinstated the definition of "Early Termination Charge", which had been mistakenly omitted from the 1 July 2019 consolidated version.

Date	Condition(s) affected	Summary of change	Relevant Ofcom document(s)
17 December 2020		Changes to A1 and B4 in light of end of transition period between the UK and EU to ensure the scope of the conditions remains the same, with effect from IP completion day (11pm on 31 December 2020).	Implementing the new European Electronic Communications Code: Changes to the General Conditions, Metering and Billing Direction and the National Telephone Numbering Plan
		[These changes were shown in the consolidated version of the GCs after 4 January 2021]	
		Changes to A2, A3, A4, B2, B3, C1 to C8 and Definitions to implement the European Electronic Communications Code with effect from 17 December 2021, 17 June 2022 and 19 December 2022.	
		[These changes will be shown in the consolidated version of the GCs after 17 December 2021, 17 June 2022 and 19 December 2022]	
11pm on 31 December 2020	A1, B4	Changes to A1 and B4 in light of end of transition period between the UK and EU to ensure the scope of the conditions remains the same, with effect from IP completion day (11pm on 31 December 2020)	Implementing the new European Electronic Communications Code: Changes to the General Conditions, Metering and Billing Direction and the National Telephone Numbering Plan

Date	Condition(s) affected	Summary of change	Relevant Ofcom document(s)
17 December 2021	A2, A3, A4, B2, C1, C2, C3, C4, C5, C6, C7 and C8 and Definitions	Changes to A2, A3, A4, B2, C1, C2, C3, C4, C5, C6, C7 and C8 and Definitions to implement the European Electronic Communications Code with effect from 17 December 2021	Implementing the new European Electronic Communications Code: Changes to the General Conditions, Metering and Billing Direction and the National Telephone Numbering Plan
17 June 2022	C1, C2, C5 and Definitions	Changes to C1, C2, C5 and Definitions to implement the European Electronic Communications Code, and changes to the guidance on C7, with effect from 17 June 2022	Implementing the new European Electronic Communications Code: Changes to the General Conditions, Metering and Billing Direction and the National Telephone Numbering Plan; Statement: Emergency Video Relay and Quick, easy and reliable switching: Statement on changes to the General Conditions
15 December 2022	A1.2	Removing geographical referencing in A1.2 with effect from 15 December 2022	General Conditions of Entitlement  Minor Modification to Condition  A1.2
3 April 2023	B3, C1, C7 and Definitions	Changes to B3, C1, C7 and Definitions to implement the European Electronic Communications Code and introduce a new process for switching landline and broadband services with effect from 3 April 2023	Implementing the new European Electronic Communications Code: Changes to the General Conditions, Metering and Billing Direction and the National Telephone Numbering Plan and Quick, easy and reliable switching: Statement on changes to the General Conditions
15 May 2023	C6	Changes to C6 on identifying and blocking calls that do not	Improving the accuracy of Calling Line Identification (CLI) data: Statement on changes to our General Conditions (GCs) and

Date	Condition(s) affected	Summary of change	Relevant Ofcom document(s)
		uniquely identify the caller with effect from 15 May 2023	supporting guidance on the provision of CLI facilities